

# Outerplanar Partial Cubes

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**Resum (CAT)**

Els partial cube-menors són una analogia de la noció de menors als partial cubes. En aquest article determinem el conjunt de pc-menors de les classes dels partial cubes outerplanars i els partial cubes sèrie-paral·lel. Aquest és el primer resultat d'aquest tipus per als partial cubes d'una classe tancada per menors

**Abstract (ENG)**

Partial cube-minors are an analogue of graph minors in partial cubes. We determine the set of forbidden partial cube minors of the classes of outerplanar and series-parallel partial cubes. This is the first result of this type for the partial cubes in a minor closed graph class.

**Keywords:** *partial cubes, outerplanar graphs, minors*

**MSC (2010):** *Primary 05C10, Secondary 68R10.*

**Received:** *June 1, 2013*

**Accepted:** *August 15, 2013*

## *Acknowledgement*

The second author is supported by the Spanish State Research Agency through grants RYC-2017-22701, PID2019-104844GB-I00, PID2022-137283NB-C22 and the Severo Ochoa and María de Maeztu Program for Centers and Units of Excellence in R&D (CEX2020-001084-M).

# 1. Introduction

Denote by  $Q_d$  the hypercube graph of dimension  $d$ , i.e., its vertices are the elements of  $\{0, 1\}^d$  and two vertices are adjacent if they differ in exactly one entry. Partial cubes are the graphs that admit an isometric embedding into a hypercube, see Figure 1 for examples. They were introduced by Graham and Pollak [19] in the study of interconnection networks, form an important graph class in media theory [18], frequently appear in chemical graph theory [17, 20], and quoting [21], *present one of the central and most studied classes in Metric Graph Theory*. Some classes of partial cubes that are studied within Metric Graph Theory include median graphs [4], bipartite cellular graphs [3], hypercellular graphs [11], Pasch graphs [10], netlike partial cubes [24], and two-dimensional partial cubes [12]. Partial cubes arise also from geometry as graphs of regions of hyperplane arrangements in  $\mathbb{R}^d$  [6], tope graphs of oriented matroids (OMs) [7], 1-skeleta of CAT(0) cube complexes [4], and more generally: tope graphs of complexes of oriented matroids [5].

An interesting structural feature of partial cubes is that they admit a natural minor-relation (pc-minors for short) consisting of restrictions and contractions, which are special forms of deletion and contraction in the graph. Many important classes of partial cubes are closed under taking pc-minors. Analogously to graph minors, given a pc-minor closed class there exists a list of excluded pc-minors of the class. Contrary to the situation of graph minors [25] for pc-minors this list might be infinite. If the list is finite this also allows for a polynomial time recognition algorithm of the class [23]. Even if the list is infinite determining it can yield insight into the class. All excluded minors are known for tope graphs of complexes of oriented matroids [23], two-dimensional partial cubes [12], median graphs, bipartite cellular graphs, hypercellular graphs, and Pasch graphs [11]. See [22, Chapter 7.5] for more related material. Since pc-minors are special graph minors, one source for pc-minor closed classes of partial cubes is the class of partial cubes in a minor-closed graph class. In the present paper we analyze the first non-trivial instance of such a class: partial cubes that are outerplanar partial cubes, i.e., they admit a crossing-free drawing in the plane such that all vertices lie on the outer face. We give a full description of its infinite list of excluded pc-minors (Theorem 4.21). Further, we obtain the list for series-parallel partial cubes (Theorem 4.22). Our proof uses the excluded minors for these classes [9] and we discuss in Section 5 possible extensions to other pc-minor closed classes. This short version omits some proofs, which can be found in [26].

## 2. Partial cubes

All graphs  $G = (V, E)$  occurring in this paper are simple, connected, and finite. The *distance*  $d(u, v) := d_G(u, v)$  between two vertices  $u$  and  $v$  is the length of a shortest  $(u, v)$ -path, and the *interval*  $I(u, v)$  between  $u$  and  $v$  consists of all vertices on shortest  $(u, v)$ -paths:  $I(u, v) := \{x \in V : d(u, x) + d(x, v) = d(u, v)\}$ . If this causes no confusion, we will denote the distance function of  $G$  by  $d$  and not  $d_G$ . An induced subgraph of  $G$  is called *convex* if it includes the interval of  $G$  between any two of its vertices. An induced subgraph  $H$  of  $G$  is *isometric* if the distance between any pair of vertices in  $H$  is the same as that in  $G$ . In particular, convex subgraphs are isometric. A graph  $G = (V, E)$  is *isometrically embeddable* into a graph  $H = (W, F)$  if there exists a mapping  $\varphi : V \rightarrow W$  such that  $d_H(\varphi(u), \varphi(v)) = d_G(u, v)$  for all vertices  $u, v \in V$ , i.e.,  $\varphi(G)$  is an isometric subgraph of  $H$ . A graph  $G$  is called a *partial cube* if it admits an isometric embedding into the hypercube  $Q_d$ . From now on, we will suppose that a partial cube  $G = (V, E)$  is an isometric subgraph of the hypercube  $Q_d$ , i.e., we will identify  $G$  with its image under the isometric embedding and its vertices will often be denoted as elements of  $\{0, 1\}^d$ . The minimal  $d$  such that  $G$  embeds isometrically into  $Q_d$  is called the (*isometric*) *dimension* of  $G$ . The edges of  $G$  are partitioned into so-called  $\Theta$ -classes,

i.e.,  $e\Theta e'$  iff both edges correspond to a switch in the same coordinate of  $Q_d$ . Denote by  $\mathcal{E} = \{E_i : i \in [d]\}$  the equivalence classes of  $\Theta$ . Sometimes we will refer to  $\Theta$  as a function  $\Theta : E(G) \rightarrow \mathcal{E}$ . The  $\Theta$ -classes can be characterized intrinsically and do not depend on the embedding, [16].

