

The Rees Algebra of Parametric Curves via liftings

Carlos D'Andrea

A3G 2018 – Bristol

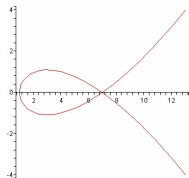


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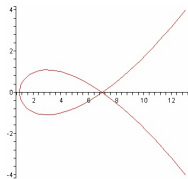


Rational Plane Parametrizations

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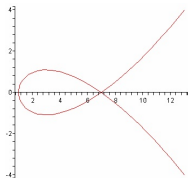


Rational Plane Parametrizations



$$\begin{aligned} \phi : \quad \mathbb{P}^1 &\rightarrow \mathbb{P}^2 \\ (t_0 : t_1) &\mapsto (a(t_0, t_1) : b(t_0, t_1) : c(t_0, t_1)) \end{aligned}$$

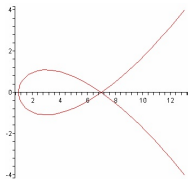
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- $a, b, c \in \mathbb{K}[T_0, T_1]$, homogeneous of the same degree $d \geq 1$

Rational Plane Parametrizations



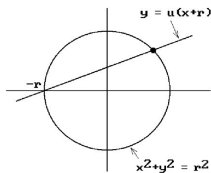
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- $a, b, c \in \mathbb{K}[T_0, T_1]$, homogeneous of the same degree $d \geq 1$
- $\gcd(a, b, c) = 1$

From affine to projective

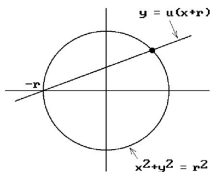
From affine to projective

$$\begin{array}{ccc} \mathbb{K} & \dashrightarrow & \mathbb{K}^2 \\ t & \mapsto & \left(\frac{1-t^2}{1+t^2}, \frac{2t}{1+t^2} \right) \end{array}$$



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$$\begin{array}{ccc} \phi : & \mathbb{P}^1 & \longrightarrow \mathbb{P}^2 \\ (t_0 : t_1) & \mapsto & (t_0^2 + t_1^2 : t_0^2 - t_1^2 : 2t_0 t_1) \end{array}$$

A “factorization” Theorem

A “factorization” Theorem

There exist two parametrizations
 $\varphi_\mu(t_0 : t_1)$, $\psi_{d-\mu}(t_0 : t_1)$ such that

$$\phi(t_0 : t_1) = \varphi_\mu(t_0 : t_1) \wedge \psi_{d-\mu}(t_0 : t_1)$$

“Factorization” of the unit circle

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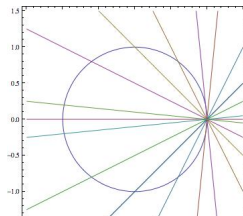
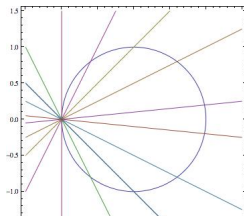
$$\begin{aligned}\varphi_1(t_0 : t_1) &= (-t_1 : -t_1 : t_0) \\ \psi_1(t_0 : t_1) &= (-t_0 : t_0 : t_1)\end{aligned}$$

“Factorization” of the unit circle

$$\varphi_1(t_0 : t_1) = (-t_1 : -t_1 : t_0)$$

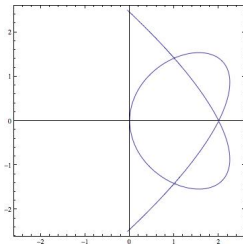
$$\psi_1(t_0 : t_1) = (-t_0 : t_0 : t_1)$$

$$\begin{vmatrix} \mathbf{i} & \mathbf{j} & \mathbf{k} \\ -t_1 & -t_1 & t_0 \\ -t_0 & t_0 & t_1 \end{vmatrix} = (-t_0^2 - t_1^2 : t_1^2 - t_0^2 : -2t_0t_1)$$



