

A Pascal's Theorem for rational normal curves

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¹joint work with L. Schaffler.

Outline of the Talk

- 1 *Classical* Pascal's Theorem;
- 2 Bracket algebra and Grassmann–Cayley algebra;
- 3 The parameter space $V_{d,n}$;
- 4 Pascal's Theorem for rational normal curves.

Part I

Classical Pascal's Theorem

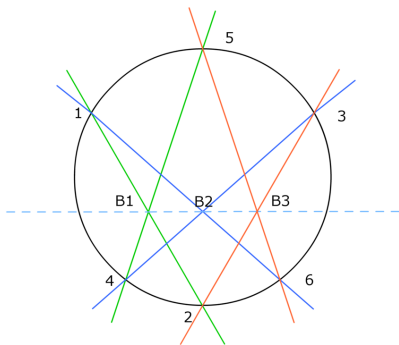
Classical Pascal's Theorem

Work over \mathbb{k} , algebraically closed field (no assumption on $\text{char } \mathbb{k}$).

Often denote projective points $p_1, \dots, p_n \in \mathbb{P}^d$ by numbers $1, \dots, n$ only.

Pascal's Theorem or Mystic Hexagon Theorem

If $1, \dots, 6 \in \mathbb{P}^2$ lie on a smooth conic \Rightarrow points $\overline{12} \cap \overline{45}$, $\overline{23} \cap \overline{56}$, and $\overline{34} \cap \overline{61}$ are collinear



Picture from Wikipedia

Historical Remarks

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- Blaise Pascal (1640) - *Essay pour les coniques*.

Historical Remarks

Pascal's Theorem

If $1, \dots, 6 \in \mathbb{P}^2$ lie on a smooth or **degenerate** conic \Rightarrow points $\overline{12} \cap \overline{45}$, $\overline{23} \cap \overline{56}$, and $\overline{34} \cap \overline{61}$ are collinear.

- Pappus of Alexandria (\simeq AD 300) - true for two lines as well, that is $1, 3, 5 \in \ell_1$, $2, 4, 6 \in \ell_2$.
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Some generalizations:

- Möbius (1848) - polygon with $4n + 2$ sides inscribed in a conic.
- Chasles (1885) - "*Given C_1, C_2 planar cubics meeting at 9 distinct points, if a third (smooth) cubic passes through 8 of them, then passes also through the ninth.*" \longrightarrow Cayley and Bacharach...

Rational Normal Curves

A **rational normal curve** (r.n.c.) \mathcal{C}_d of degree d in \mathbb{P}^d is a smooth rational curve of degree d .

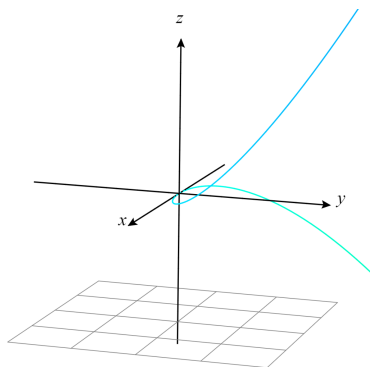
Up to projectivity, \mathcal{C}_d is the image of the degree d Veronese map

$$v_d : \mathbb{P}^1 \rightarrow \mathbb{P}^d$$

$$[u : v] \mapsto [u^d : u^{d-1}v : \dots : v^d]$$

Examples:

- \mathcal{C}_1 is a line.
- \mathcal{C}_2 is a smooth conic.
- \mathcal{C}_3 is a twisted cubic.



Main Question

Castelnuovo's Lemma

Given $d + 3$ points in \mathbb{P}^d in general linear position then there exists a (unique) rational normal curve passing through them.

Example ($d = 2$): There is always a conic through $d + 3 = 5$ points in g.l.p. in \mathbb{P}^2 . Pascal's Theorem gives a synthetic linear condition for $d + 4 = 6$ points to lie on a conic.

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Question

Is there a coordinate-free synthetic linear condition for $d + 4$ points in \mathbb{P}^d to lie on a degree d rational normal curve?

