



How to Understand Rule-Constituted Kinds

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Abstract

The paper distinguishes between two conceptions of kinds defined by constitutive rules, the one suggested by Searle, and the one invoked by Williamson to define assertion. Against recent arguments to the contrary by Maitra, Johnson and others, it argues for the superiority of the latter in the first place as an account of games. On this basis, the paper argues that the alleged disanalogies between real games and language games suggested in the literature in fact don't exist. The paper relies on Rawls's distinction between types ("blueprints", as Rawls called them) of practices and institutions defined by constitutive rules, and those among them that are actually *in force*, and hence are truly normative; it defends along Rawlsian lines that a plurality of norms apply to actual instances of rule-constituted practices, and uses this Rawlsian line to block the examples that Maitra, Johnson and others provide to sustain their case.

Keywords Constitutive rules · Regulative rules · Assertion · Convention · Normativity

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1 Introduction: The Constitutive Vs. Regulative Intuitive Distinction

In introducing his influential distinction between *constitutive* and *regulative* rules,¹ Searle (1969, 33) admits that he is “fairly confident about the distinction, but do[es] not find it easy to clarify it”. He goes on to pretheoretically characterize his target in an intuitive way he had already suggested earlier, illustrating it with compelling examples:

I need to distinguish between two different kinds of rules or conventions. Some rules regulate antecedently existing forms of behavior. For example, the rules of polite table behavior regulate eating, but eating exists independently of these rules. Some rules, on the other hand, do not merely regulate but create or define new forms of behavior: the rules of chess, for example, do not merely regulate an antecedently existing activity called playing chess; they, as it were, create the possibility of or define that activity. The activity of playing chess is constituted by action in accordance with these rules. Chess has no existence apart from these rules [...] Regulative rules regulate activities whose existence is independent of the rules; constitutive rules constitute (and also regulate) forms of activity whose existence is logically dependent on the rules (Searle 1964, 55)

Searle (1965, 223–4) mentions football instead of chess as illustration of activities defined by constitutive rules – which shows that he doesn’t see any relevant difference between these games, against some suggestions (Kaluziński 2018a, §4). As Lorini and Żelaniec (2018, 11) point out, the connection that Searle makes with Kant through the terms he uses is rather dubious; but, more appropriately, he goes on to ascribe it to Rawls (1955) in a footnote. Lorini and Żelaniec also show that the very same intuitive distinction between rules that “regulate antecedently existing forms of behavior” and rules that “do not merely regulate but create or define new forms of behavior”, with strikingly similar examples and terminology, had been made in the 1920s by a Polish legal scholar, Czesław Znamierowski; and Adolf Reinach had also articulated it a decade earlier, cf. Reinach (1913), Smith and Żelaniec (2018).²

¹ I’ll use ‘rule’ and ‘norm’ interchangeably. As I use these terms, rules are just what “*flat-out*” imperatives – typically conditional ones: do Q! (if P) – signify, to echo Williamson’s (1996, 246) usage for the case of assertion: the specific force that is indicated in default, literal uses of imperative phrases, cf. García-Carpintero (2021a). “Flat-out” directives are those uttered by someone presumed to have the required authority to make a command, as when I say ‘Eat!’ to my daughter, and in contrast with ‘Take it!’ said to give advice, ‘Come in’ to give permission or ‘Keep well!’ to express good will. Against recent theorizing (Hanks 2015), I think of what the schematic P and Q stand for above as force-neutral contents, which I take to be just properties of verifying conditions or situations (Richard 2013). Kaufman (2012) argues that a directive that *p* is synonymous with an assertion that the addressee should/must make it the case that *p*. On standard Kratzerian contextualist modal semantics, the obligation is conditional on implicit or explicit features that select accessible worlds and order them. This is implausible (Charlow 2018, 82; Roberts 2018, 331); but I do take directives to entail on illocutionary grounds Kaufman’s assertoric counterparts (Charlow 2014), and I’ll avail myself of this throughout the paper. There are important distinctions to be made among rules or prescriptions in this wide sense (*objective vs subjective, general vs particular*, cp. Brennan et al. 2013, Reiland, 2020); see below, §3.

² Conte (1988, 251–2) provides a fuller history of the distinction.

Rawls in fact distinguishes between two “concepts” of rules (the *summary* and the *practice* concept), but, although I will not argue here for hermeneutic issues in any detail, like Searle he was also making an ontological distinction.³ This is certainly the case when it comes to Searle and Reinach (Smith and Żelaniec 2018). On the view that Searle (and Rawls and others before him) want to promote, games are a distinctive sort of entity: rule-constituted kinds, or types; illocutionary types like assertions or promises are further examples of the same sort, as Midgley (1959), Austin (1962) and Alston (1964) had also contended.

Following earlier discussions, here I will use ‘formalism’ to refer to “the view that games (and sports to the extent that sports are games of physical skill) can be defined primarily by reference to the idea of constitutive rules and goals or obstacles designated by the rules themselves, which are unintelligible apart from them”, Simon (2000, 2).⁴ Formalism is thus the view that some rules in games – and illocutionary types if the language games simile stands – are constitutive and not merely regulative; i.e., that they provide the essence, nature or “real” definition of such kinds. Formalism thus contends that practices are “natural kinds”, in the contemporary sense: they have “real definitions”.⁵ Here ‘natural’ is not used in opposition to ‘social’, but rather to refer to properties and kinds in Lewis’s (1983) “sparse” (as opposed to “abundant”) sense. In fact, the account I’ll suggest for illocutionary types like assertion and games takes them to be “social constructs”, definable by social rules.⁶ “Natural” properties and kinds are those that play substantive explanatory roles, and hence have a “hidden nature” which only reveals itself after theorizing. This might be philosophical, armchair-like, “a priori” – as Reinach (1913) wanted. But it must be substantive – hence *prima facie* exposed to Moorean “open-question”-like objections –⁷ and unifiable with empirical theorizing.

³ In brief, my reasons are these. Rules in the “practice” sense define *practices*, says Rawls (1955, 25). This is a “technical” notion for a normative category, “any form of activity specified by a system of rules which defines offices, roles, moves, penalties, defenses, and so on, and which gives the activity its structure”, like “games and rituals, trials and parliaments”, *ibid.*, 3. Practices are actually instantiated kinds: “Blue-prints for a practice do not make a practice. That there is a practice entails that there are instances of people having been engaged and now being engaged in it”, *ibid.*, 26. Commitment to real, actually instantiated normative kinds fits Rawls’s moral realism at the time (Russell 2004, 144).

⁴ Similar characterizations can be found in the literature: according to formalism, “games are a product of their constitutive rules ... these rules jointly create and define the game” (Kretchmar 2015, 11); “the essential nature of a game is its rule-set and ... proper play involves obeying the rules” (Nguyen 2017, 9). Discussing the specific case of sports, Devine et al. (2020, §2.1) characterize instead formalism as the view that a game is “constituted *solely* by written rules ... *just* the set of written rules that govern it”, my emphasis. But this only identifies a straw man who, in Rawls’ terms above, fn. 3, identifies games with *blueprints* for games. It doesn’t even fit the arch-formalist Suits (1978, 54–5), for a central element in his characterization is that players have the “lusory attitude”; this is his very influential rendering of what anti-formalists call the “ethos” of games – in my own account below, §3, the practical grounds for the enforcement, or acceptance, of specific kinds defined by constitutive rules.

