

# Beyond the SHGH Conjecture

Algebraic Geometry Seminar  
University of Barcelona

October 7, 2016

Juan C. Migliore

University of Notre Dame

Joint work with:

David Cook II

Brian Harbourne

Uwe Nagel

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(Motivated by a paper of Di Gennaro - Ilardi - Vallès.)

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In this sense, the main topic of this talk shares this Lefschetz philosophy. There will be a direct connection at the end.

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Let  $P \in \mathbb{P}^2$ . What is the dimension of the linear system of plane curves of degree  $j$  passing through  $P$ ?

**Answer.** Regardless of the choice of  $P$ , the dimension is

$$\dim \mathcal{L}_j - 1 = \binom{j+2}{2} - 2.$$

That is,  $P$  imposes *one independent condition* on  $\mathcal{L}_j$ .

**Easy Question 2.** Consider the complete linear system  $\mathcal{L}_j$  of plane curves of degree  $j$ .

Let  $\{P_1, \dots, P_d\} \subset \mathbb{P}^2$  be an arbitrary set of distinct points. How many independent conditions do  $P_1, \dots, P_d$  impose on  $\mathcal{L}_j$ ?

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**Easy Question 3.** Assume that  $P_1, \dots, P_d$  are chosen **generally**. Then how many independent conditions do they impose on  $\mathcal{L}_j$ ?

**Answer.** As many as possible. If there aren't too many points, they impose independent conditions.

More precisely, they impose  $\min \left\{ \binom{j+2}{2}, d \right\}$  independent conditions.

Slightly less easy question. Let  $P \in \mathbb{P}^2$  be an arbitrary point. Let  $m \geq 1$ . How many conditions are imposed on plane curves of degree  $j$  if we require them to have multiplicity at least  $m$  at  $P$ ?



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**Notation/Terminology.** Let  $mP$  be the scheme defined by the (saturated) ideal  $I_P^m$ .

If  $\binom{m+1}{2} \leq \binom{j+2}{2}$ , we'll say that "the **fat point**  $mP$  imposes  $\binom{m+1}{2}$  independent conditions on  $\mathcal{L}_j$ ."

**Very hard question.** (“Fat” analog of earlier question.) Let  $\{P_1, \dots, P_d\} \subset \mathbb{P}^2$  be a general set of points. Let  $m_1, \dots, m_d$  be positive integers.

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independent conditions. Let's call this the **expected** number of conditions.



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No! There is a unique line containing  $P_1, P_2$ . Its square is double at both points!

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When  $m_1 = m_2 = \dots = m_d = 2$ , the conjecture agrees with the **Alexander-Hirschowitz theorem**. (See also K. Chandler’s work.)

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Specifically, let

$$\mathcal{L} = |[I_Z]_{j+1}|,$$

the linear system of curves of degree  $j + 1$  passing through a fixed (reduced?) set of points  $Z$ .

**Note:** The number of conditions that  $Z$  imposes on curves of degree  $j + 1$  is irrelevant here.

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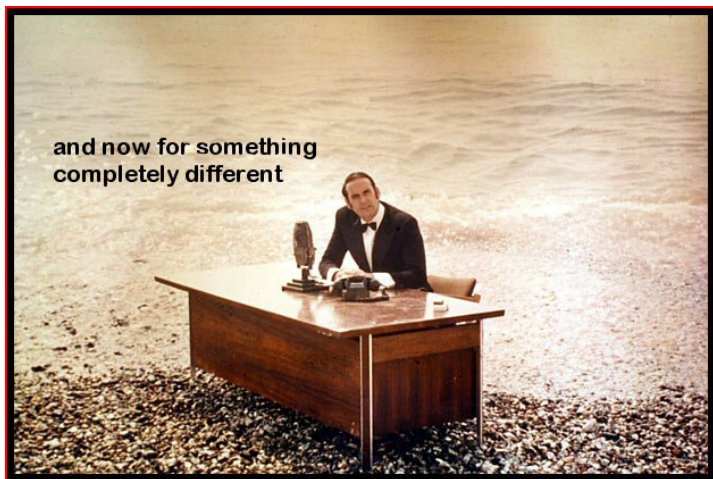
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- ▶ If not, can we predict when they do not?
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- ▶ Are there connections between this and other interesting questions?
- ▶ Clearly this question is intractable as stated. What is the first non-trivial special case? **Even  $d = 1$  is interesting!**

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In this case it is not necessarily true that  $f$  is in the ideal generated by its first partial derivatives, although it can happen.