## 2.1 Partial cube minors

Let  $G = (V, E)$  be an isometric subgraph of the hypercube  $Q_d$ . Given  $f \in [d]$ , an *elementary restriction* consists in taking one of the two connected components  $\rho_{f-}(G)$  and  $\rho_{f+}(G)$  of  $G \setminus E_f$ . These graphs are isometric subgraphs of the hypercube  $Q([d] \setminus \{f\})$ . Now applying twice the elementary restriction to two different coordinates  $f, g$ , independently of the order of  $f$  and  $g$ , we will obtain one of the four (possibly empty) subgraphs. Since the intersection of convex subsets is convex, each of these four subgraphs is convex in  $G$  and consequently induces an isometric subgraph of the hypercube  $Q([d] \setminus \{f, g\})$ . More generally, a *restriction* is a convex subgraph  $\rho_A(G)$  of  $G$  obtained by, where  $A \in \{+, -, 0\}^{[d]}$ , by iteratively applying  $\rho_{eA_e}$  for all  $A_e \neq 0$ . The following is well-known:

**Lemma 2.1** ([1, 2]). *The set of restrictions of a partial cube  $G$  coincides with its set of convex subgraphs. In particular, the class of partial cubes is closed under taking restrictions.*

For  $f \in [d]$ , we say that the graph  $G/E_f$  obtained from  $G$  by contracting the edges of the equivalence class  $E_f$  is an ( $f$ -)contraction of  $G$ . For a vertex  $v$  of  $G$ , we will denote by  $\pi_f(v)$  the image of  $v$  under the  $f$ -contraction in  $G/E_f$ , i.e., if  $uv$  is an edge of  $E_f$ , then  $\pi_f(u) = \pi_f(v)$ , otherwise  $\pi_f(u) \neq \pi_f(v)$ . We will apply  $\pi_f$  to subsets  $S \subset V$ , by setting  $\pi_f(S) := \{\pi_f(v) : v \in S\}$ . In particular we denote the  $f$ -contraction of  $G$  by  $\pi_f(G)$ . It is well-known and follows from the proof of the first part of [13, Theorem 3] that  $\pi_f(G)$  is an isometric subgraph of  $Q([d] \setminus \{f\})$ . Since edge contractions in graphs commute, i.e., the resulting graph does not depend on the order in which a set of edges is contracted, we have:

**Lemma 2.2.** *Contractions commute in partial cubes, i.e., if  $f, g \in [d]$  and  $f \neq g$ , then  $\pi_g(\pi_f(G)) = \pi_f(\pi_g(G))$ . Moreover, the class of partial cubes is closed under contractions.*

Consequently, for a set  $A \subset [d]$ , we can denote by  $\pi_A(G)$  the isometric subgraph of  $Q([d] \setminus A)$  obtained from  $G$  by contracting the classes  $A \subset [d]$  in  $G$ . Finally, we have:

**Lemma 2.3** ([11]). *Contractions and restrictions commute in partial cubes, i.e., if  $f, g \in [d]$  and  $f \neq g$ , then  $\rho_{g^+}(\pi_f(G)) = \pi_f(\rho_{g^+}(G))$ .*

The previous lemmas show that any set of restrictions and any set of contractions of a partial cube  $G$  provide the same result, independently of the order in which we perform the restrictions and contractions. The resulting graph  $G'$  is also a partial cube, and  $G'$  is called a *partial cube-minor* (or *pc-minor*) of  $G$ .

## 2.2 Expansions and Cartesian products

A partial cube  $G$  is an *expansion* of a partial cube  $G'$  if  $G' = \pi_f(G)$  for some equivalence class  $f$  of  $\mathcal{E}(G)$ . More generally, let  $G'$  be a graph containing two isometric subgraphs  $G'_1$  and  $G'_2$  such that  $G' = G'_1 \cup G'_2$ , there are no edges from  $G'_1 \setminus G'_2$  to  $G'_2 \setminus G'_1$ , and  $G'_0 := G'_1 \cap G'_2$  is nonempty. A graph  $G$  is an *isometric expansion* of  $G'$  with respect to  $G'_0$  if  $G$  is obtained from  $G'$  by replacing each vertex  $v$  of  $G'_1$  by a vertex  $v_1$  and each vertex  $v$  of  $G'_2$  by a vertex  $v_2$  such that  $u_i$  and  $v_i$ ,  $i = 1, 2$  are adjacent in  $G$  if and only if  $u$  and  $v$  are adjacent vertices of  $G'_i$  and  $v_1v_2$  is an edge of  $G$  if and only if  $v$  is a vertex of  $G'_0$ . Every partial cube can be obtained from a single vertex by a sequence of expansions, [13].

The *Cartesian product*  $F_1 \square F_2$  of two graphs  $F_1 = (V_1, E_1)$  and  $F_2 = (V_2, E_2)$  is the graph defined on  $V_1 \times V_2$  with an edge  $(u, u')(v, v')$  if and only if  $u = v$  and  $u'v' \in E_2$  or  $u' = v'$  and  $uv \in E_1$ . Cartesian products of partial cubes are partial cubes. It follows immediately from the definitions that:

**Lemma 2.4.** *A partial cube  $G$  is an expansion of the partial cube  $G'$  if and only if  $G' \subseteq G \subseteq G' \square K_2$  are isometric subgraphs.*

### 3. The excluded minors

A graph is *outerplanar* if it admits a planar drawing for which all vertices lie on the outer face of the drawing. This class is minor-closed hence also outerplanar partial cubes have a set of excluded pc-minors, which we will denote by  $\Omega$ . Denote by  $L := K_{1,3} \square K_2$  the *book graph* and by  $n \geq 3$ ,  $G_n$  is the *gear graph*, i.e., the graph formed by  $2n + 1$  vertices: an even exterior cycle of length  $2n$  and a center vertex adjacent to one bipartition class of the cycle.

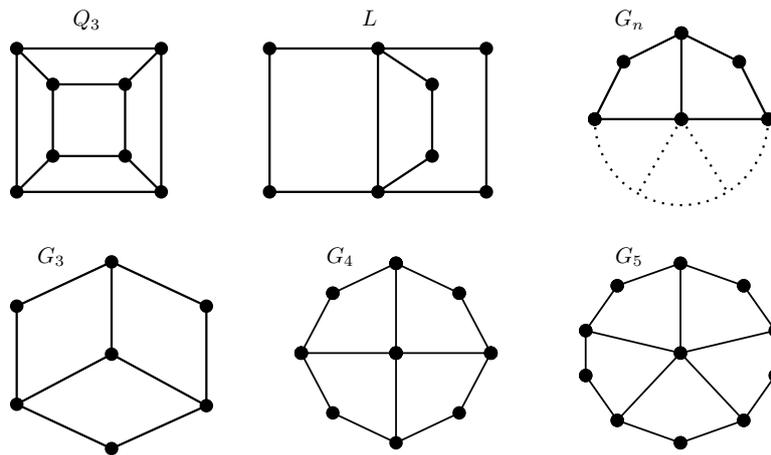


Figure 1: The cube, the book graph and the infinite family of gear graphs.