⁵ Recent discussion of these issues mostly stems from the deservedly influential work of Kit Fine; cf. Correia (2017) for a good account and further references. Although this is not my own view, fictionalists about common sense ontology might take my resort to the ideology of kinds with real definitions as a merely expository ladder to be ultimately kicked out.

⁶ They are “Platonic” essences, in the (fitting in this context, see below) terminology of Newman & Knobe (2019), as opposed to “causal” essences like that of water. I thus assume the “generalized essentialism” they argue for.

⁷ Thanks to Aidan Gray for pointing out this feature of the proposal; see also fn. 33.

Anti-formalism, in contrast, rejects that games have a rule-constituted essence. This might be predicated on the Wittgensteinian aversion to definitions that Suits (1978, 21) disparages.⁸ This is not compelling, however; kinds might not have definitions in terms of intrinsic properties, but they might have perfectly fitting and explanatory relational ones (Bach 2016). A more plausible version of anti-formalism doesn't deny that games and speech acts have definitions, but claims instead that the ones they have are (as in the case of 'jade') not deeply explanatory; perhaps they only have *cluster* or *historical* definitions that essentially appeal to their manifest, superficial or criterial properties, like those that have been claimed for biological and social kinds, and those that should be ascribed to literary genres or institutions like *Analytic Philosophy* (García-Carpintero 2011, 2021b). I'll come back in §3 to this, offering a rapprochement of sorts.

The truth of formalism would thus vindicate the constitutive-regulative distinction that Searle was "fairly confident about", in this way: if formalism is true, then *the intuitive distinction that Reinach, Rawls, Searle et al. gestured at is instantiated by actually enacted practices that impose their constitutive obligations on rational beings*. Such a vindication of the intuitive distinction will play a central role in my arguments below. The distinction that formalism aims thus to vindicate is intuitive, pre-theoretical. We need philosophical theory to properly articulate it, and, as it happens, there are two very different ways of theoretically understanding constitutive rules, and hence formalism.⁹ My argument will crucially rely on the claim that only one really vindicates the intuitive constitutive/regulative distinction.

After years in which expressivist, psychologicist views of speech acts promoted by Grice (1957) and Strawson (1964) dominated the philosophical scene, Williamson (1996) reinstated to a prominent position the rule-constitution view, discussing in particular the case of assertion. However, as Maitra (2011, 282), McCammon (2014, 137–9), and Johnson (2018, 55) complain (echoing earlier objections by Ziff (1960) and Rosenberg (1974)), Williamson's understanding of rule-constitution crucially differs from what they take to operate in the case of games, on the basis of Searle's discussion. Under Searle's influence, Jankovic (2018, 376, fn. 11) explains that "[i]t is a rule of chess that a pawn moves a certain way, and one is not playing chess if one is not acting in accord with this rule"; similarly, Ludwig (2017, 102) offers a "deflationary" definition of constitutive rules (*deflationary* in that it uses only psychological, non-normative notions), on which they are "rules the intentional following of which is constitutive of the type of activity that they govern". On these views, when what I'll call the "defining condition" invoked in the rule fails to obtain – the pawn (intentionally) moves in ways not permitted by the rule – we have an Austinian *misfire* (Austin 1962, 167): the activity is no longer a chess game. This is explicitly not so on Williamson's view: it would make the language-games view clearly wrongheaded. Williamson takes *knowing p* to figure in the defining condition for a "flat-out" assertion of *p*; but he explicitly allows for the (intentional) violation of such a norm to be an

⁸ Cf. Geras (2009), Ellis (2011) and Berman (2013) for replies to Suits. Unlike him, I only aim to vindicate formalism for species-like "lower taxa" – in our context, specific games or specific speech acts. I am doubtful that the view can be defended for genus-like "higher taxa" like games in general, or assertion understood as a genus encompassing guesses, conjectures, putting forward propositions for their consideration, and so on, cp. Ridge (2019). See fn. 29.

⁹ Like others in the literature, Nguyen's characterization in fn. 4 above exhibits this ambiguity, because it is compatible with both relative to how one reads 'proper'.

Austinian *abuse* (Austin 1962, 168) – a case in which one does assert, albeit making a mistake in doing so.

The Jankovic-Ludwig understanding of rule-constitution is clearly suggested by Searle, if not endorsed; for reasons given below, I'll call it *Descriptive Formalism*, F_D . It was promoted for games by Suits (1967, 1978, 39, 52), and assumed in their criticisms by anti-formalists on sports like D'Agostino (1981, 7), Lehman (1981, 41), and Morgan (1987, 1). In this paper I have three main goals. First, I want to show that Williamson's is just another version of formalism, as characterized above; I'll call it *Normative Formalism*, F_N . It is one that D'Agostino, Lehman and Morgan had actually considered, describing it as "Platonist". They use this label not because F_N characterizes *abstract* entities, which is common to the two views; but rather because it primarily characterizes an *ideal* for games – what a good game should be. F_N thus characterizes *norm kinds* in Wolterstorff's (1980, 56) sense, see below.¹⁰ The only difference between the two lies in that, while F_D assumes a non-normative defining condition, defining conditions are instead normative on F_N : the relevant rule-constituted types are hence *normative kinds*.

It seems clear to me that early theorists like Rawls (1955) and Black (1962, 123–5) had F_N in mind rather than F_D ; I'll indicate why below, §4. My second goal is to show that, essentially for their reasons, F_N is a much more plausible view than the one advocated by Midgley and Suits and suggested by Searle, F_D . F_N has better chances of vindicating the intuitive distinction between constitutive and regulative rules than F_D ; besides, unlike F_D , F_N is not refuted, but in fact upheld by the (in my view entirely compelling) anti-formalist critical considerations, rehearsed below. My third goal is to explain how F_N can account for Austinian misfires – the existence of which is, to my knowledge, the only *prima facie* compelling motivation for F_D to be found in the current literature. The next section aims to accomplish the two first goals; §3 tackles the third.

2 Two Varieties of Formalism

On Searle's (1969, 34) intuitive characterization of the distinction, *regulative rules* "regulate a pre-existing activity, an activity whose existence is logically independent of the rules", while *constitutive rules* "create the possibility of new forms of behavior" (ibid., 35). As I'll presently show, the distinction is only apt if made relative to a specific practice. To vindicate it we should thus find a specific existing practice to which it applies. (1) below is meant to illustrate the regulative, (2) the constitutive, for the same actual game, chess. I use imperative versions as primary, for reasons that will become clear momentarily, but also the more malleable declarative modal formulation I take them to illocutionarily entail (fn. 1):

- (1) Press the clock with the same hand with which you made your move!