Example. Let

$$f = xyz(x + y) = (x^2y + xy^2)z \text{ with } \text{char}(K) = 2.$$

So

$$J' = (f_x, f_y, f_z) = (y^2z, x^2z, x^2y + xy^2)$$

and  $f = z \cdot f_z \in J' = J$ .

Example. Let

$$f = xyz(x + y)(x + z) \text{ with } \text{char}(K) = 5.$$

One can check that  $f \notin J'$  so  $J' \subsetneq J$ .

Define the submodule

$$D(Z) \subset R \frac{\partial}{\partial x} \oplus R \frac{\partial}{\partial y} \oplus R \frac{\partial}{\partial z} \cong R^3$$

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Let  $\mathcal{D}_Z, \widetilde{D(\overline{Z})}$  be the sheafifications of  $D_0(Z)$  and  $D(Z)$  resp.  
What can we say about  $\mathcal{D}_Z$  and about  $\widetilde{D(\overline{Z})}$ ?

We have the following facts (omitting proofs):

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The ordered pair  $(a_Z, b_Z)$  is the **splitting type** of  $\mathcal{D}_Z$  (or  $Z$ ).

# Unexpected curves

Fix a set of points,  $Z \subset \mathbb{P}^2$ . Fix an integer  $j \geq 1$ .

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We expect that  $jP$  will impose

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4. What are some examples of sets of points with unexpected curves?

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Recall that the splitting type of  $\mathcal{D}_Z$  is  $(a_Z, b_Z)$  with  $a_Z \leq b_Z$  and  $a_Z + b_Z = \deg Z - 1$ .

The following is a (non-trivial) consequence of a result of Faenzi and Vallès.

Lemma.

$$\dim[I_{Z+jP}]_{j+1} = \max\{0, j - a_Z + 1\} + \max\{0, j - b_Z + 1\}.$$

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Is the converse true?



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## Note

$$(b) \Leftrightarrow h^1(\mathcal{I}_Z(t_Z)) = 0$$

$\Leftrightarrow Z$  imposes independent conditions on curves of degree  $t_Z$ .

## Irreducibility

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- ▶ We give a necessary and sufficient condition for the existence of irreducible curves in the linear system  $|[I_{Z+m_Z P}]_{m_Z+1}|$ , assuming  $m_Z \leq u_Z$ .

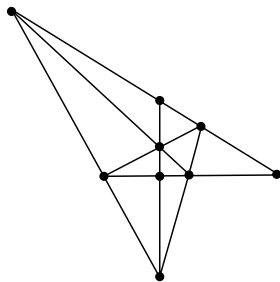
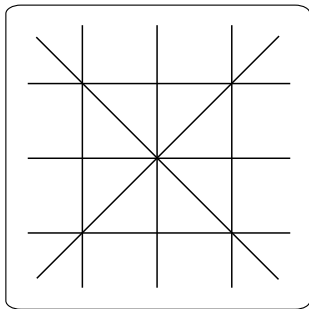
## Structure of unexpected curves

We give a careful description. Briefly, an unexpected curve consists of the union of

- ▶ an irreducible rational curve of some degree  $e$  having a point of multiplicity  $e - 1$  and
- ▶ certain lines.