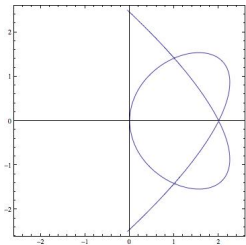
A less trivial factorization

$$\phi(t_0 : t_1) = (t_0^4 : 6t_0^2t_1^2 - 4t_1^4 : 4t_0^3t_1 - 4t_0t_1^3)$$



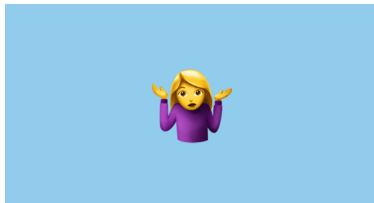
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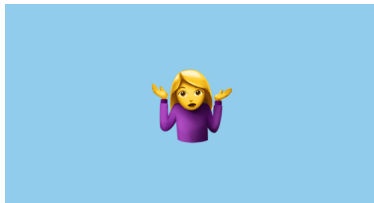


$$\begin{aligned}\varphi_2(t_0, t_1) &= (-2t_0t_1 : t_0t_1 : \frac{t_0^2}{2} - t_1^2) \\ \psi_2(t_0, t_1) &= (-2t_1^2 : t_0^2 : -t_0t_1)\end{aligned}$$

Why do we care about this?

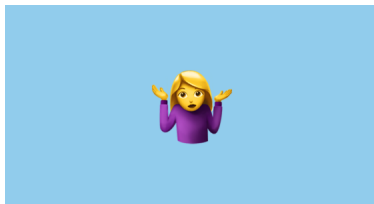


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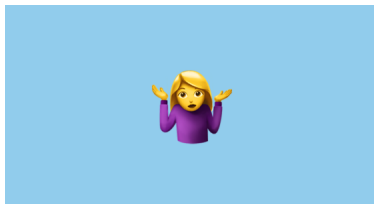
■ Geometry

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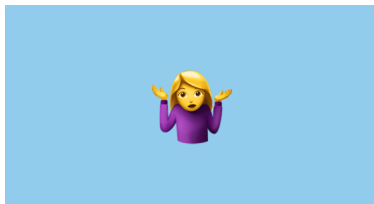
- Geometry
- Algebra

Why do we care about this?



- Geometry
- Algebra
- Complexity

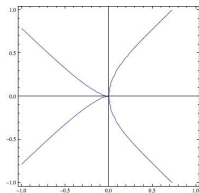
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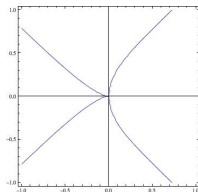
Geometry

Geometry



$$(\mu \leq d - \mu)$$

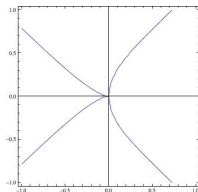
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$$(\mu \leq d - \mu)$$

- $\mu = 1 \iff$ there is one point of multiplicity $d - 1$

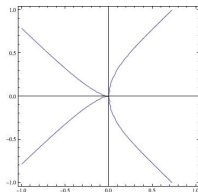
Geometry



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- If there is a point of multiplicity $> \mu$,

Geometry



$$(\mu \leq d - \mu)$$

- $\mu = 1 \iff$ there is one point of multiplicity $d - 1$
- If there is a point of multiplicity $> \mu$, then there is only one and it has multiplicity $d - \mu$

Geometry II

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(Bernardi-Gimigliano-Idà 2015, Madsen 2015)

The curve has a point of multiplicity

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$$\phi(t_0 : t_1)$$

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$$\varphi_\mu(t_0 : t_1)$$

$\wedge$

$$\psi_{d-\mu}(t_0 : t_1)$$

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$$=$$

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$$\wedge$$

$$\psi_{d-\mu}(t_0 : t_1)$$

$$=$$

$$\left(\varphi'_{\mu_1}(t_0 : t_1) \wedge \psi'_{\mu-\mu_1}(t_0 : t_1) \right)$$

$$\wedge$$

$$\psi_{d-\mu}(t_0 : t_1)$$

Algebra

Algebra

The homogeneous ideal

$I = (a, b, c) \subset \mathbb{K}[T_0, T_1]$ has a

Hilbert-Burch resolution of the
type

$$0 \rightarrow \mathbb{K}[\underline{T}]^2 \xrightarrow{(\varphi_\mu, \psi_{d-\mu})^t} \mathbb{K}[\underline{T}]^3 \xrightarrow{(a,b,c)} \mathbb{K}[\underline{T}]$$