¹⁰ This earlier terminology thus nicely fits Newman & Knobe's (2019), see fn. 6.

One must (press the clock with the same hand with which one made one's move).¹¹

- (2) Castle only if the castling king is not in check!

One must (castle only if the castling king is not in check).

Constitutive rules, Searle says, “often have the form: X counts as Y in context C” (35); in a more recent piece he still sticks to this grammatical elucidation: “[i]t is [...] natural to think of these rules as having characteristically different syntactic forms. The regulative typically takes the form of an imperative, “Drive on the right!”, for example; the constitutive rules, not so obviously but I think nonetheless apparently, take the form “X counts as Y” or “X counts as Y in context C”, Searle (2018, 52). (Note the hedges, ‘often’, ‘typically’.) (2) thus becomes (3):

- (3) *x counts as* castling in chess only if the castling king is not in check when *x* is performed.

This strongly suggests the distinctive tenet of F_D , to wit, that the only ‘must’ in constitutive rules is not really prescriptive, but definitional; it is that of logical or conceptual necessity. Kinds defined by constitutive rules don’t differ on this view from ‘water’, on the standard Kripke-Putnam line. We thus obtain the following application to our case of F_D :

- (4) *x is_{df}* castling in chess only if the castling king is not in check when *x* is performed.

This is of course only a partial definition; other conditions should be added to obtain a full one: see rule 3.8 in the *FIDE Laws of Chess*.¹² In accordance with Jankovic’s and Ludwig’s accounts, when the rule is (intentionally) broken and the defining condition in (4) fails to obtain, the activity doesn’t occur – the performance is an Austinian *misfire*, not an *abuse*.

¹¹ I originally had provided as examples of regulative rules for chess the strategic advice for novices that one finds in internet: *Open with a center pawn! Don’t Move A Piece Twice Before Move 10!* However, a referee objected that regulative rules are “the type of edicts that a ruling body would come up with, not strategic advice”. I cannot see why; such rules fit perfectly well the intuitive characterization offered by Searle in the quotations at the start and other writers mentioned there. Thus, Kaplan (2021, §4) correctly mentions them as examples of Rawls’ (1955) norms on the “summary” conception – which, as said, is just his term for regulative rules. But given that other colleagues somehow agree with the referee, I replaced them with the one in the text, suggested by Iñigo Valero, cf. also Reiland 2020, 145. It has the problem that it is actually FIDE rule 6.2.3. I think it can be considered regulative, because intuitively the same game would be played if a bluetooth device activated the clock when the player makes the move; and it doesn’t apply to internet chess, which again intuitively is the same game. It may well be a borderline case of the regulative vs. constitutive distinction, which I grant is indeterminate as many other nonetheless real ones, e.g. male vs. female. If the reader has doubts, however, please substitute instead the strategic advice cases, or (closer to Searle’s examples) a politeness rule of chess, say, *Shake your opponent’s hand before the match!*

¹² As a matter of fact, as Searle (1969, 36) points out, only the whole set of rules will do, see below; a proper definition could be obtained from them by Ramseyfication (Lewis 1970).

Williamson (1996) offers an account of assertion in terms of constitutive rules, taking games as models. He hypothesizes (for abductive inquiry) that a single CR-shaped norm defines it, in which C stands for a suitable property of the expressed proposition:

(CR) One must (assert p only if p has C)

However, in explicitly drawn contrast to F_D , Williamson insists that the ‘must’ in (CR) is genuinely prescriptive: “Constitutive rules do not lay down necessary conditions for performing the constituted act. When one breaks a rule of a game, one does not thereby cease to be playing that game” (1996, 240). Assuming F_D , philosophers hence object that this view does not really assimilate speech acts to games (Johnson 2018, 55); norms like (CR) don’t have the proper Searlian form to be constitutive norms (Maitra 2011, 282).¹³ In my experience, Maitra’s is the crucial consideration that moves defenders of F_D : if a norm is expressed in the imperative, that already makes it “regulative”; for a rule to be constitutive, it must be in the “count as” form.

However, as has been pointed out in the literature, this syntactical characterization of the distinction is misguided, and it would thwart any vindication of the constitutive-regulative distinction. The “count as” account is a misguided attempt at capturing the correct point that constitutive rules provide real definitions for rule-constituted kinds. First, as Lewis (1979, 344) indicates and (2) illustrates, straightforward imperatives might well be constitutive rules in the pretheoretical sense (Ransdell 1971, 390–2; Glüer & Pagin 1999, 220; Morin 2011, 190). Second, little ingenuity is required to turn any imperative into explicit definitional locutions (Black 1962, 123–4; D’Agostino 1981, 12; Glüer & Pagin 1999, 217; Goldberg 2015, 22)¹⁴:

- (5) x counts as an assertion of p (if and) only if: it is wrong (to perform x if p lacks C)/ it is impermissible (to perform x if p lacks C)/one must (perform x only if p has C)

¹³ Hindriks (2009, 272), Hindriks & Guala (2014, 472), Guala & Hindriks (2015, 188–9), Guala (2016, 58–9), Marsili (2019, §2), McCammon (2014, 138), and Reiland (2020, 142, fn. 9) all assume the linguistic characterization of the distinction in their discussions – to which I am otherwise sympathetic, see fn. 41. Our disagreement is this: they assume a (flawed) theoretical account of the constitutive vs. regulative distinction (Searle’s “count as” account of constitutive rules); hence, by rejecting it as one should, they also wrongly reject the significance of the distinction. I assume instead a pretheoretical account in terms of exemplars and an intuitive gloss, and then I offer a different theoretical proposal, F_N , which (I claim) vindicates it. The full package I offer – kinds defined by constitutive rules, enforced by social norms – is similar to theirs, so in that respect we are fundamentally in agreement. But our disagreement is not merely terminological. First, their inferential path is methodologically flawed; leaving aside Searle’s misguided characterization, the constitutive-regulative distinction is intuitively in good standing. Second, on my account kinds constituted by rules are straightforwardly normative.

¹⁴ Searle begrudgingly admits as much: casting the rule in the “ X counts as Y in context C ” mold “is not intended as a formal criterion for distinguishing constitutive and regulative rules. Any regulative rule could be twisted into this form, e.g., ‘Non-wearing of ties at dinner counts as wrong officer behavior.’” But he goes on to argue in support of the “counts-as” characterization, that “here the noun phrase following ‘counts as’ is used as a term of appraisal not of specification. Where the rule naturally can be phrased in this form and where the Y term is a specification, the rule is likely to be constitutive” (Searle 1969, 36). (Note again the hedges ‘naturally’ and ‘likely’.) But the noun phrase ‘an assertion that p ’ is a “specification” in (5) and (6); for (I take it) the “specification” sense of the “count as” locution is just its use to state a definition. And it is easy to turn (1) into a partial definition of a rule-constituted activity, in the way Searle envisages here for rules of etiquette – which he (wrongly, in my view, see fn. 27) takes to be merely regulative of the relevant activity. Of course, it would be wrong to take (1) as a partial definition of the actually enacted practice of chess. This establishes that the constitutive-regulative distinction can only be vindicated for particular practices.