Part II

Bracket Algebra and Grassmann–Cayley Algebra

Coble's Trick

$1, \dots, 6 \in \mathbb{P}^2$ lie on a (eventually degenerate) conic if and only if their images under the Veronese map $v_2 : \mathbb{P}^2 \rightarrow \mathbb{P}^5$ lie on a hyperplane, i.e.,

$$\phi = \det \begin{pmatrix} x_{0,1}^2 & x_{0,2}^2 & \cdots & x_{0,6}^2 \\ x_{1,1}^2 & & \cdots & x_{1,6}^2 \\ x_{2,1}^2 & & \cdots & \vdots \\ \vdots & & & \\ x_{1,1}x_{2,1} & & & x_{1,6}x_{2,6} \end{pmatrix} = 0$$

where $[x_{0,1} : x_{1,1} : x_{2,1}] \cdots [x_{0,6} : x_{1,6} : x_{2,6}]$ are coordinates of the points.

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where $[x_{0,1} : x_{1,1} : x_{2,1}] \cdots [x_{0,6} : x_{1,6} : x_{2,6}]$ are coordinates of the points. ϕ can be written as algebraic combination of maximal minors of

$$\begin{pmatrix} x_{0,1} & \cdots & x_{0,6} \\ x_{1,1} & \cdots & x_{1,6} \\ x_{2,1} & \cdots & x_{2,6} \end{pmatrix}$$

In fact, $\phi = [123][145][246][356] - [124][135][236][456]$.

Bracket Algebra

Theorem (Coble)

$1, \dots, 6 \in \mathbb{P}^2$ lie on a conic if and only if $\phi = 0$, where

$$\phi = [123][145][246][356] - [124][135][236][456]$$

$\phi \in \mathbb{k}[\Lambda(6, 3)]$ i.e. a polynomial in the brackets.

A **bracket** in $\Lambda(n, d)$ is a formal expression $[\lambda_1 \dots \lambda_d]$ where $\lambda_1 < \dots < \lambda_d$, $\lambda_1, \dots, \lambda_d \in [n] = \{1, \dots, n\}$.

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Remark: There is an algebra homomorphism

$$\varepsilon : \mathbb{k}[\Lambda(n, d)] \rightarrow \mathbb{k}[x_{i,j} : 1 \leq i \leq d, 1 \leq j \leq n]$$

defined by extending $[\lambda_1 \dots \lambda_d] \mapsto \det(x_{i,\lambda_i})$. The kernel gives syzygies between the brackets.

Example ($d = 2$, $n = 4$): We have the Plücker relation

$$[12][34] - [13][24] + [14][23] = 0$$

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Example ($d = 2, n = 4$): We have the Plücker relation

$$[12][34] - [13][24] + [14][23] = 0$$

We define the **bracket algebra** as

$$\mathcal{B}_{n,d} = \mathbb{k}[\Lambda(n, d)] / \ker \varepsilon.$$

Grassmann–Cayley Algebra

V d -dimensional \mathbb{k} -vector space. The **Grassmann–Cayley algebra** of V is the exterior algebra $\Lambda(V)$ with two operations:

- \vee **join** is the standard exterior product. For $v_1, \dots, v_k \in V$ we write also

$$v_1 \cdots v_k = v_1 \vee \cdots \vee v_k$$

and call it **extensor of step** k .

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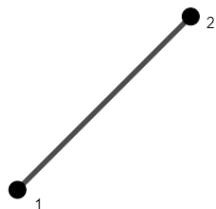
- \wedge **meet** given by the formula

$$(a_1 \dots a_j) \wedge (b_1 \dots b_k) = \sum_{\sigma} \text{sign}(\sigma) [a_{\sigma(1)} \dots a_{\sigma(d-k)} b_1 \dots b_k] a_{\sigma(d-k+1)} \dots a_{\sigma(j)},$$

where $a_1 \dots a_j$ and $b_1 \dots b_k$ are extensors of steps j and k with $j + k \geq d$ and the sum is taken over all permutations σ of $\{1, \dots, j\}$ such that $\sigma(1) < \dots < \sigma(d - k)$ and $\sigma(d - k + 1) < \dots < \sigma(j)$.

Geometric Interpretation

The **join** (\vee) refers to the line passing through points:

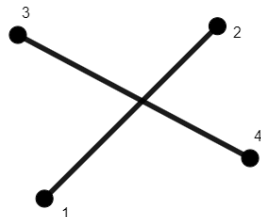


The line joining 1 and 2 is $1 \vee 2$ or 12 .

When $d = \dim V = 3$ (i.e. over \mathbb{P}^2) three points joined makes a bracket, and three collinear points make the bracket vanish.

Geometric Interpretation

The **meet** (\wedge) refers to the intersection of two spaces:



The meet of the lines $1 \vee 2$ and $3 \vee 4$ is $(1 \vee 2) \wedge (3 \vee 4)$ or $12 \wedge 34$.