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A μ -**basis** of the parametrization is a
basis of $\text{Syz}(I)$ as a $\mathbb{K}[\underline{T}]$ -module

Complexity: Implicitization

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$$X_2a - X_0c = X_2T_0^2 - 2X_0T_0T_1 + X_2T_1^2$$

$$X_2b - X_1c = X_2T_0^2 - 2X_1T_0T_1 - X_2T_1^2$$

Complexity: Implicitization

$$X_2 a - X_0 c = X_2 T_0^2 - 2X_0 T_0 T_1 + X_2 T_1^2$$

$$X_2 b - X_1 c = X_2 T_0^2 - 2X_1 T_0 T_1 - X_2 T_1^2$$

$$\text{Res}_{\underline{T}}(X_2 \cdot a - X_0 \cdot c, X_2 \cdot b - X_1 \cdot c)$$

=

$$\det \begin{pmatrix} X_2 & -2X_0 & X_2 & 0 \\ 0 & X_2 & -2X_0 & X_2 \\ X_2 & -2X_1 & -X_2 & 0 \\ 0 & X_2 & -2X_1 & -X_2 \end{pmatrix} = 4X_2^2(X_1^2 + X_2^2 - X_0^2)$$

With μ -bases...

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Implicit equation

=

$$\text{Res}_{\underline{I}}(\varphi_{\mu}(\underline{I}, \underline{X}), \psi_{d-\mu}(\underline{I}, \underline{X}))$$

With μ -bases...

Implicit equation

=

$$\text{Res}_{\underline{T}}(\varphi_{\mu}(\underline{T}, \underline{X}), \psi_{d-\mu}(\underline{T}, \underline{X}))$$

$$\varphi_1(\underline{T}, \underline{X}) = (-T_1 : -T_1 : T_0)$$

With μ -bases...

Implicit equation

=

$$\text{Res}_{\underline{T}}(\varphi_{\mu}(\underline{T}, \underline{X}), \psi_{d-\mu}(\underline{T}, \underline{X}))$$

$$\begin{aligned}\varphi_1(\underline{T}, \underline{X}) &= (-T_1 : -T_1 : T_0) \\ &= -T_1 X_0 - T_1 X_1 + T_0 X_2\end{aligned}$$

With μ -bases...

Implicit equation

=

$$\text{Res}_{\underline{T}}(\varphi_{\mu}(\underline{T}, \underline{X}), \psi_{d-\mu}(\underline{T}, \underline{X}))$$

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With μ -bases...

Implicit equation

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$$\det \begin{pmatrix} X_2 & -X_0 - X_1 \\ -X_0 + X_1 & X_2 \end{pmatrix} = X_1^2 + X_2^2 - X_0^2$$

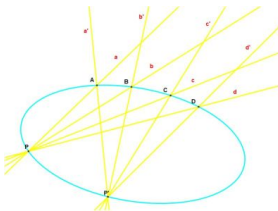
Syzygies are moving lines

Syzygies are **moving** lines

$$\mathcal{L}(T_0, T_1, X_0, X_1, X_2) = v_0(\underline{T})X_0 + v_1(\underline{T})X_1 + v_2(\underline{T})X_2$$

such that

$$\mathcal{L}(T_0, T_1, a(\underline{T}), b(\underline{T}), c(\underline{T})) = 0$$



Moving lines, conics, cubics,...

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$\text{Syz}(a, b, c)$

moving lines

Moving lines, conics, cubics,...

$$\text{Syz}(a, b, c)$$

moving lines

$$\text{Syz}(a^2, b^2, c^2, ab, ac, bc)$$

moving conics

Moving lines, conics, cubics,...

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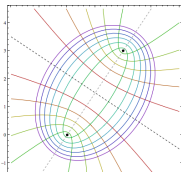
moving conics

$$\text{Syz}(a^3, b^3, c^3, a^2b, \dots)$$

moving cubics

⋮

⋮



The method of moving curves

(Tom Sederberg & collaborators, 90's...)

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The implicit equation of the curve can be computed as the determinant of a **small** matrix with entries

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The implicit equation of the curve can be computed as the determinant of a **small** matrix with entries

some moving lines
some moving conics
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...