- (6) x is_{df} an assertion of p (if and) only if: it is wrong (to perform x if p lacks C)/it is impermissible (to perform x if p lacks C)/one must (perform x only if p has C)

Rules of the F_N kind only differ from those of the F_D sort in having truly normative, deontic conditions in their *definiens*, i.e., in their defining conditions. They thus allow for Austinian *abuses*, in addition to *misfires*; or better *require* them, as Williamson points out: “The rule unconditionally forbids this combination: one asserts p when p lacks C . The combination is possible, otherwise it would be pointless to forbid it” (ibid., 254). But this is consistent with having the status of truly providing the constitutive nature of kinds, and hence with their character of constitutive norms, “creating the possibility of” new behavior. This is thus still *formalism*: it espouses the distinction between constitutive and regulative norms, and grants that the former provide the nature or essence of distinctive kinds.¹⁵ It is the version disparagingly qualified as *Platonist* by the earlier critics who pointed out the obvious failures of F_D (D’Agostino 1981, 9; Morgan 1987, 2), as said because it primarily characterizes *an ideal* for games – what a *good* game should be. Games are on this view *norm kinds* in Wolterstorff’s (1980, 56) sense, kinds “such that it is possible for them to have properly formed and also possible for them to have improperly formed examples”; their defining conditions are *normative in the kind* (ibid., 57): no *properly formed* example can lack it.¹⁶ On the F_N variety of formalism, the partial definition for castling in (4) should be replaced by (7):

- (7) x is_{df} castling in chess only if it is not allowed if the castling king is under check.¹⁷

What are those “obvious” failures of F_D ? It has the unacceptable consequence that cheaters, tactical breakers of constitutive rules, or inept players are not playing the relevant games (D’Agostino (1981, 9); Lehman (1981, 42); Williamson (1996, 240); Rescorla (2009, 101); Kreider (2011, 56); Ridge (2017, 7); Fricker (2017, 407)). Lehman mentions the case of a very prominent baseball player, the pitcher Gaylord Perry, who is in the baseball Hall of Fame even though it is generally accepted that throughout his career he threw *spitballs* – pitches that are illegal according to the rules of baseball, thrown after applying some foreign substance to the ball, causing it to do unpredictable things. The F_D account would entail that Perry never pitched in the relevant games, and nor, in consequence, did his teammates either.

¹⁵ Formalism as understood here is thus an ontological view. Just to be clear, I am not suggesting that Williamson is committed to its details; perhaps he doesn’t understand “definition in terms of constitutive rules” the way I am assuming. In a quotation I provided above he says that “Constitutive rules do not lay down necessary conditions for performing the constituted act”; on my view they do, if the defining conditions properly include normative vocabulary. (But I take it that Williamson is just rejecting that they do given F_D ; i.e., he is reiterating that what I am calling “defining conditions” are not necessary for performance of the defined act.) Thanks to Samuele Chilovi and Matthew Kramer.

¹⁶ It is also in this sense, I take it, that Newman & Knobe (2019) talk of “Platonic essences” in their defense of the generalized essentialism I am assuming here, see fn. 6 above.

¹⁷ As a follow up to fn. 14, note that if rules of etiquette like the one in Searle’s example quoted there are put in this way, as a definition of ‘officer behavior’ (or ‘polite behavior’), the Y condition would “naturally” count as a “specification”; see also fn. 27.

Chess is usually mentioned as a game that, unlike football or basketball, doesn't allow for any rule violations, and hence fits the F_D account well (Kaluziński 2018a, §4). But this is far from being the case. Article 7 of the *FIDE Laws*, on “irregularities” (7.1 b) reads: “If during a game it is found that the chessboard has been placed contrary to Article 2.1, the game continues but the position reached must be transferred to a correctly placed chessboard”; F_D has the consequence that this would not have been a chess match in the first place. According to 7.4 b, a player loses the game (which therefore is supposed to have taken place!) after three violations of the rules. Even more outrightly, article 11B of the USRC says that if “it is found that an illegal move was made prior to each player's last ten moves, the illegal move shall stand and the game shall continue”; the same applies, according to 11A, if an illegal move during the last ten moves is found, but “the position cannot be reinstated” to how it was immediately before. Moreover, the rules for castling in both sets of regulations fit (7) instead of (4); what the rules say is that the move is “illegal” or “prevented” in such conditions.

Conte (1988, 253) acknowledges this, providing examples of constitutive rules that fit (7), which he calls ‘deontic’; but (assuming I guess the misguided syntactic characterization of the constitutive-regulative distinction) he distinguishes rules like the one defining ‘checkmate’, which he calls ‘ontic’ or ‘adeontic’, for which he just provides a descriptive condition fitting (4): “A checkmate is made when the king is attacked in such a way that no move will leave it unattacked”. But in fact article 1.2 of the *FIDE Laws* has *legal move* instead of *move*; which is better than Conte's proposal, on account of the possibility of checkmates that remain overlooked through 10 or more moves, see the previously mentioned article 11B of the USRC rules. The normative characterization is also better suited to acknowledge the point made by Suits' *spoilsport*: “Checkmate is the condition when you have immobilized my king. But you have not immobilized my king. Behold; I am moving it about in the air!” (Suits 1978, 59).¹⁸

Supporters of F_D might try to pursue Pearson's (1973) strategy, redefining *cheating* as occurring “when one has contracted to participate in one sort of activity, and then deliberately engages in another sort of activity” (ibid., 116). But I fail to see the need to engage in such “conceptual engineering”, and I don't know how far it can sensibly be taken: I don't see any reason to believe that cheaters like Gaylord Perry deliberately want to engage in another sort of activity. One can try instead a form of “legal positivism/realism”, claiming that a move in a game is illegal if and only if a referee decrees it so.¹⁹ But this is just another unmotivated form of engineering. For one thing, it leaves out games played without a referee (Kaluziński 2018, 119). And it assumes a questionable view of what referees do, as Russell (1999) points out. As he convincingly argues, although the role of referees (and aids like VAR) is regulated by the rules, they are like judges on accounts like Dworkin's. They are meant to decide on the application of the rules, and they are supposed to do this on the basis of a wider picture of what games are for like the one presented in the next section, taking into consideration why the rules of games are in force. This explains why referees make mistakes in their rule-

¹⁸ According to Huizinga (1949, 11), the spoilsport “trespasses against the rules or ignores them” so that he “shatters the play-world itself”. Nguyen (2019, 64) surprisingly claims that “one cannot be a spoilsport at chess”, but Suits' illustrative example shows that to be wrong. We'll come back to spoilsports in §3 below.

¹⁹ This seems to be Suits (1978, 52) line of defense for F_D .

regulated decisions, validating illegal moves, and may have their illegal decisions overturned by overruling committees. It also explains why changes are made to provide referees with better technological means to enforce the rules. Thus, even if we acknowledge the rule-regulated decisions of referees, we still have cases of games in which the rules are broken: an illegal move occurred, overlooked and thus validated by the referee; or a legal move was wrongly counted as illegal by the referee.