Expanding the meet using its definition and the distributivity of join and meet we also obtain expressions in the brackets.

Geometric Interpretation

More formally, we have a correspondence

$$\{\text{extensors of step } j\} \longleftrightarrow \{j - \text{dim. v. subspaces of } V\}$$
$$A \longmapsto \bar{A} = \{v \in V : A \vee v = 0\}$$

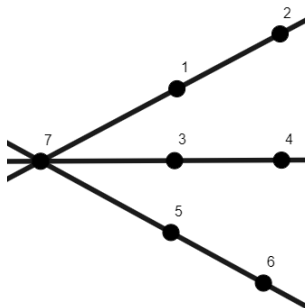
which extends to $\mathbb{P}(V)$.

Proposition

Let V be a \mathbb{k} -vector space of dimension d . Let $A = a_1 \vee \cdots \vee a_j$ and $B = b_1 \vee \cdots \vee b_k$ be two extensors of steps j and k respectively. Then

- $A \vee B \neq 0$ if and only if $a_1, \dots, a_j, b_1, \dots, b_k$ are linearly independent. In this case $\bar{A} + \bar{B} = \overline{A \vee B} = \text{span}\{a_1, \dots, a_j, b_1, \dots, b_k\}$.
- Assume $j + k \geq d$. Then $A \wedge B \neq 0$ if and only if $\bar{A} + \bar{B} = V$. In this case, $\bar{A} \cap \bar{B} = \overline{A \wedge B}$. In particular, $A \wedge B$ can be represented by an appropriate extensor.

An Example

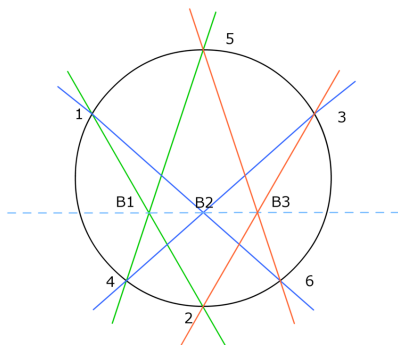


We have $((1 \vee 2) \wedge (3 \vee 4)) \vee 5 \vee 6 = 0$ because these three points are collinear.

Expanding using the definition of meet one obtains

$$\begin{aligned} 0 &= (12 \wedge 34) \vee 56 = ([134]2 - [234]1) \vee 56 \\ &= [134][256] - [234][156] \end{aligned}$$

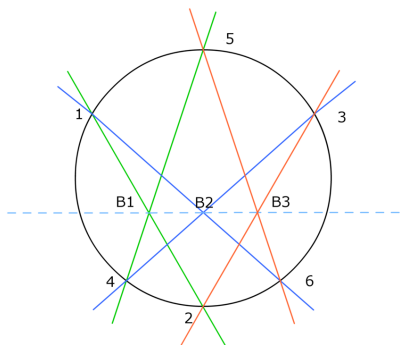
A Proof of Pascal's Theorem



The collinearity of the three points can be written in GC algebra as

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which can be expanded in bracket algebra obtaining

$$[123][145][246][356] - [124][135][236][456] = 0$$

The latter is the equation $\phi = 0$, which we know being equivalent to ask for the points $1, \dots, 6$ to lie on a conic.

The Path towards Rational Normal Curves

Grassmann–Cayley algebra $(12 \wedge 45) \vee (23 \wedge 56) \vee (34 \wedge 61) = 0$



bracket algebra



$$[123][145][246][356] - [124][135][236][456] = 0$$

We attempt to generalize both parts of the previous proof of Pascal's Theorem to rational normal curves. Namely, our strategy is the following:

- 1 Find bracket equations that express the condition for $d + 4$ points in \mathbb{P}^d to lie on a r.n.c.
- 2 Find the corresponding equations in GC algebra.

Part III

The Parameter Space $V_{d,n}$

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Let $d, n \in \mathbb{Z}_+$, we define the **Veronese compactification**

$$V_{d,n} := \overline{\{\mathbf{p} = (p_1, \dots, p_n) \in (\mathbb{P}^d)^n : p_1, \dots, p_n \in \mathcal{C}_d \text{ r.n.c.}\}} \subseteq (\mathbb{P}^d)^n$$

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- $d = 1$ or $n \leq d + 3$ then $V_{d,n} = (\mathbb{P}^d)^n$ (Castelnuovo's Lemma);
- $d \geq 2$ and $n \geq d + 4$ then $V_{d,n}$ is irreducible and $\dim V_{d,n} = d^2 + 2d + n - 3$.