The more **singular** the curve is, the **simpler** the description of the determinant should be

Example(Sederberg& Chen 1995)

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The implicit equation of a rational quartic can be computed as a 2×2 determinant.

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If the curve has a triple point, then one row is linear and the other is cubic.

Example(Sederberg& Chen 1995)

The implicit equation of a rational quartic can be computed as a 2×2 determinant.

If the curve has a triple point, then one row is linear and the other is cubic.

Otherwise, both rows are quadratic.

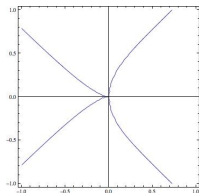
A quartic with a triple point

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$$\begin{aligned}\phi(t_0 : t_1) &= (t_0^4 - t_1^4 : -t_0^2 t_1^2 : t_0 t_1^3) \\ F(X_0, X_1, X_2) &= X_2^4 - X_1^4 - X_0 X_1 X_2^2\end{aligned}$$

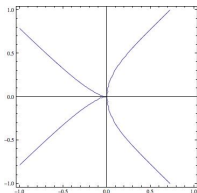
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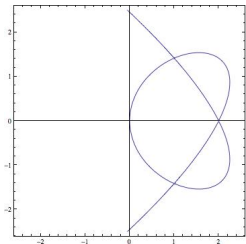
$$\begin{aligned}\mathcal{L}_{1,1}(\underline{T}, \underline{X}) &= T_0 X_2 + T_1 X_1 \\ \mathcal{L}_{1,3}(\underline{T}, \underline{X}) &= T_0 (X_1^3 + X_0 X_2^2) + T_1 X_2^3 \\ &\quad \begin{pmatrix} X_2 & X_1 \\ X_1^3 + X_0 X_2^2 & X_2^3 \end{pmatrix}\end{aligned}$$

A quartic without triple points

$$\begin{aligned}\phi(t_0 : t_1) &= (t_0^4 : 6t_0^2t_1^2 - 4t_1^4 : 4t_0^3t_1 - 4t_0t_1^3) \\ F(\underline{X}) &= X_2^4 + 4X_0X_1^3 + 2X_0X_1X_2^2 - 16X_0^2X_1^2 - 6X_0^2X_2^2 + 16X_0^3X_1\end{aligned}$$

A quartic without triple points

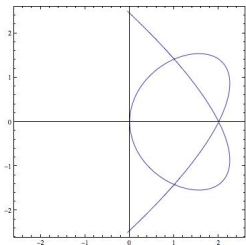
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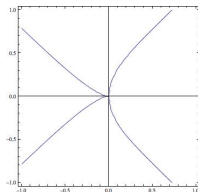


$$\mathcal{L}_{1,2}(\underline{T}, \underline{X}) = T_0(X_1X_2 - X_0X_2) + T_1(-X_2^2 - 2X_0X_1 + 4X_0^2)$$

$$\tilde{\mathcal{L}}_{1,2}(\underline{T}, \underline{X}) = T_0(X_1^2 + \frac{1}{2}X_2^2 - 2X_0X_1) + T_1(X_0X_2 - X_1X_2)$$

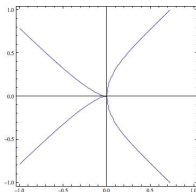
Very concentrated singularities

Very concentrated singularities



If the curve has a point of multiplicity $d - 1$

Very concentrated singularities



If the curve has a point of multiplicity $d - 1$
the implicit equation is always a 2×2 determinant

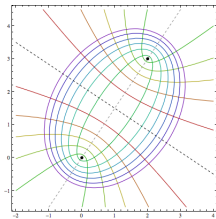
$$\begin{vmatrix} \mathcal{L}_{1,1}(\underline{X}) & \mathcal{L}'_{1,1}(\underline{X}) \\ \mathcal{L}_{1,d-1}(\underline{X}) & \mathcal{L}'_{1,d-1}(\underline{X}) \end{vmatrix}$$

In general, we do not know..

In general, we do not know..

which moving lines?
which moving conics?
which moving cubics?

...