# Some Examples/results

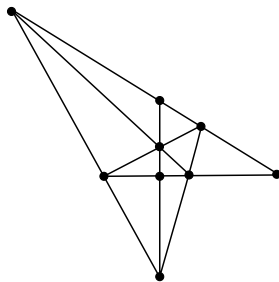
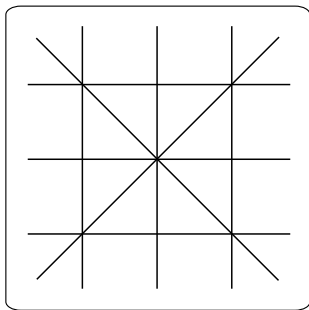
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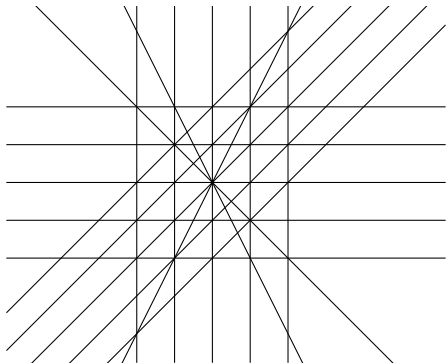
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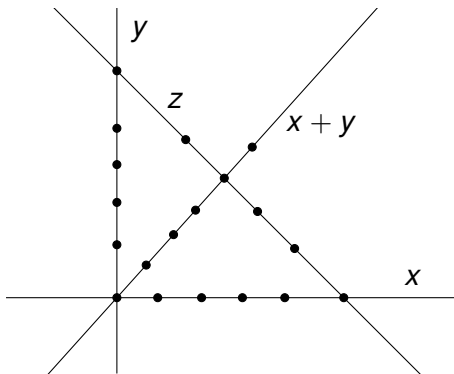
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Note  $d = 19$ . Let  $Z$  be the corresponding reduced scheme consisting of the 19 points that are dual to these lines.

The following figures show  $\mathcal{A}_f$  and  $Z$ .





It is not hard to verify that the first difference of the Hilbert function of  $Z$  is

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Thus in our example there is an unexpected curve for each degree  $k$  with

$$8 + 1 \leq k < 9 + 1.$$

That is, 9 is the only degree in which  $Z$  admits an unexpected curve. We have verified (using our criterion for irreducibility) that this curve is not irreducible.

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Recall a line arrangement  $\mathcal{A}_f$  in  $\mathbb{P}^2$  is **free** if  $\mathcal{D}_Z$  is free, i.e. if  $J = J' = (f_x, f_y, f_z)$  is a saturated ideal.

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(This is **far** from talking about a general set of points.)



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But in fact there is one. One can easily check that

$$f = \alpha^2 yz(y + z) + \beta^2 xz(x + z) + \gamma^2 xy(x + y)$$

defines a curve  $C$  (reduced and irreducible in fact) which is singular at  $P$ , and hence  $C$  is an unexpected curve of degree 3 for  $Z$ .

## Close the circle

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Intermediate question:

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Here is an interesting class of ideals:

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But the above question about  $\times L^2$  is meaningful. The following result was motivated by DIV.

Theorem. *Let*

- ▶  $\mathcal{A}(f)$  be a line arrangement in  $\mathbb{P}^2$ , where  $f = L_1 \cdots L_d$ .
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There is one additional ingredient to prove this.

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Choose positive integers  $a_1, \dots, a_m$ .

Then for any integer  $k \geq \max\{a_i\}$ ,

$$\dim_K [R/(L_1^{a_1}, \dots, L_m^{a_m})]_k = \dim_K [\wp_1^{k-a_1+1} \cap \dots \cap \wp_m^{k-a_m+1}]_k.$$

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In particular, for a general point  $P$  with defining ideal  $\wp$  and dual linear form  $L$ , we have

$$\dim_K [R/(L_1^{j+1}, \dots, L_d^{j+1}, L^2)]_{j+1} = \dim_K [\underbrace{\wp_1^1 \cap \dots \cap \wp_n^1}_{I_Z} \cap \wp^j]_{j+1}.$$

Thank you.