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Step 1

Find (bracket) equations that define $V_{d,n}$ set-theoretically.

Which Point Configurations are in $V_{d,n}$?

Theorem (CGMS²)

A non-degenerate point configuration $\mathbf{p} \in (\mathbb{P}^d)^n$ is in $V_{d,n}$ if and only if it lies on a quasi-Veronese curve.

A *quasi-Veronese curve* \mathcal{C} in \mathbb{P}^d is a curve of degree d that is complete, connected, and non-degenerate.

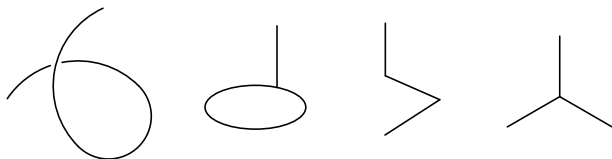


Figure: The degree three quasi-Veronese curves: twisted cubic, non-coplanar union of line and conic, chain of three lines, and non-coplanar union of three lines meeting at a point.

² A. Caminata, N. Giansiracusa, H. Moon, L. Schaffler, *Equations for point configurations to lie on a rational normal curve*, Adv. Math. 340, pp. 653–683, 2018.

Points on Plane Conics ($d = 2$)

Case $d = 2$, $n = 6$. Thanks to Coble's trick, $V_{2,6}$ is a hypersurface in $(\mathbb{P}^2)^6$ defined by the bracket equation:

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Case $d = 2$, $n \geq 7$.

$\pi_I : (\mathbb{P}^2)^n \rightarrow (\mathbb{P}^2)^6$ forgetful map

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Theorem (CGMS)

- 1 $V_{2,n} = \bigcap_I \mathcal{Z}(\phi_I)$
- 2 $V_{2,n}$ is Cohen–Macaulay and normal
- 3 $V_{2,n}$ is Gorenstein $\iff n = 6$.

The Gale Transform

Fix $d \geq 3$, $n = d + 4$.

Consider $\mathbf{p} \in (\mathbb{P}^2)^n$, $\mathbf{q} \in (\mathbb{P}^d)^n$ not on a hyperplane.

$$\mathbf{p} = (p_1, \dots, p_n) \in (\mathbb{P}^2)^n \xleftrightarrow{\text{Gale transform}} \mathbf{q} = (q_1, \dots, q_n) \in (\mathbb{P}^d)^n$$

Construct two matrices with the coordinates of the points as columns:

$A = (p_1, \dots, p_n)$ of size $3 \times n$ and

$B = (q_1, \dots, q_n)$ of size $(d + 1) \times n$.

Definition

\mathbf{p} and \mathbf{q} are **Gale dual** if there exists a $n \times n$ diagonal matrix $D \neq 0$ such that $ADB^T = 0$.

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Theorem (Goppa)

$\mathbf{p} \in \mathcal{C}_2$ r.n.c $\iff \mathbf{q} \in \mathcal{C}_d$ r.n.c.

An Example ($d = 3, n = 7$)

Take 7 points \mathbf{q} on the standard twisted cubic $\mathcal{C}_3 = \text{Im}v_3 \subseteq \mathbb{P}^3$,
 $v_3([u : v]) = [u^3 : u^2v : uv^2 : v^3]$.

$$\begin{aligned}q_1 &= [0 : 0 : 0 : 1], & q_2 &= [1 : 0 : 0 : 0], & q_3 &= [1 : 1 : 1 : 1], \\q_4 &= [1 : 2 : 4 : 8], & q_5 &= [1 : 3 : 9 : 27], & q_6 &= [1 : 4 : 16 : 64], \\q_7 &= [1 : 5 : 25 : 125]\end{aligned}$$

Write the corresponding matrix $B = (q_1, \dots, q_7)$

$$B = \begin{pmatrix} 0 & 1 & 1 & 1 & 1 & 1 & 1 \\ 0 & 0 & 1 & 2 & 3 & 4 & 5 \\ 0 & 0 & 1 & 4 & 9 & 16 & 25 \\ 1 & 0 & 1 & 8 & 27 & 64 & 125 \end{pmatrix}$$

Find the nullspace of B^T , that is a matrix A such that $AB^T = 0$.