The Rees Algebra associated to the parametrization
Cox, D. **The moving curve ideal and the Rees algebra**. Theoret. Comput. Sci. 392 (2008), no. 1–3,
23–36

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$\mathcal{K}_\phi := \{\text{Moving curves following } \phi\} =$
homogeneous elements in the kernel of

$$\begin{array}{ccc} \mathbb{K}[T_0, T_1, X_0, X_1, X_2] & \rightarrow & \mathbb{K}[T_0, T_1, s] \\ T_i & \mapsto & T_i \\ X_0 & \mapsto & a(T_0, T_1)s \\ X_1 & \mapsto & b(T_0, T_1)s \\ X_2 & \mapsto & c(T_0, T_1)s \end{array}$$

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$$\begin{array}{ccc} \mathbb{K}[T_0, T_1, X_0, X_1, X_2] & \rightarrow & \mathbb{K}[T_0, T_1, s] \\ T_i & \mapsto & T_i \\ X_0 & \mapsto & a(T_0, T_1)s \\ X_1 & \mapsto & b(T_0, T_1)s \\ X_2 & \mapsto & c(T_0, T_1)s \end{array}$$

“The ideal of moving curves following ϕ ”

Method of moving curves revisited

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The more singular the curve, the simpler the description of $\mathcal{K}_\phi$

New Problem

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Compute a minimal system of
generators of $\mathcal{K}_\phi$

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Compute a minimal system of
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- Monomial Parametrizations (Cortadellas-**D**)

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Show that if ϕ is “more singular” than
 ϕ' then $n_0(\mathcal{K}_\phi) \leq n_0(\mathcal{K}_{\phi'})$

Example: $\mu = 2$

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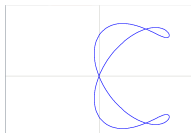
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or

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(Cortadellas-D, Kustin-Polini-Ulrich)



Recent Progress

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Jeff Madsen

Equations of Rees algebras of ideals in
two variables

arXiv:1511.04073

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Describes the bi-degrees of all
minimal generators in T -degree larger
than or equal to μ

Our contribution

(w Teresa Cortadellas & David Cox)

- Make explicit these generators and their degrees

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- Make explicit these generators and their degrees
- Do it via “lifting” the plane parametrization

The μ -type of ϕ

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Recall that

$$\begin{aligned} & \phi(t_0 : t_1) \\ &= \\ & \left(\varphi'_{\mu_1}(t_0 : t_1) \wedge \psi'_{\mu-\mu_1}(t_0 : t_1) \right) \wedge \psi_{d-\mu}(t_0 : t_1) \end{aligned}$$

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This implies

$$\phi(t_0 : t_1) = p(\underline{t}) \cdot \varphi'_{\mu_1}(t_0 : t_1) + q(\underline{t}) \cdot \varphi'_{\mu-\mu_1}(t_0 : t_1)$$

The μ -type of ϕ

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From here we can factorize

$$\mathbb{P}^1 \longrightarrow \mathcal{S}_{\mu_1, \mu_2} \hookrightarrow \mathbb{P}^{\mu+1} \dashrightarrow \mathbb{P}^2$$

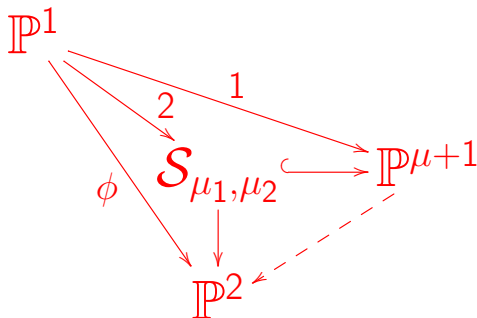
The μ -type of ϕ

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$$\mathbb{P}^1 \longrightarrow \mathcal{S}_{\mu_1, \mu_2} \hookrightarrow \mathbb{P}^{\mu+1} \dashrightarrow \mathbb{P}^2$$

with $\mathcal{S}_{\mu_1, \mu_2}$ a rational normal surface
(Bernardi, Gimigliano, Idà 2017)

So we have this diagram



Our contribution

(Cortadellas-Cox-D arXiv:1810.02077)