Pollock's (1982, ch. 10) discussion is close to mine in two crucial respects. First, like me, he considers F_D and F_N as just two different varieties of formalism, as understood above (Pollock 1982, 211–12), the former simply being the “orthodox” one assumed by early critics of the language-game analogy like Ziff (1960) and Rosenberg (1974). Second, like me (see below, §3), he distinguishes merely “abstract” rule-constituted kinds from those that are in force, and he takes the explanation of what it is for them to be in force (‘participation’ in the practice, in his terms) to be of the utmost importance (ibid., 211). Now, Pollock rejects the orthodox view as predicated on “a simplistic account of the constitutive rules of an institution” (ibid., 212), on the basis of the considerations about cheating, inept playing and intentional violations just discussed. However, like Conte (1988) he still feels compelled – I take it – by some remnants of the “orthodox view” F_D to distinguish two different sorts of equally constitutive rules: those that are truly “definitional” and cannot be broken, and those that can (ibid., 212–3).

Kretchmar (2015) and Kaluziński (2018a, 2018b) make similar suggestions.²⁰ Thus, among the constitutive rules that Kaluziński takes to define a game, he distinguishes between two groups, in terms of their centrality: “There is a ‘hard core’ of the game, which is a very small number of rules that cannot be violated and a much larger set of rules that could be violated without any serious consequences. Violation of the rules of the former type, i.e. those rules that form the hard core of the game, entails termination of the game” (Kaluziński 2018b, 116; cp. Kretchmar 2015, 18). He goes on to provide examples of the former: “It appears to me that it is essential in such a game as football that there be a goal and that we be trying to put the ball into it by using our legs or head; in the case of basketball we are trying to put the ball into the basket by using our hands” (ibid.). However, if he means by this to offer the actual rules of soccer regarding the use of the hands as an illustration of “hard core” rules, it is manifest that they are in fact violated; just remember the “Hand of God” at the 1986 World Cup. Pollock had a similar example, which is subject to the same objection: “What would it mean, for example to talk about breaking the rule that carrying the football across the goal line counts as making a touchdown ...?” But another famous soccer case in a World Cup final, England's 1966 “ghost goal”, refutes the example, for what corresponds to touchdowns there (goals).

Kaluziński (2018a, §4) in fact offers a more nuanced view, on which central rules have only “game-termination potential”; by violating them “we risk” game termination. But there is no significant difference in this respect between the rules that he counts as “central” and those he counts as “peripheral”; aimlessly kicking the ball around when it

²⁰ Reiland appears to hold a similar view: “Many rules of games are such that they can't be broken at all while continuing the game” (2020, 145). But perhaps (ibid., 147, 154) he holds the view defended here: against F_D , all rules *can be broken while continuing the game*, if ‘can’ expresses metaphysical possibility; but some are such that they cannot be broken *without revealing the agent to be a spoilsport*, and hence creating an Austinian misfire, cf. fn. 35.

reaches you clearly has “game-termination potential”. There is only the difference of degree that my own account of misfires in the next section (i.e., “game-termination”) provides. But all of them have “game-termination potential”, in that all allow for generating *misfires*, if systematically, intentionally and manifestly violated the way spoilsports do, for reasons I provide in §3. Note also that “central” and “peripheral” rules must be equally constitutive, for the very good reason that otherwise we don’t get a true definition of the game, as Kaluziński acknowledges, §4; cp. also Pollock *ibid.*, 212–4.²¹ I agree that there is a difference in the significance of the rules, as Searle (1969, 34) also points out; I also agree that it matters, in that this is what explains why games like soccer and chess intuitively keep their identity throughout changes in their defining rules. But this has nothing to do with the “true constitutivity” of inviolability; I will explain it in §3.

Pollock and Kaluziński are right that some flagrant violations of the rules will not be Austinian *abuses*, but *misfires* – no longer game-playing: “If some football player started passing the ball to his or her teammates, and not with his or her foot but with his/her hands, he or she would, of course, terminate playing football, i.e. it would just not be a football match anymore” (Kaluziński 2018a, §4). Searlian formalists provide similar examples: “If, for example, a player came onto the field wielding a racquet, she is not playing soccer, even if the context is casual”, Johnson (2018, 54); “Derek refuses to leave the batter’s box. He admits that he has already earned three strikes, but insists that the game would be more enjoyable for the spectators if he were allowed an extra strike [...] if Derek is sufficiently recalcitrant about being allowed the fourth strike, [...] he has stopped playing baseball altogether”, Maitra (2011, 281). Agents in all these cases act like Suits’s *spoilsport*: they ruin the game, causing “game-termination”.

Maitra (2011, 281) offers Derek’s case as one of what she calls “flagrant” violations of constitutive rules, which she considers misfire-inducing; but she accepts inept or otherwise unintentional violations as mere abuses. This suggests that she is thinking along the lines of Ludwig’s (2017, 102) “minimal” characterization of constitutive rules, quoted above: “rules the intentional following of which is constitutive of the type of activity that they govern”.²² As I have contended above, such a view entails unmotivated conceptual engineering, as (flagrant) tactical rule-violations illustrate.²³ I thus conclude that F_D is wrong as an account of the intuitive constitutive-regulative distinction. It is unmotivated, because Searle’s syntactic criterion to trace the distinction, which is what

²¹ If we just appeal to the sort of unspecific rule that Kaluziński (2018b, 116) proffers for the case of soccer in the quotation above, we will not be able to distinguish, say, American Football, rugby and Australian footy, which must be different games defined by constitutive rules if the regulative-constitutive distinction is to be vindicated.

²² Maitra (2018, 72) offers some elaboration: “an intentional and sufficiently marked failure to conform to a constitutive rule” is a sufficient condition for flagrance. She declines to provide necessary conditions; but she insists that “flagrant failures to conform to a constitutive rule governing an act are incompatible with performing that act” (*ibid.*).

²³ The usual example is the rule of not fouling your adversary, which is flagrantly violated at the end of basketball games in the hope of quickly regaining possession of the ball (cf. also Goldberg 2015, 25). Still more implausibly, Ludwig (2017, 122) claims that even when one intentionally *tries* to violate the rules of a game but fails to do so, unwittingly obeying them, the game is not being played. This is not language, but philosophy itself going on holidays.

appears to support it, is utterly inadequate; and it would induce unmotivated conceptual engineering, by counting as misfires many cases that intuitively are not.²⁴ F_N offers much better prospects of vindicating the intuitive constitutive-regulative distinction. However, I grant that there are misfires in games, of the kind illustrated above. How does F_N account for them? This is the topic of the final section.