$$A = \begin{pmatrix} 6 & 1 & -3 & 3 & -1 & 0 & 0 \\ 6 & 0 & 1 & -3 & 3 & -1 & 0 \\ -24 & 0 & -3 & 8 & -6 & 0 & 1 \end{pmatrix}$$

An Example ($d = 3, n = 7$)

$$AB^T =$$

$$\begin{pmatrix} 6 & 1 & -3 & 3 & -1 & 0 & 0 \\ 6 & 0 & 1 & -3 & 3 & -1 & 0 \\ -24 & 0 & -3 & 8 & -6 & 0 & 1 \end{pmatrix} \begin{pmatrix} 0 & 1 & 1 & 1 & 1 & 1 & 1 \\ 0 & 0 & 1 & 2 & 3 & 4 & 5 \\ 0 & 0 & 1 & 4 & 9 & 16 & 25 \\ 1 & 0 & 1 & 8 & 27 & 64 & 125 \end{pmatrix}^T = 0$$

The columns of $A = (p_1, \dots, p_7)$ give 7 points \mathbf{p} in \mathbb{P}^2 .

$$p_1 = [6 : 6 : -24], p_2 = [1 : 0 : 0], p_3 = [-3 : 1 : -3], p_4 = [3 : -3 : 8], \\ p_5 = [-1 : 3 : -6], p_6 = [0 : -1 : 0], p_7 = [0 : 0 : 1].$$

By Goppa's Theorem \mathbf{p} lie on a smooth conic, in fact they lie on

$$16x_0x_1 + 5x_0x_2 - x_1x_2 = 0$$

Equations for Rational Normal Curves ($d \geq 3, n = d + 4$)

ϕ_I defining equations for $V_{2,n}$ in bracket form, $I \subseteq [d + 4], |I| = 6$.

$$\phi_I \xrightarrow{\text{Gale transform}} \psi_I$$

Example

$\mathbf{p} \in (\mathbb{P}^2)^7, \mathbf{q} \in (\mathbb{P}^3)^7$, choose $I = \{1, \dots, 6\} \subseteq [7]$:

$$\phi_I(\mathbf{p}) = [123]_{\mathbf{p}}[145]_{\mathbf{p}}[246]_{\mathbf{p}}[356]_{\mathbf{p}} - [124]_{\mathbf{p}}[135]_{\mathbf{p}}[236]_{\mathbf{p}}[456]_{\mathbf{p}}$$

$$\psi_I(\mathbf{q}) = [4567]_{\mathbf{q}}[2367]_{\mathbf{q}}[1357]_{\mathbf{q}}[1247]_{\mathbf{q}} - [3567]_{\mathbf{q}}[2467]_{\mathbf{q}}[1457]_{\mathbf{q}}[1237]_{\mathbf{q}}$$

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Theorem (CGMS)

$$\bigcap_I \mathcal{Z}(\psi_I) = V_{d,d+4} \cup Y_{d,d+4}$$

where $Y_{d,d+4} := \{\mathbf{p} \in (\mathbb{P}^d)^{d+4} : p_1, \dots, p_{d+4} \in \text{hyperplane}\}$.

Part IV

Pascal's Theorem for Rational Normal Curves

The Cayley Factorization Problem

Grassmann–Cayley algebra $(12 \wedge 45) \vee (23 \wedge 56) \vee (34 \wedge 61) = 0$

\Downarrow

bracket algebra

\Downarrow

$$[123][145][246][356] - [124][135][236][456] = 0$$

Step 2

Find the GC algebra expression for the equations ψ_I .

The Cayley Factorization Problem

Grassmann–Cayley algebra

??



bracket algebra

$\{\psi_I : I \subseteq [d + 4], |I| = 6\}$

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Find the GC algebra expression for the equations ψ_I .

The Cayley Factorization Problem

Grassmann–Cayley algebra

??



bracket algebra

$\{\psi_I : I \subseteq [d+4], |I| = 6\}$

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bracket algebra

Cayley Factorization Problem

Example: $[123][456] + [124][356]$ does not factor in the GC algebra!

Turnbull–Young Problem

Open Question³: *Does there exist a synthetic condition à-la-Pascal for 10 points in \mathbb{P}^3 to lie on a quadric surface?*

Equivalent to find a GC algebra factorization of:

$$\det \begin{pmatrix} x_{0,1}^2 & x_{0,2}^2 & \cdots & x_{0,10}^2 \\ x_{1,1}^2 & & \cdots & x_{1,10}^2 \\ x_{2,1}^2 & \vdots & & \vdots \\ x_{3,1}^2 & & & x_{3,10}^2 \\ x_{0,1}x_{1,1} & & \cdots & x_{0,10}x_{1,10} \\ \vdots & & & \vdots \\ x_{2,1}x_{3,1} & & \cdots & x_{2,10}x_{3,10} \end{pmatrix}$$

Bracket form is known (White, 1990): $\simeq 138$ bracket monomials.