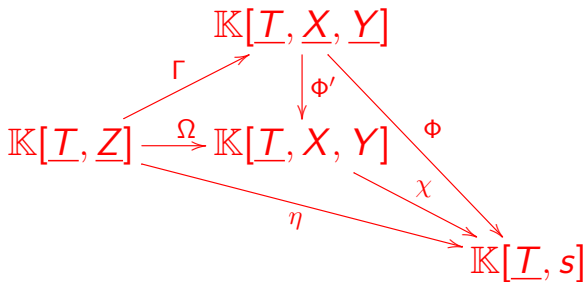
“Lift” this to a map of Rees Algebras

$$\begin{array}{ccccc} & & \mathbb{K}[\underline{T}, \underline{X}, \underline{Y}] & & \\ & \nearrow \Gamma & \downarrow \phi' & \searrow \phi & \\ \mathbb{K}[\underline{T}, \underline{Z}] & \xrightarrow{\Omega} & \mathbb{K}[\underline{T}, \underline{X}, \underline{Y}] & & \\ & \searrow \eta & \searrow \chi & \searrow & \\ & & & \mathbb{K}[\underline{T}, s] & \end{array}$$

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where Φ comes from arrow 1, χ from arrow 2, and η from ϕ

Consequences

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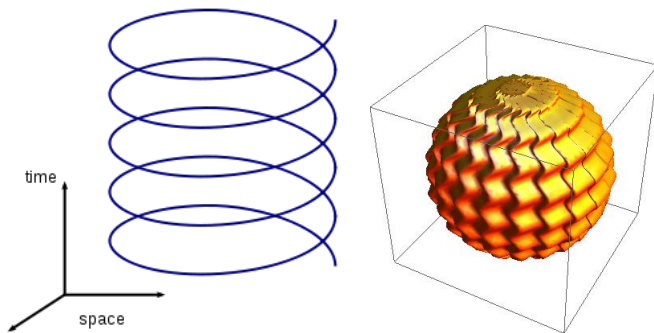
- Construction of explicit generators of the Rees ideal of the lifted curve

Consequences

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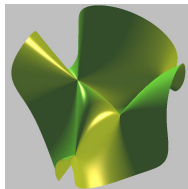
- Construction of explicit generators of the Rees ideal of the lifted curve
- Construction of some explicit generators of the Rees ideal of ϕ

Only curves in the plane?



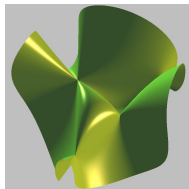
Rational Surfaces

$$\begin{aligned} \phi_S : \quad \mathbb{P}^2 &\dashrightarrow \mathbb{P}^3 \\ \underline{t} = (t_0 : t_1 : t_2) &\longmapsto (a(\underline{t}) : b(\underline{t}) : c(\underline{t}) : d(\underline{t})) \end{aligned}$$



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There are base points!

Implicitization via

- Resultants Macaulay, Dixon,
Gelfand-Kapranov-Zelevinskii, ...

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Contrast:

- The module of moving planes is not free

Moving planes, moving quadrics,...

(Sederberg-Chen, Cox-Goldman-Zhang, Busé-Cox, **D**,
D-Khetan)

Contrast:

- The module of moving planes is not free
- There is a concept of μ -basis given by
Chen-Cox-Liu

Not easy to compute (recent bounds on the
degree by Cid Ruiz)

Some results

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Implicitization

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- Quadratic and cubic surfaces (Chen-Shen-Deng)

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Rees Algebras

- “Monoid” Surfaces (Cortadellas - D)

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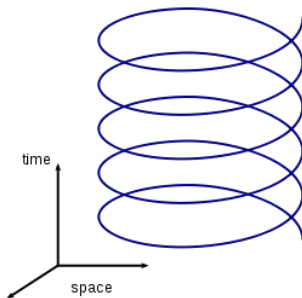
Rees Algebras

- “Monoid” Surfaces (Cortadellas - D)
- *de Jonquières* surfaces (Hassanzadeh- Simis)

Similar Results for

Spatial curves

$$\begin{aligned}\phi_C : \quad \mathbb{P}^1 &\dashrightarrow \mathbb{P}^3 \\ \underline{t} = (t_0 : t_1) &\longmapsto (a(\underline{t}) : b(\underline{t}) : c(\underline{t}) : d(\underline{t}))\end{aligned}$$



Thanks!



UNIVERSITAT_{DE}
BARCELONA