It is easy to see that all the partial cubes in Figure 1 are pc-minor minimal non-outerplanar. Our main result is that they are the only such graphs. The proof will occupy the rest of this paper.

## 4. Main proof

### 4.1 Preparation

Before we get into the proof, we need some lemmas whose proofs are omitted in this short version.

**Lemma 4.1.** *If  $G \in \Omega$  then  $G$  is planar.*

Let  $G$  be a graph, let  $F$  be a set of edges, let  $H \subseteq G$  be a subdivision of a certain graph  $K$ . We say that  $F$  *destroys*  $H$  if  $H/F$  is not a subdivision of  $K$ . We say that  $F$  *destroys*  $K$  if  $G/F$  does not contain any subdivision of  $K$  as a subgraph.

**Lemma 4.2.** *Let  $G \in \Omega$ , let  $E_i$  be a  $\Theta$ -class. Then  $E_i$  destroys  $K_4$  or  $K_{2,3}$ . In particular, if  $H \subseteq G$  is a subdivision of  $K_4$  or  $K_{2,3}$ , then  $E_i$  destroys  $H$ .*

If  $H \subseteq G$  is a subgraph, we refer to the induced subgraph by  $V(H)$  as the induced subgraph by  $H$  and denote it as  $G[H]$ .

**Lemma 4.3.** *Let  $G$  be a graph. Let  $H \subseteq G$  be a subdivision of a certain graph  $K$ . Let  $F$  be a matching. Then  $F \setminus E(G[H])$  does not destroy  $H$ .*

If  $H \subseteq G$  is a subgraph, and  $F$  be a set of edges of  $G$ , then we denote by  $F[H] := F \cap E(G[H])$ .

**Lemma 4.4.** *Let  $G \in \Omega$ . Let  $H \subseteq G$  be a subdivision of  $K_4$  or  $K_{2,3}$ . Let  $E_i$  be a  $\Theta$ -class. Then  $E_i[H] \neq \emptyset$ .*

## 4.2 Three lemmas

**Lemma 4.5.** *Let  $G$  be a partial cube containing a subdivision of  $K_{2,3}$  or  $K_4$  such that no pc-minor of  $G$  does. If  $\dim(G) \leq 3$ , then  $G = G_3$  or  $G = Q_3$ .*

*Proof.* Partial cubes of dimension 0, 1 and 2 are all outerplanar. For dimension 3, note that any pc-minor of  $G$  will be a subgraph of  $Q_2$ , thus outerplanar. Among all partial cubes of dimension 3, the only ones containing a subdivision of  $K_{2,3}$  or  $K_4$  are  $G_3$  and  $Q_3$ .  $\square$

From now we can restrict to partial cubes of isometric dimension at least 4. We start with those containing only a subdivision of  $K_{2,3}$ .

**Lemma 4.6.** *Let  $G$  be a partial cube with  $\dim(G) \geq 4$  containing a subdivision of  $K_{2,3}$  but none of  $K_4$  such that no pc-minor of  $G$  contains a subdivision of  $K_{2,3}$ . Then  $G = L$ .*

*Proof.* Among all subdivisions of  $K_{2,3}$  contained in  $G$ , we choose a  $K_{2,3}$ -subdivision  $H$  contained in  $G$  with the minimum number of vertices. Let  $a, b, c, d, z$  be the *original vertices* of  $K_{2,3}$ , with  $\deg_H(a) = 3 = \deg_H(z)$ .  $H$  consists in three disjoint paths  $\overline{abz}$ ,  $\overline{acz}$  and  $\overline{adz}$  called *main paths*. Each one of these paths contains at least two edges in two different  $\Theta$ -classes. We can assume that  $b, c, d$  are the first vertex in each main path respectively, i.e.,  $ab, ac, ad \in E(H)$ . Let  $E_1, E_2, E_3$  be  $\Theta$ -classes such that  $ab \in E_1, ac \in E_2, ad \in E_3$ .

*Claim 4.7.* Let  $P$  be a main path. Let  $u, v \in P$  such that  $\{u, v\} \neq \{a, z\}$ . If  $uv \notin E(H)$  then  $uv \notin E(G)$ .

*Proof.* Assume  $uv \notin E(H)$  and  $uv \in E(G)$ . Since  $u, v \in P$ , there is a vertex  $w \in P$  between  $u$  and  $v$  such that  $w \notin Q := \overline{uvwz}$ . Since  $\{u, v\} \neq \{a, z\}$ ,  $\ell(Q) \geq 2$ . Also,  $w \notin Q$  implies that  $\ell(Q) < \ell(P)$ . Let  $H'$  be the graph built from  $H$  and replacing  $P$  for  $Q$ .  $H'$  is a subdivision of  $K_{2,3}$  with less vertices than  $H$ , which is a contradiction to the fact that  $H$  is minimal in vertices (Figure 2).  $\square$

We conclude that there are no induced edges between vertices contained in the same main path, except maybe between  $a$  and  $z$ .

*Claim 4.8.* Let  $u, v \in H$ , vertices from two different main paths. Any path in  $G$  between  $u$  and  $v$  goes through  $a$  or  $z$ . In particular,  $uv \notin E(G)$ .