3 Blueprints for Rule-Constituted Types, Kinds in Force, and Misfires

In this section I'll rely on Rawls's (1955, 26 fn.) distinction between *blueprint* kinds defined by constitutive rules, and those *that are in force* (Glüer & Pagin 1999, 221) in order to introduce a crucial missing piece of the account of rule-constituted kinds so far. There must be some account of why the rule-constituted kind has come to be *in force* or *accepted*. This will allow us to appreciate an ambiguity in 'game' (a form of polysemy, actually, over and above the familiar type/token one). It will offer a rapprochement between formalism and anti-formalism: in a (primary) sense, games are defined by constitutive rules. In another sense, games are defined by causal-historical relations. Last but not least, it will also help us to account for misfires.

Many kinds defined by constitutive norms are not in force, and hence are not really normative: they don't give anybody a reason to act.²⁵ Think of variations we can concoct on the rules that define actual games, and the "possible" games they define (I use scare quotes because, as abstract kinds, they are actual). They define *putative*, candidate kinds; but, if they are not in force, nobody is obligated by them. They don't give anybody a reason to act, and, in that way, they are not normative.²⁶ What would make such putative rule-constituted kinds "actual" in a given community, practices whose constitutive norms players are bound by?

What this requires is the *collective acceptance* that Searle (1995) discusses in more recent work, or something corresponding to Hart's (1961, 51–61) *rules of recognition* for legal codes. As García-Carpintero (2019) explains, this might

²⁴ Kripke-Putnam accounts of natural kinds should allow for, say, liquids with impurities to count as water – cf. Gómez-Torrente (2019, ch. 5) for a recent perceptive discussion. Adam Sennet suggested that, similarly, F_D might allow for some tolerance in what counts as castling, or in general a game of chess. But the question is what such tolerance amounts to. Unlike impure water, games whose rules are abused intuitively involve normative failures in properly achieving the values for which they are meant. This will be the central topic of the next section.

²⁵ Some writers take *reasons* as the normative primitive. I myself favor reductions of reasons to *good bases* for coming to form attitudes, or to act (Gregory 2016, Way 2017), and I take instead the *fittingness* of attitudes and (mental) acts as primitive, cf. Thomson (2008), McHugh and Way (2016), Howard (2019).

²⁶ Cf. McPherson (2011, 232) on *chess* vs. *schmess*, and Kaplan (2021, fn. 10) for more references. García-Carpintero (2019) deploys the distinction to establish that Williamson's (1996, 239) argument that kinds defined by constitutive rules cannot be conventional is unconvincing. For Williamson overlooks the point that only normative kinds that are in force are truly normative, i.e., give reasons to act; and it is by convention that they come to be so. Williamson's anti-conventionalist argument is sound for rule-constituted *blueprints*, but it suggests that the assertion conventionalism defended by philosophers like Austin or Dummett was intended for such entities, which is uncharitable. Also, the paper treats mere blueprints as if they were normative, i.e., as if they really posed obligations and permissions on agents.

come to a convention or agreement; more in general, it is effected by social norms (cf. Bicchieri 2006, Brennan et al. 2013 ch.1, Southwood 2019).²⁷ There will be some related teleology, some “coordination problems” (Lewis 1969) or goals more in general that are to be achieved by enforcing the norms (Graham 2019).²⁸ For the case of games, this has been discussed under the topic of the game “ethos”, “the purpose of the activity, which can guide both the selection of rules and behavior outside the rules” (Nguyen 2017, 11) – which anti-formalists of different denominations (D’Agostino 1981, Lehman 1981, Morgan 1987 §5, 2004) invoke in offering an alternative account, and formalists upholding F_D have also aimed to accommodate (Kretchmar 2001, 2015).

F_N offers the resources to incorporate the insights behind the appeal to the ethos of games without abandoning the intuitive distinction between constitutive and regulative rules – in fact vindicating it. For these purposes, I’ll rely on Suits’s deservedly influential account of games, on which their constitutive rules are enforced to enable players to perform a “voluntary attempt to overcome unnecessary obstacles” (Suits 1978, 55).²⁹ As Russell (1999) helpfully summarizes the view, “[i]t is a fundamental feature of games that they establish obstacles to achieving certain goals and that the competition in a game is designed to test how well participants are able to surmount those obstacles [...] [R]ules that establish games should be interpreted to create a

²⁷ Searle (2015) claims that clearly conventional practices, like driving on the right or rules of etiquette, are not rule-constituted kinds or “institutions”; cf. also Kaluziński (2019) on “trivial” vs. “genuine” constitutive rules. Searle doesn’t provide any good reason for this; he just says that they don’t “generate deontologies in the way of institutions like private property” (ibid., 511). There is a difference between the related deontologies, but it is compatible with all these practices being defined by rules. According to García-Carpintero (2019), this is the difference between institutions put in force by social norms that are conventions (driving on the right, etiquette) and those that are rather what Lewis (1969) calls *social contracts*, like property. Games, which Searle considers “deontology-generating institutions”, belong in the first group. Southwood (2019) and Kaplan (2021) make a compelling case for the genuine normativity of convention-enforced norms (like those constitutive of games, I take it), and Woods (2018) argues that they provide normative reasons.

²⁸ Fricker (2017, 388) provides a detailed explanation similar to mine; even closer to my proposals here, she shows that both a convention or a social or a moral norm, and a constitutive rule, might well underwrite the very same actually instantiated practice. These points can be presented in Epstein’s (2015, ch. 6–7) ideology. Constitutive rules provide real definitions for the kinds they individuate, and hence they must be grounded (Correia 2017); in Epstein’s terms, there must be corresponding “framing principles”. Social norms provide what he calls *anchors* for kinds that are in force. Note that the external “norms” that explain why practices defined by constitutive norms are in force need not be more than regularities in the behavior of the relevant community, sanctioned by rewards and punishment; this is in fact the way both Lewis and Bicchieri think of them, cf. Hédoïn (2015), Guala (2016, ch. 5–6), and see Brennan et al. (2013, ch. 2–4) and Southwood (2019) for a non-reductive alternative that I am sympathetic to.

²⁹ Suits’ full definition is this: “To play a game is to attempt to achieve a specific state of affairs [preludory goal], using only means permitted by rules [lusory means], where the rules prohibit use of more efficient in favor of less efficient means [constitutive rules], and where the rules are accepted just because they make possible such activity [lusory attitude]” (Suits 1978, 54–5). I don’t think we can extract from this an acceptable definition of ‘game’, cf. the papers mentioned in fn. 8. In brief: if the notion of “preludory goal” is diluted so that the account doesn’t undergenerate (excluding singing games like *ring-a-ring o’ roses*, make-believe games like *cops and robbers*, or games of luck like *rock, paper, scissors*), then it will overgenerate. In fact, as Suits (1978, 58) himself admits, the goals of games (checkmate in chess) can only be properly defined inside the “institutions” that those games constitute. Suits’ *tour de force* is valuable because it suggests a nice characterization of prototypical competitive games, and a crucial explanation for the acceptance of their defining rules that applies to all in Suits’ notion of the *lusory attitude*, “the acceptance of constitutive rules just so the activity made possible by it can occur” (1978, 54) ... on account, I’d add, of the “autotelic”, final value we get from it.