³H.W. Turnbull, A. Young, *The linear invariants of ten quaternary quadrics*, Trans. Cambridge Philos. Soc. 23, pp. 265–301, 1926.

Lifting Syzygies

Step 2: Find the GC algebra expression for the equations ψ_I .

It is possible! Thanks to the following lemma (and some extra work):

Lemma (C.–Schaffler)

There is a well-defined homomorphism of \mathbb{k} -algebras

$$\eta_{n+1}: \mathcal{B}_{n,d} \rightarrow \mathcal{B}_{n+1,d+1}$$

obtained by extending $[\lambda_{i_1} \cdots \lambda_{i_d}] \mapsto [\lambda_{i_1} \cdots \lambda_{i_d} \ n + 1]$.

This allows us to lift syzygies to higher dimensions!

Example ($d = 2, n = 4$): The Plücker relation $[12][34] - [13][24] + [14][23] = 0$ lifts to a syzygy

$$[125][345] - [135][245] + [145][235] = 0$$

Main Theorem

Theorem (C.–Schaffler⁴)

Let $d \geq 3$, let $1, \dots, d+4$ be points in \mathbb{P}^d not on a hyperplane. Then the following are equivalent:

- 1 $(1, \dots, d+4) \in V_{d,d+4}$ (equivalently, they lie on a quasi-Veronese curve of degree d);
- 2 For every $I \in \binom{[d+4]}{6}$, $I = \{i_1 < \dots < i_6\}$, $I^c = \{j_1 < \dots < j_{d-2}\}$ the following equality in the Grassmann–Cayley algebra holds:

$$(i_1 i_2 \wedge i_4 i_5 j_1 \cdots j_{d-2}) \vee (i_2 i_3 \wedge i_5 i_6 j_1 \cdots j_{d-2}) \\ \vee (i_3 i_4 \wedge i_6 i_1 j_1 \cdots j_{d-2}) \vee (j_1 \cdots j_{d-2}) = 0.$$

⁴ A. Caminata, L. Schaffler, *A Pascal's Theorem for rational normal curves*, arXiv:1903.00460.

Main Theorem

Theorem (C.–Schaffler⁴)

Let $d \geq 3$, let $1, \dots, d+4$ be points in \mathbb{P}^d in **general linear position**.
Then the following are equivalent:

- 1 $(1, \dots, d+4) \in V_{d,d+4}$ (equivalently, they lie on a **rational normal curve** of degree d);
- 2 For every $I \in \binom{[d+4]}{6}$, $I = \{i_1 < \dots < i_6\}$, $I^c = \{j_1 < \dots < j_{d-2}\}$ the following equality in the Grassmann–Cayley algebra holds:

$$(i_1 i_2 \wedge i_4 i_5 j_1 \cdots j_{d-2}) \vee (i_2 i_3 \wedge i_5 i_6 j_1 \cdots j_{d-2}) \\ \vee (i_3 i_4 \wedge i_6 i_1 j_1 \cdots j_{d-2}) \vee (j_1 \cdots j_{d-2}) = 0.$$

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The Case of Twisted Cubics

Let $1, \dots, 7 \in \mathbb{P}^3$ be in general linear position. Then they lie on a twisted cubic if and only if for every $I \subseteq [7]$, $I = \{i_1 < \dots < i_6\}$, $I^c = \{j\}$ the following 4 points lie on a plane:

- $\overline{i_1 i_2} \cap \overline{i_4 i_5 j}$
- $\overline{i_2 i_3} \cap \overline{i_5 i_6 j}$
- $\overline{i_3 i_4} \cap \overline{i_6 i_1 j}$
- j

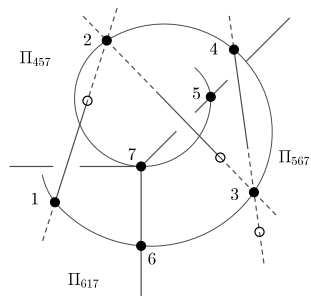


Figure: Condition for the choice $I = \{1, \dots, 6\}$ and $I^c = \{7\}$

Thank you!