*Proof.* Let  $P, Q$  be two main paths such that  $u \in P, v \in Q$ . Let  $R$  be a path between  $u$  and  $v$  such that  $a, z \notin R$ . Note that  $u \in P \setminus \{a, z\}, v \in Q \setminus \{a, z\}$  are two disjoint paths. Assume that  $P \cap R = \{u\}$  and  $Q \cap R = \{v\}$ . Now a  $K_4$ -subdivision is formed, picking as original vertices  $a, u, v, z$  and six main paths, where  $R$  is one of them and the others paths are contained in  $H$  (Figure 2).  $\square$

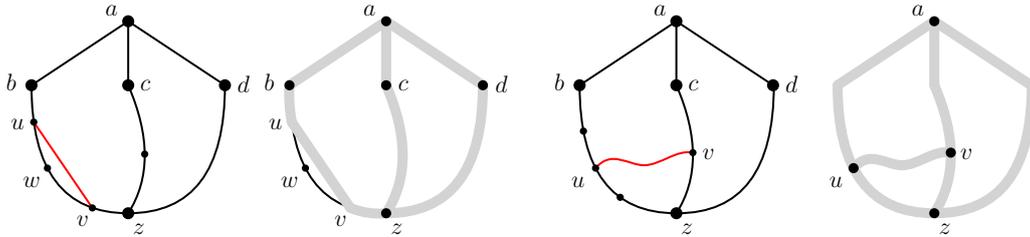


Figure 2: Claims 4.7 and 4.8: If  $uv$  exists then: (left) there is a  $K_{2,3}$ -subdivision not containing  $w$  or (right) there is a  $K_4$ -subdivision.

Claims 4.7 and 4.8 imply that  $az$  will be (if it exists) the only edge in  $G$  induced by  $H$ .

*Claim 4.9.*  $a$  and  $z$  differ in only one coordinate, i.e.,  $az \in E(G)$ .

*Proof.* Assume  $a$  and  $z$  differ in at least two coordinates, i.e.  $a = (0, 0, \dots)$  and  $z = (1, 1, \dots)$ . Let  $E_1, E_2$  be the  $\Theta$ -classes corresponding to the first two coordinates. Since the three main paths are disjoint, there exist  $e_{1b}, e_{1c}, e_{1d} \in E_1$  and  $e_{2b}, e_{2c}, e_{2d} \in E_2$  such that  $\overline{e_{1b}, e_{2b}} \in \overline{abz}, e_{1c}, e_{2c} \in \overline{ac\bar{z}}, e_{1d}, e_{2d} \in \overline{adz}$ . Then there exist three vertices  $u_b \in \overline{abz}, u_c \in \overline{ac\bar{z}}, u_d \in \overline{adz}$  such that  $u_i$  is between  $e_{1i}$  and  $e_{2i}$  in each main path (Figure 3). Then each  $u_i$  has its first two coordinates either  $(0, 1)$  or  $(1, 0)$ . In each 8 combinations, at least two vertices have the same two first coordinates. Assume  $u_b = (0, 1, \dots), u_c = (0, 1, \dots)$ . Now, let  $P$  be a short  $(u_b, u_c)$ -path. Any vertex  $v \in P$  has got to have the same first two coordinates, i.e.,  $v = (0, 1, \dots)$ . Then, neither  $a$  nor  $z$  can be in  $P$ . This is a contradiction with Claim 4.8. Then,  $a$  and  $z$  differ in only one coordinate, i.e.,  $az \in E(G)$ . We can assume from now on that  $az \in E_4$ .  $\square$

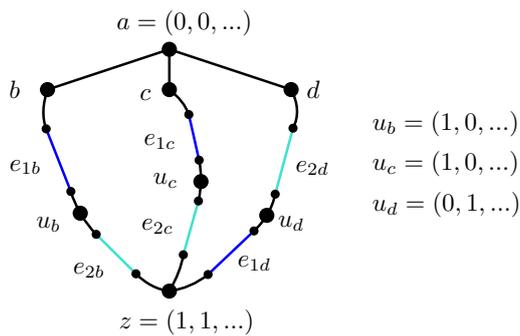


Figure 3: Claim 4.9: a short  $(u_b, u_c)$ -path cannot pass through  $a$  nor  $z$

*Claim 4.10.* Let  $P$  be a main path. Then,  $\ell(P) = 3$  and  $\Theta(P) = (E_i, E_4, E_i)$ , where  $E_i$  is the  $\Theta$ -class corresponding to the first edge of  $P$  starting from  $a$ , i.e.,  $i \in [3]$ .

*Proof.*  $P \cup \{az\}$  forms a cycle of length 4 or greater. Thus, this cycle has at least two edges in  $E_i$  and  $E_4$ . The other main paths  $Q, R$  already have three edges not contained in  $E_i$ . Then,  $\pi_i(Q)$  and  $\pi_i(R)$  do still

have length greater than 2. Lemma 4.2 ensures that each  $\Theta$ -class destroys  $H$ . Then, since  $E_i$  destroys  $H$ , we get  $\ell(\pi_i(P)) < 2$ . Thus,  $\ell(\pi_i(P)) = 1$  and  $\Theta(P) = (E_i, E_4, E_j)$ .  $\square$

From Claim 4.10 we get to fully determine  $H$ . It turns out that  $G[H] = H \cup \{az\} = L$ .

Claim 4.11.  $\dim(G) = 4$ .

*Proof.* Thanks to Lemma 4.4, all  $\Theta$ -classes have to contain an edge in  $G[H]$ , but  $G[H] = L \subseteq Q_4$ .  $\square$

Still, we have not fully determined  $V(G)$  and there could be a vertex  $v \in V(G) \setminus V(H)$ .

Claim 4.12.  $V(H) = V(G)$ .

*Proof.*  $G$  is a partial cube, then  $G$  is connected. If  $V(G) \setminus V(H) \neq \emptyset$ , then there is a vertex  $u \in V(G) \setminus V(H)$ , adjacent to some  $v \in V(H) \setminus \{a, z\}$ . Assume  $v = b$ . Then either  $bu \in E_2$  or  $bu \in E_3$ . Assume the first option.  $G$  is a partial cube implies  $cu \in E(G)$  and  $\Theta(cu) = E_1$ . But that is a contradiction with Claim 4.8. Then  $V(G) = V(H)$ .  $\square$

Finally,  $V(G) = V(H)$  and  $G[H] = L$  imply that  $G = L$ , which finishes the proof of Lemma 4.6.  $\square$

**Lemma 4.13.** *Let  $G$  be a partial cube with  $\dim(G) = n \geq 4$  containing a subdivision of  $K_4$  such that no pc-minor of  $G$  contains a subdivision of  $K_f$ . Then  $G = G_n$ .*