context that allows for the realization of those obstacles and the related excellences (the “lusory means”) that are available to overcome them” (Russell 1999, 35). Suits assumes F_D , but there is no reason why advocates of F_N cannot adopt his otherwise compelling view. On the resulting account, games (and speech acts when modeled on them) are social artifacts of a constitutively normative kind (Thomasson 2014), serving the goals that explain why they are in force.³⁰

As Simon (2000) notes, a version of formalism that incorporates this functional account has the resources to explain why the rules of games are modified on the basis of reasons. Although on the present proposal this, in a primary sense of ‘game’, involves replacing one for another (Williamson 1996, 239), the resulting view also has the resources to explain our sense of continuity, on the basis of something more than sheer causal-historical relations. After the introduction of VAR technology to improve on the means to make sure that soccer games fit their previous constitutive rules, distinctive “lusory means” they provide (in contrast with those of other sports, like basketball, handball, or rugby) are still preserved. It is along these lines that I envisage a proper elaboration of Searle’s (1969, 34) idea (shared by others, as indicated above) that some constitutive rules have a more “central” role than others: these are the ones that account for their specific, distinctive “lusory means”, preserved across modifications such as those introduced in soccer over the years.

This offers a rapprochement of sorts between formalism and anti-formalism, the latter understood as the view that games have *only* relational essences. Soccer understood as a historical entity (including perhaps a stage when it was brought to England by Germanic settlers in the fifth to seventh centuries and continuing till the VAR-improved game of nowadays) is such a historical kind. However, on the present proposal, to properly characterize it we must think of each of its stages as a game defined by a specific set of constitutive rules; to that extent, anti-formalism is rejected. ‘Game’ turns out to be polysemous. It primarily refers to institutions defined by constitutive rules that have come to be enforced along the lines just outlined; but it can also refer to historically related classes of such institutions, sharing a distinctive cluster of their constitutive rules – distinctive when it comes to the “lusory means” they afford. There is in addition the more standard type/token polysemy applying to both sorts of kinds. If the games analogy works, the same polysemy affects ‘language’, ‘word’ and so on.³¹

There has been ample discussion in the sports literature on the nature of the social norms that, along the suggested lines, explain why rules defining rule-constituted kinds are in force, whether they are moral, prudential, or conventional (Simon 2000, Morgan 2004, Russell 2004, Ciomaga 2013).³² In his own discussion of this issue,

³⁰ García-Carpintero (forthcoming) elaborates on these suggestions. These are, for our case, the “deep conventions” that Marmor (2009) discusses – although, as García-Carpintero (2019) argues, they don’t need to be *conventions*, and are not so in the case of assertion and promises. Such “conventions” (Bichieri’s or Brennat et al. social norms, really, cf. Hédoïn 2015) also account for the difference between chess and the similar-looking ritual that Schwyzer’s (1969) Ruritanian thought experiment imagines.

³¹ This multiple form of polysemy in fact affects all kind-designating terms, cf. Tobia, Newman, & Knobe, (2019) – as in fact Putnam had already noted for the case of ‘water’. Cf. Ridge (2020) for a similar view, already envisaged by Williamson (1996, 239–40). It is in the causal-historical sense of ‘game’ that kids in the street without proper equipment, goals or referee play “the same game” of soccer as professionals do.

³² See also Simon’s, Morgan’s and Russell’s recent reviews in *The Routledge Handbook of the Philosophy of Sports*, M. McNamee and W. Morgan (eds).

Rawls (1955, 24) had famously advocated rule-utilitarianism, to provide a justification for why the constitutive norms for punishment and promising are in place. But perhaps the sort of contractualism advanced by Pearson (1973) does a good job instead in the case of sports; and perhaps, as some authors have argued, we should just be pluralists. For present purposes we don't need to go any further into this.³³

As a matter of fact, on the present proposal we *must be* normative pluralists, committed to norms with different sources. As Williamson (1996, 240) points out, the normativity of constitutive rules is *sui generis* and pro tanto; in any particular case, it might well be *all things considered* undone by norms with other sources, prudential, moral, or what have you. Anti-formalists will wonder, why do we need constitutive norms to account for the normative features of games, when in any case we need to assume in the full account norms with other sources (the “ethos” of games)?³⁴ My reply (which of course I cannot properly develop here) would be what I take to be Rawls's (1955, 16, 26): in any particular case, those other normative sources might recommend actions that breach the rule, and might well overrule it, all things considered; but there is no question that the action is *prima facie* wrong.³⁵

The previous discussion concerns the issue what makes norms defining a normative kind (a “possible” game) to be in force (in a community). Assuming such an account, there is still a related issue about tokens of that type.³⁶ Given that rules defining a norm-

³³ Objecting to the “generalized essentialism” I share with Newman & Knobe (2019) on which there are kinds with normative essences, Aidan Gray mentioned an alleged asymmetry. Once we agree that the evidence establishes that *being H₂O* explains the manifest features of water, we take the question *what water is* to be settled; saying *yes, but still, why is water H₂O?* sounds confused. But the same doesn't seem to apply in the case of assertion and constitutive rules (cf. Cappelen 2011). Let us suppose that *meaning to be taken at one's word* is the manifest feature of flat-out assertion: meaning to impart information just on the basis of our saying so, thereby assuming that one believes what one says, and that one expects the audience to come to believe so. Let's suppose further that the evidence (conversational patterns, experiments, what have you) shows that *being beholden to the Knowledge Norm* best explains those features. Still, there appears to remain room for asking, *yes, but still, why is asserting being beholden to that constitutive norm?* In response, I point out first that debates over the years about the Kripke-Putnam view on ‘water’ (cf. Gómez-Torrent (2019, ch. 5)) belie Gray's asymmetry. Second, the *double normativity* I am attributing to (language) games explains whatever is left. There are internal, defining constitutive norms, and external social norms that explain their being in force. If a norm defines assertion, there is still the question why we have an institution defined by it.

³⁴ Thanks to Peter Graham for pressing the question; as said, Fricker (2017) tackles it, and offers a pluralist account for language games compatible with my suggestions here.

³⁵ Cf. Gilbert 2006, 223–34, Woods 2018, 216–8. Ciomaga (2013) imagines a thought experiment that allegedly would show how a “sport without normativity” is possible. Two measures are implemented: first, “perfect enforcement of rules, to guarantee that no violation of the rules goes undetected”; second, “an increase in penalties that make sure that the cost of breaking a rule will never be offset by any possible advantages gained” (ibid., 23–4). Perhaps online games approach that possibility, Reiland (2020, 145). However, in the first place it is doubtful that such reforms would always secure adequate “lusory means”: they would dispose of games like basketball (allowing no-contact, according to their rules). Second and more importantly, such amendments secure at most that the rules are not actually breached; far from abolishing normative constitutive rules, they manifestly presuppose them. For surely one can still break the rules at online chess through a programming glitch, or cheat by hacking the program.