*Proof.* Among all subdivisions of  $K_4$  in  $G$ , we choose a subdivision  $H$  with the minimum number of vertices. Let  $a, b, c, d$  be the original vertices of  $K_4$ . The six edges of  $K_4$  are called *main paths* in  $H$ . Let  $e \in E(G[H])$ . Then up to symmetry  $e$  has to be one of the following types:

- (i)  $e_1 = u_1v_1 \in E(H)$ ,  $u_1, v_1 \in \{a, b, c, d\}$  are original vertices.
- (ii)  $e_2 = u_2v_2 \in E(H)$ ,  $u_2 \in \{a, b, c, d\}$  is an original vertex and  $v_2$  is a subdivision vertex of a main path containing  $u_2$ .
- (iii)  $e_3 = u_3v_3 \in E(H)$ ,  $u_3, v_3$  are two subdivision vertices in the same main path.
- (iv)  $e_4 = u_4v_4 \notin E(H)$ ,  $u_4 \in \{a, b, c, d\}$  is an original vertex and  $v_4$  is a subdivision vertex of a main path that does not contain  $u_4$ .
- (v)  $e_5 = u_5v_5 \notin E(H)$ ,  $u_5, v_5 \in \{a, b, c, d\}$  are original vertices.
- (vi)  $e_6 = u_6v_6 \notin E(H)$ ,  $u_6 \in \{a, b, c, d\}$  is an original vertex and  $v_6$  is a subdivision vertex of a main path containing  $u_6$ .
- (vii)  $e_7 = u_7v_7 \notin E(H)$ ,  $u_7, v_7$  are two subdivision vertices of the same main path.
- (viii)  $e_8 = u_8v_8 \notin E(H)$ ,  $u_8, v_8$  are two subdivision vertices of two adjacent main paths.
- (ix)  $e_9 = u_9v_9 \notin E(H)$ ,  $u_9, v_9$  are two subdivision vertices of two opposite main paths.

Claim 4.14. Types (v),(vi),(vii),(viii),(ix) edges cannot exist. (Figure 5)

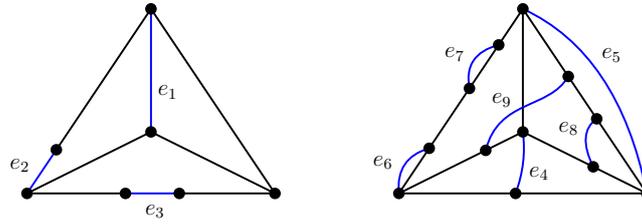


Figure 4: The nine different types of induced edges by  $H$ . On the left, the edges contained in  $H$ , on the right, the edges not contained in  $H$ .

*Proof.* **(v)** Assume  $e_5 = ab \notin E(H)$ . The main path  $\overline{ab}$  cannot be a single edge. Thus,  $\ell(\overline{ab}) \geq 2$ . Then, there exists a vertex  $w \in \overline{ab}$ ,  $u \neq a, b$ . Then, a  $K_4$ -subdivision  $H'$  is formed with the same original vertices  $a, b, c, d$  and the same main paths but replacing  $\overline{awb}$  for the edge  $e_5 = ab$ .  $H'$  contains less vertices than  $H$ , contradiction.

**(vi)** Assume  $e_6 = au \notin E(H)$ .  $a$  and  $u$  are not adjacent in  $H$ . There is a vertex  $w \in \overline{ab}$  between  $a$  and  $u$ . Then, there is a subdivision  $H'$  of  $K_4$  with the same original vertices  $a, b, c, d$  and the same main paths but replacing  $\overline{awub}$  for the path  $\{au\} \cup \overline{ub}$ .  $H'$  contains less vertices than  $H$ , contradiction.

**(vii)** Assume  $e_7 = uv \notin E(H)$ ,  $u, v \in \overline{ab}$ . There exists a vertex  $w \in \overline{ab}$  between  $u$  and  $v$ . Then there is another subdivision  $H'$  with the same original vertices  $a, b, c, d$  and the same main paths but replacing  $\overline{auwvb}$  for the path  $\overline{au} \cup \{uv\} \cup \overline{vb}$ .  $H'$  contains less vertices than  $H$ , contradiction.

**(viii)** Assume  $e_8 = uv \notin E(H)$ ,  $u \in \overline{ab}$  and  $v \in \overline{ac}$  are two subdivision vertices. There is a cycle going through  $a, u, v$  and at least a fourth vertex  $w \in H$  (due to  $G$  being a partial cube). Assume  $w \in \overline{au} \subset \overline{ab}$ . Then there is another subdivision  $H'$  with original vertices  $v, b, c, d$  and the three main paths containing  $v$  being:  $\overline{vd}, \overline{va} \cup \overline{ac}, \overline{bu} \cup \{uv\}$ .  $H'$  contains less vertices than  $H$ , contradiction.

**(ix)** Even though we can find a subdivision of  $K_4$  that has less vertices than  $H$ , there is another argument we can do. Assume  $e_9 = uv$ ,  $u \in \overline{ab}, v \in \overline{cd}$ . Then,  $H \cup \{uv\} = K_{3,3}$ , where the bipartition is  $V(K_{3,3}) = \{a, b, v\} \cup \{c, d, u\}$ . That means  $G$  is not planar, which is a contradiction to Lemma 4.1.  $\square$

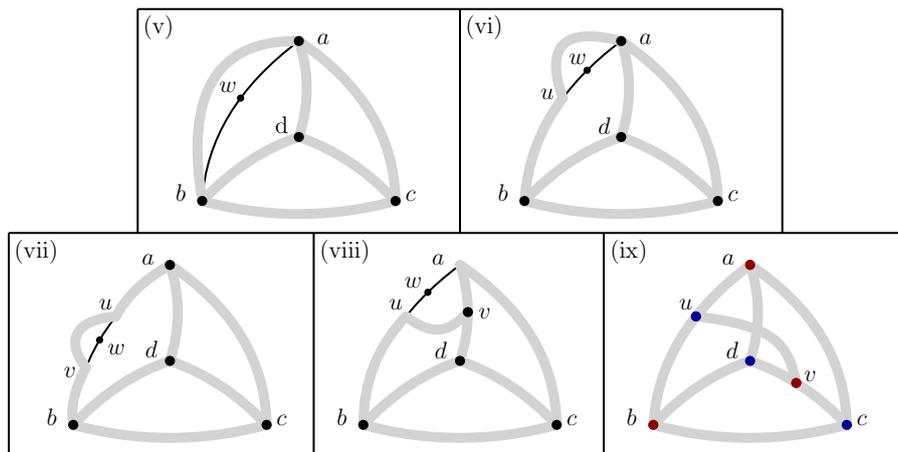


Figure 5: Representation of cases (v), (vi), (vii), (viii), (ix). In grey, the subdivisions of  $K_4$  or  $K_{3,3}$  deduced by the hypothesis of each case

Now we have that  $G[H] \setminus H$  can only have edges of type (iv), which are called *mixed edges*. Edges of

type (i) are called *original edges* and edges of types (ii) and (iii) are called *subdivision edges*.

*Claim 4.15.* Let  $E_i$  be a  $\Theta$ -class.  $E_i$  contains an original edge or mixed edge (types (i) or (iv)).

*Proof.* Thanks to Lemma 4.4, we know  $E_i[H] := E_i \cap E(G[H]) \neq \emptyset$ , since  $G \in \Omega$  and  $H$  is a subdivision of  $K_4$ . Assume every edge in  $E_i[H]$  is type (ii) or (iii), i.e., they are all subdivision edges. Contract all edges of  $E_i \setminus E_i[H]$  (edges in  $E_i$  not induced by  $H$ ). Lemma 4.3 implies  $H = H/(E_i \setminus E_i[H])$ , i.e.,  $H$  is not affected by the contraction of  $E_i \setminus E_i[H]$ . Now, if we contract  $E_i[H]$ , we will have contracted all edges of  $E_i$ . Due to Lemma 4.2,  $\pi_i(G)$  will not contain any subdivision of  $K_4$ . However, we are assuming all edges in  $E_i[H]$  are subdivision edges, i.e., all edges in  $E_i[H]$  are contained inside the main paths. There cannot be any main path containing only edges in  $E_i$  (except if the main path is a single edge, but in that case it would be an original edge). Then  $\pi_i(H)$  still contains the same main paths contracted, but never until being fully contracted. Then,  $\pi_i(G)$  contains  $\pi_i(H)$  as a subgraph, which is still a subdivision of  $K_4$ . That is a contradiction which means that  $E_i$  has to have an original edge or a mixed edge (types (i) and (iv)).  $\square$

*Claim 4.16.*  $G$  contains at least one mixed edge (type (iv)).

*Proof.* We prove  $G$  cannot have more than 3 original edges. Since  $n := \dim(G) \geq 4$ , there is at least one  $\Theta$ -class containing mixed edge. Assume  $E_1, E_2, E_3$  are  $\Theta$ -classes each one containing an original edge. Except symmetries, they can only form a  $C_3, P_3$  or  $K_{1,3}$  inside  $K_4$ . Let  $E_4$  be a  $\Theta$ -class. A fourth original edge in  $E_4$  would form a  $C_4$  or a  $C_3 +_1 P_1$  together with the other three. A  $C_4$  in a partial cube cannot have four different  $\Theta$ -classes and a  $C_3 +_1 P_1$  has an odd cycle, thus,  $E_4$  cannot contain an original edge. Then, Claim 4.15 implies that  $E_4$  necessarily contains a mixed edge. Moreover,  $G$  contains at least  $n - 3$  mixed edges.  $\square$

*Claim 4.17.* All mixed edges are incident to the same original vertex.

*Proof.* Let  $e, f \in E(G)$  be two mixed edges incident to two different original vertices. Assume  $e = au$  and  $f = dv$ ,  $u, v \in V(H)$  being two subdivision vertices. Up to symmetries we have four cases, see Figure 6:

- (i)  $u, v \in \overline{bc}$
- (ii)  $u \in \overline{bd}$  and  $v \in \overline{bc}$
- (iii)  $u \in \overline{bd}$  and  $v \in \overline{ac}$
- (iv)  $u \in \overline{bd}$  and  $v \in \overline{ab}$

In cases (i), (ii), (iii), just like in Figure 5 we can find a subdivision of  $K_4$  with strictly less vertices than  $H$ . In case (iv) we can find a subdivision of  $K_{3,3}$ , contradicting that it is planar by Lemma 4.1. Hence, all mixed edges are incident to the same original vertex  $\square$

We can assume all mixed edges are incident to  $d$ .

*Claim 4.18.* The main paths  $\overline{ad}, \overline{bd}, \overline{cd}$  are indeed original edges, i.e.,  $ad, bd, cd \in E(H)$ .

*Proof.* Claim 4.16 says there is at least a mixed edge  $e \in E(G[H])$ . Assume  $e = du$ ,  $u \in \overline{bc}$ . There are three  $K_4$ -subdivisions  $H_1, H_2, H_3$  taking as original vertices  $\{b, c, d, u\}, \{a, c, d, u\}, \{a, b, d, u\}$ , respectively.  $H$  having the minimum number of vertices implies  $ad, bd, cd \in E(H)$ .  $\square$

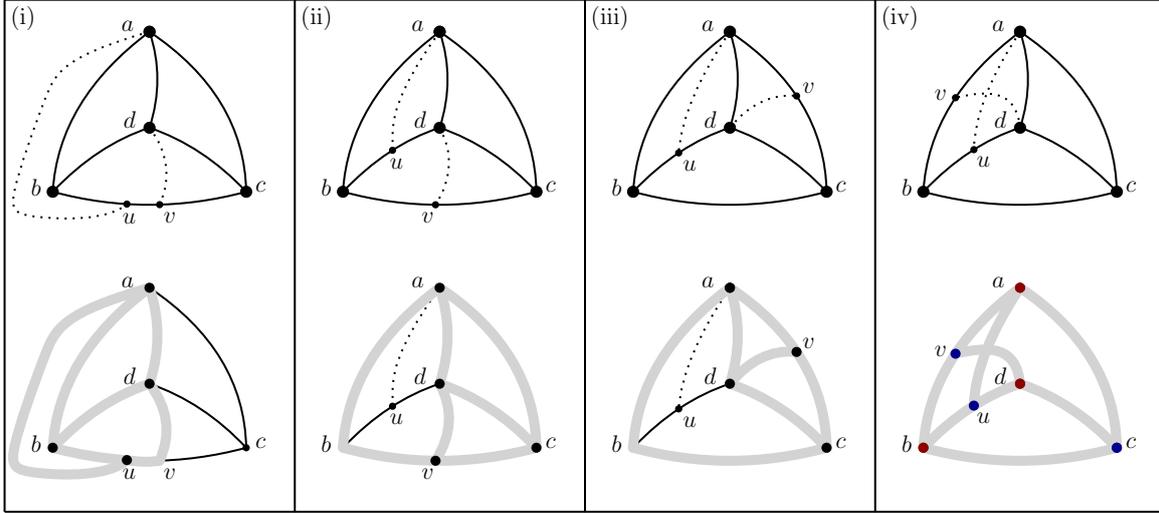


Figure 6: Cases (i), (ii), (iii) and (iv) of Claim 4.17.