³⁶ Woods (2018, 218) says: “Chess norms apply when playing chess. We needn't comply with such norms unless we're engaged in the activity they govern and we need not so engage”. Reiland (2020, 151–3) suggest a similar view, on which the rules of chess are only in force when playing chess. I find it natural to think that, once the relevant community has agreed on them, the rules are in force by default; but the rules and the facts that make them to be in place have provisos for when token games start and finish, and hence for when one is to be guided by them (cf. Ridge 2019, 77–8 for what I take to be a similar view, and further references in the main text). These are the conditions relevant for my account of misfires. As far as I can tell, nothing hinges on whether one describes things my way, or the way Reiland and Woods prefer.

constituted kind are in force in a community, we still need to explain what makes it the case that an instance of it occurs, so that the relevant agents become thereby beholden to its defining norms – see Suits’ (1978, 58–9) distinction between games as institutions (kinds) and their putative instances, which he uses to account for *triflers* and *spoilsports* along the lines suggested below. Additional norms apply here, including “preparatory” or “conceptual” norms (Williamson 1996, 238, 245). Agents must have the proper intentions (Glüer & Pagin 1999, 212), they must not be under duress, serious deception, and so on. I agree with Kreider (2011, 61) that the (intentional) commitment to being answerable to the constitutive rules is both necessary and sufficient for game-playing.³⁷

My suggestion is that spoilsports, if rational, fail to have the required commitments.³⁸ I appeal here to a plausible cognitive rational requirement on intentions, to the effect that one’s beliefs constrain the intentions one might have, if rational. A plausible version should allow that the basketball player who shoots from behind halfcourt just before time expires does it rationally. On a view I like, one rationally intends to F in doing G just in case one believes that G makes it more probable than it otherwise would be for F to come about (Sinhababu 2013, 681).³⁹

For rational players of games to become beholden to the constitutive rules of the game they play, what they do must make it more probable than it would otherwise be to achieve the goals explaining the enforcement of such rules, the function of the game. Cheaters (and Suits’s “triflers” who do not aim to win, like trainers who aim to motivate their trainees by allowing them to win) lack those goals: they don’t mean to prove their excellence by truly overcoming the obstacles imposed by the rules. Like professional players, they have ulterior motives; unlike theirs, cheaters’ and triflers’ ulterior motives are incompatible with the aim of properly achieving the values of the game. But such ulterior motives are still compatible with rationally having the intention of being answerable to the norms and with being committed to obeying them. Their behavior doesn’t depart from that of the most straightforwardly honest players, who may nonetheless break the rules, and fail to win. This is obviously the case when it comes to tactical breakers of rules, no matter how flagrant, and inept but nonetheless committed players. But it clearly fails in the case of spoilsports: their behavior is incompatible with their rationally intending to be committed to abiding by the norms that define the game, so as to achieve the ends that this is supposed to serve. This is ultimately why – I suggest – their performances are misfires.⁴⁰ Far from this being only accounted for by F_D – which we have very good reasons to reject anyway – it is easily explained by a general constraint on rational action, assuming F_N .

³⁷ As Kreider convincingly shows, this doesn’t require players to know the rules; many players do not know all of the rules of the games they play, and some may not know any. Cp. in contrast Kaluziński (2018b, 120); (2019, 6); Reiland (2020, 144, 150). Cf. Ridge (2019, 78 ff.) for a detailed account, which takes into consideration the point that in the case of games with multiple players the relevant commitments must be shared.

³⁸ The same applies to at least some triflers – those who conform to the rules of chess but “whose moves, though all legal, are not directed to achieving checkmate” (Suits 1978, 58), perhaps because they take themselves to be performing a different activity.

³⁹ Cf. Neale (2016, 275–8) for discussion and further references.

⁴⁰ I take it that Reiland’s (2020, 154) account is along similar lines.

4 Conclusion

In this paper I have first argued that the truly normative view of constitutive rules promoted by Williamson (1996) is still a version of *formalism*, the view that the intuitive distinction between constitutive and regulative rules tracks a really instantiated important ontological category – that of the *social construct*. It applies to language games exactly in the same way as it does to games; and it does a much better job in explaining this than the Searle-inspired view that many philosophers currently take for granted. Williamson’s paper, however, overlooks a crucial aspect of such social constructs, to wit, that they must be *in force*. I have indicated how the kinds, and then their instances, come to be so in the case of games, and how we can explain on this basis the existence of misfires for rule-constituted kinds, from the perspective of such a truly normative version of formalism. This disposes of the only compelling argument in support of the Searlian version of formalism – cases in which the defining rules are broken in ways intuitively incompatible with the rule-constituted kind being instantiated.

Many points I have made here have been made before by other philosophers, as I have documented to the best of my knowledge. However, I have also shown that they need to be put together in a compelling way, as I have meant to do here. Many philosophers assume the Searlian picture of constitutive rules, and some (unlike Searle himself) ignore the crucial issue of their enforcement.⁴¹ In addition to Rawls (1955), which inspired it, the account I have offered is also intimated in the three pages that Black (1962) devotes to these matters: “To say that chess is constituted by the rules of chess is to say that a man who failed to heed the rules *would not count* as playing the game” (ibid., 123), he says, and he goes on to point out that *heeding* the rules is compatible with not *observing* them. I thus take it that “heeding” the rules is *being answerable* to them: spoilsports fail to “heed” the rules, unlike cheaters, triflers or inept players. Black worries that the constitutive rules account might be “superficial” (ibid., 124), because any set of rules might be used to define a rule-constituted kind – a mere blueprint, on my account. He sensibly goes on to suggest that we might deal with this by taking further into consideration the “external aims” or “interests” that explain why people enforce rules (ibid., 124–5) – i.e., their being in force. D’Agostino (1981) notes Black’s more nuanced version of formalism, but objects that it is “ad hoc and unmotivated by anything more systematic than his quite correct intuitions about games” (ibid., 17). I hope that the previous pages have done enough to answer this criticism.

⁴¹ In the literature I am familiar with, only Pagin (1987, ch. 3), Glüer & Pagin (1999) and writers who refer to them (Reiland 2020, Kaluziński 2018b) emphasize this crucial aspect of any adequate account of constitutive rules. (Glüer & Pagin reject the approach, on account of the issue of *guidance* – how the alleged rules can explain the behavior of agents (ibid. 223–4); for the case of language-games, I agree on this with Williamson (1996, 201, 241), cf. also Fricker (2017, 399–400, 408, 410).) As mentioned, the issue is also prominent in Fricker’s (2017) account, close to my proposals, although she doesn’t explicitly engage with the debate targeted here. Hindriks (2009), Hédoin (2015), Hindriks & Guala (2014), Guala & Hindriks (2015) and Guala (2016) offer a plausible general account of the enforcement of constitutive norms in terms of *equilibria* – behavioral patterns or regularities. However, like anti-formalists in the case of games, they present their view as an alternative to rule-constitution; I agree with Searle (2015) that this is unmotivated, although not with his reasons, see fn. 13. Reiland (2020, 142 fn. 9) also misses the point that F_N is a version of formalism, although our final accounts are also similar.

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