Now we know  $H$  contains 3 original edges and  $n - 3$  mixed edges. Thus,  $\deg_G(d) = n$ . We still need to know about the outer cycle of  $H$ ,  $Z := \overline{abca}$ . From now on, we will not differentiate between the original vertices  $a, b, c$  and the other vertices in  $Z$  adjacent to  $d$  through a mixed edge. We will denote as  $v_1, \dots, v_n \in Z$  the vertices adjacent to  $d$  in  $G$ , ordered consecutively, and  $E_1, \dots, E_n$  the  $\Theta$ -classes of edges  $dv_1, \dots, dv_n$ , respectively. Analogously, we will not differentiate  $H$  from any other subdivision of  $K_4$  taking  $d$  and any three vertices  $v_i \in Z$ , since they all have the same number of vertices (minimal, by hypothesis).  $\forall i$ , let  $P_i := \overline{v_i v_{i+1}} \subseteq Z$  be the path not containing any other  $v_j$  (Figure 7 (i)).

*Claim 4.19.*  $\text{Long}(P_i) = 2$  and  $\Theta(P_i) = (E_{i+1}, E_i)$ .

*Proof.* Assume  $P_i = (v_i, u_{i1}, \dots, u_{ir}, v_{i+1})$ . Keeping in mind that  $n \geq 4$  and  $H$  is minimal in vertices, we can deduce that  $v_i u_{i1} \in E_{i+1}$  and  $u_{ir} v_{i+1} \in E_i$  (Figure 7 (ii)). Using partial cube properties, we deduce that  $u_{i1} = u_{ir}$ . Thus,  $\ell(P_i) = 2$  and  $\Theta(P_i) = (E_{i+1}, E_i)$  (Figure 7 (iii)).  $\square$

We deduce from Claim 4.19 that  $Z = (v_1, u_1, v_2, u_2, \dots, v_n, u_n, v_1)$  and  $G_n \subseteq G$ . Moreover, we have that  $G_n = G[H] = H \cup \{dv_i, 1 \leq i \leq n\}$ .

*Claim 4.20.*  $V(G) = V(G_n)$ .

*Proof.* Assume  $w \in V(G) \setminus V(H)$  is adjacent to a vertex  $v \in V(H)$ .  $v$  has to be in  $Z$ . We have two options:

(i)  $v = v_i \in Z, 1 \leq i \leq n$ .

(ii)  $v = u_i \in Z, 1 \leq i \leq n$ .

**(i)** Assume  $wv_i \in E(G)$  and  $wv_i \in E_j$ . Note that  $j \neq i - 1, i, i + 1$ , and can exist since  $n \geq 4$  (if  $n = 4$  then there is only one option for  $j$ ). To complete a square, we must have  $wv_j \in E(G)$ ,  $wv_j \in E_i$ . Then there is a new  $K_4$ -subdivision with original vertices  $d, v_i, v_{i+1}, v_j$ , in which  $E_{i-1}$  does not contain any induced edge by  $H'$ . But this cannot happen, as Lemma 4.4 affirms that  $E_{i-1}[H'] \neq \emptyset$  (Figure 7 (iv)).

**(ii)** Assume  $wu_i \in E(G)$ ,  $wu_i \in E_j$ . Note that  $j \neq i, i + 1$ . We split it in two new cases for  $j$ :

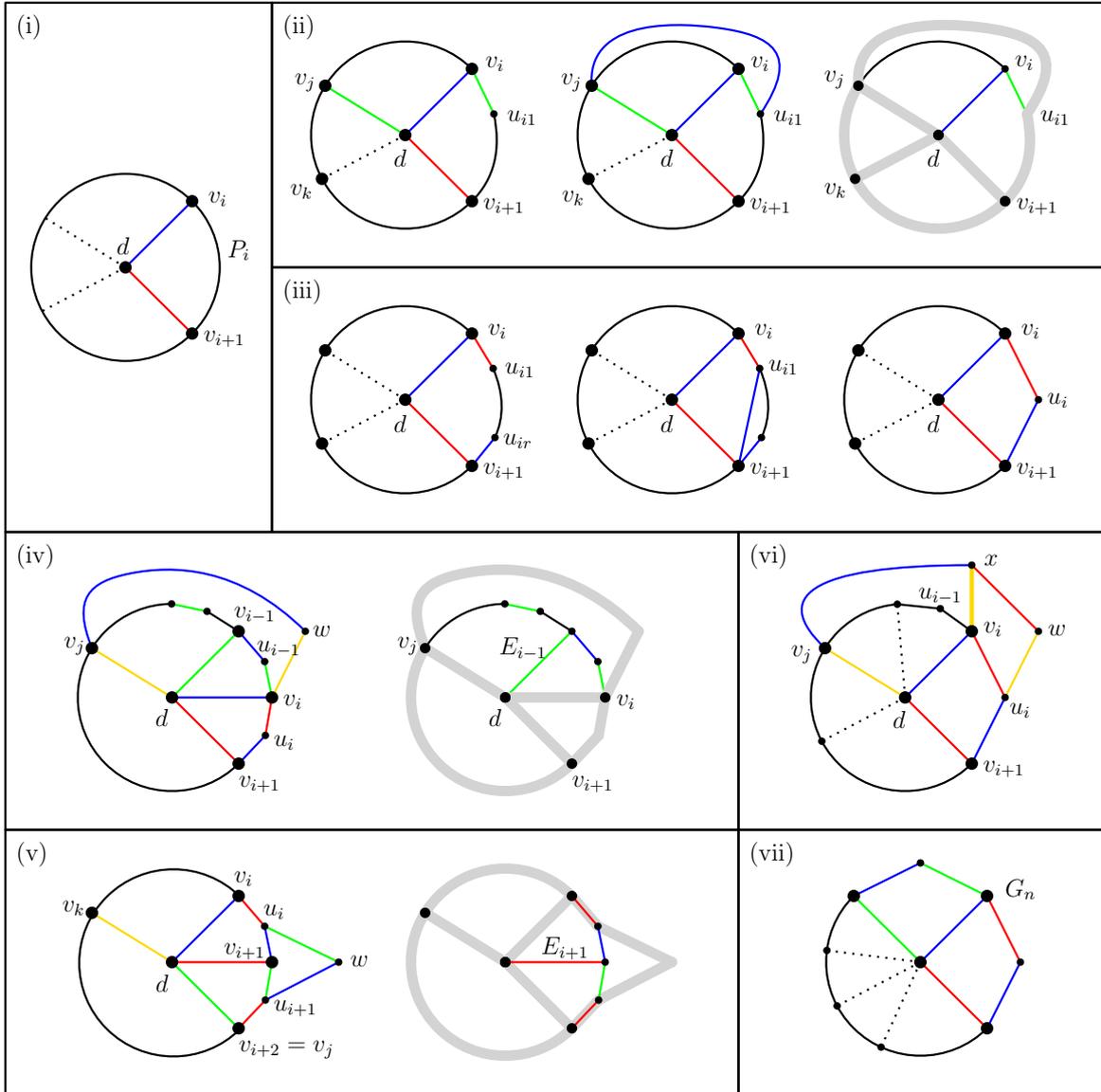


Figure 7: The cases in Claim 4.20.

(a)  $j = i - 1$  or  $j = i + 2$ , i.e.,  $v_j$  is consecutive to  $v_i$  or  $v_{i+1}$  in  $Z$ .

(b)  $j \neq i - 1, i + 2$  i.e.,  $v_j$  is not consecutive to  $v_i$  nor  $v_{i+1}$  in  $Z$  (cannot happen if  $n = 4$ ).

**(a)** By symmetry, assume  $j = i + 2$ . The square  $\{w, u_i, v_{i+1}, u_{i+1}\}$  is completed, so we have  $wu_{i+1} \in E_i$ . But note that now  $G$  contains a  $K_4$ -subdivision  $H'$  with original vertices  $d, v_i, v_{i+2}, v_k$ , where  $k$  can exist since  $n \geq 4$  (Figure 7 (v)). Note that  $|H'| = |H|$  and  $E_{i+1}$  does not contain any original or mixed edge in  $H'$ , which contradicts Claim 4.15.

**(b)** Assume  $j \neq i - 1, i + 2$ . The path  $(w, u_i, v_{i+1}, d, v_j)$  has length 4 and two edges in  $E_j$ . Then a short path  $P = \overline{wv_j}$  has to have length 2, i.e.,  $P = (w, x, v_j)$ ,  $x \notin Z$  and  $\Theta(P) = (E_{i+1}, E_i)$ . This forces the edge  $xv_i$  to exist and be contained in  $E_j$ .  $v_1x$  satisfies case (i) conditions, which we have already seen

that it leads to a contradiction (see Figure 7 (vi)).

Every case leads to absurdity. Then, there are no edges  $vw$  between  $v \in Z$  and  $w \in V(G) \setminus V(G_n)$ . Since  $G$  is connected, we get  $V(G) \setminus V(G_n) = \emptyset$ , i.e.,  $V(G) = V(G_n)$ .  $\square$

We have that  $G[G_n] = G$  and  $V(G_n) = V(G)$ . Finally we conclude that  $G = G_n$  (Figure 7 (vii)), which finishes the proof of Lemma 4.13.  $\square$

### 4.3 Final results

**Theorem 4.21.** *The excluded pc-minors for outerplanar partial cubes are  $L$ ,  $Q_3$  and  $G_n$  for  $n \geq 3$ .*

*Proof.* Let  $G$  be a non-outerplanar partial cube such that every pc-minor of  $G$  is outerplanar. Chartrand-Harary [9] prove that non-outerplanar graphs contain  $K_{2,3}$  or  $K_4$  as a minor and it is easy to see that hence they contain a subdivision of  $K_{2,3}$  or  $K_4$ . In particular this holds for  $G$ . By Lemmas 4.5, 4.6 and 4.13 we obtain that any pc-minor minimal non-outerplanar partial cubes must be a member of  $\{L, Q_3, G_n, n \geq 3\}$ . The proof that all elements of  $\{L, Q_3, G_n, n \geq 3\}$  pc-minor minimal non-outerplanar partial cubes can be found in [26].  $\square$

Since Lemmas 4.5, 4.6 and 4.13 are very specific concerning the graph that is obtained as a subdivision and series-parallel graphs are exactly those not containing a subdivision of  $K_4$ , see e.g. [8], we get:

**Theorem 4.22.** *The excluded pc-minors for series-parallel partial cubes are  $Q_3$  and  $G_n$  for  $n \geq 3$ .*

## 5. Conclusions

The next natural minor-closed class are planar partial cubes, which have been characterized in different ways [1, 14]. Computer experiments show that in isometric dimensions 4, 5, 6 there are already  $9+61+272 = 344$  pc-minor-minimal non-planar partial cubes. Considering pc-minor-minimal non-planar partial cubes such that all their isometric subgraphs are planar, yields  $2 + 10 + 34 = 46$  graphs. Looking only at pc-minor-minimal non-planar median graphs, gives  $1 + 4 + 8 = 13$  obstructions. Another possible class to attack are apex-outerplanar partial cubes, i.e., graphs that become outerplanar after removing some vertex. This minor-closed class lies between outerplanar and planar graphs, its 57 excluded minors are known, see [15]. For any excluded pc-minor  $G$  of outerplanar partial cubes,  $G \square K_2$  is an excluded pc-minor of apex-outerplanar partial cubes as well as for planar partial cubes, i.e., in both cases the list is infinite.

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