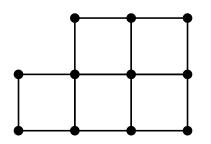
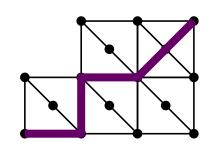
On lattice path matroid polytopes: integer points and Ehrhart polynomial

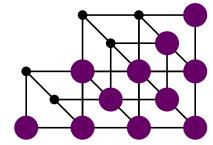
Jorge Luis Ramírez Alfonsín Institut Montpelliérain Alexander Grothendieck, Université de Montpellier

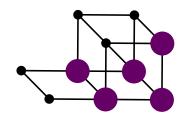
Leonardo Martínez-Sandoval Faculté des Sciences Sorbonne Université

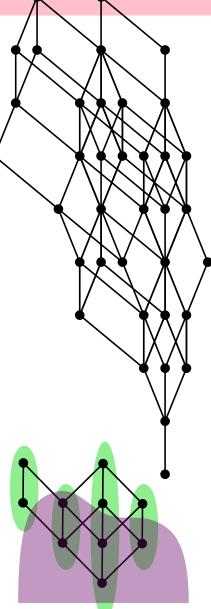
Kolja Knauer Universitat de Barcelona











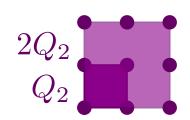
$$L_P(k) := |kP \cap \mathbb{Z}^{d'}|.$$

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$$\begin{array}{c|c} k & 1 \\ \hline L_{Q_2}(k) & 4 \end{array}$$

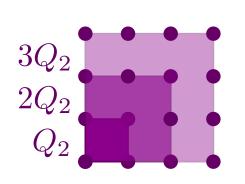


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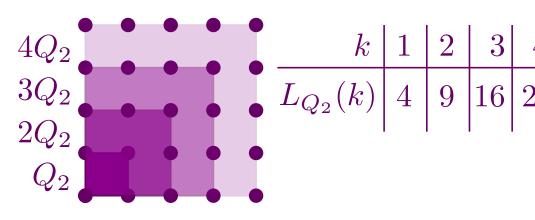
$\underline{}$	1	2
$L_{Q_2}(k)$	4	9

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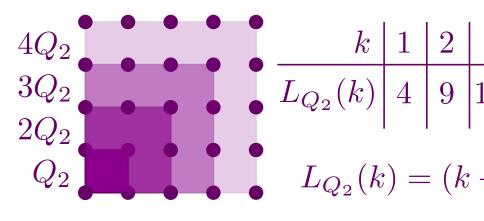


$\underline{}$	1	2	3
$L_{Q_2}(k)$	4	9	16

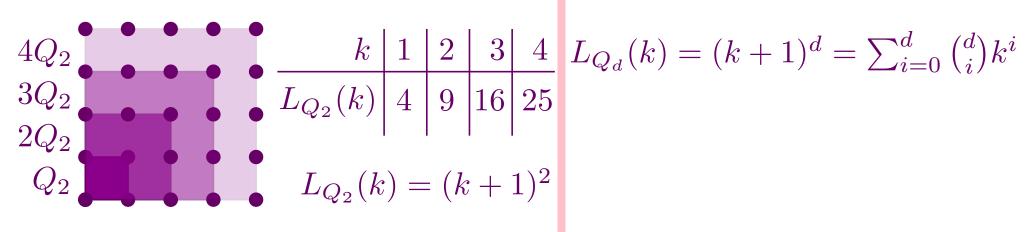
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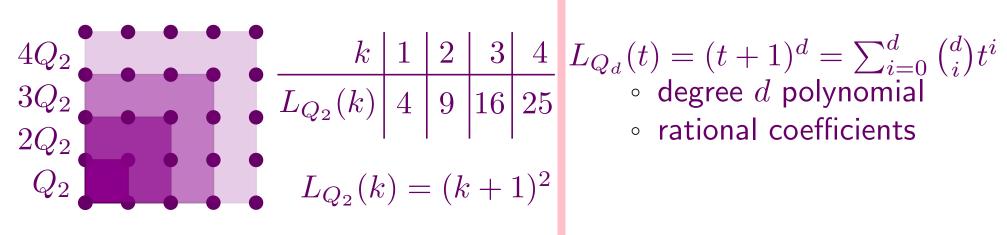


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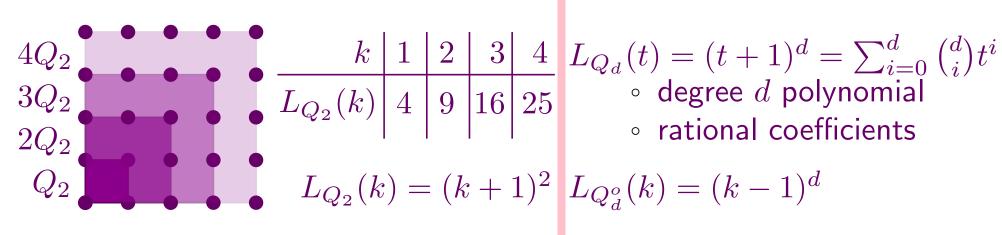
$$L_{Q_d}(k) = (k+1)^d = \sum_{i=0}^d {d \choose i} k^i$$

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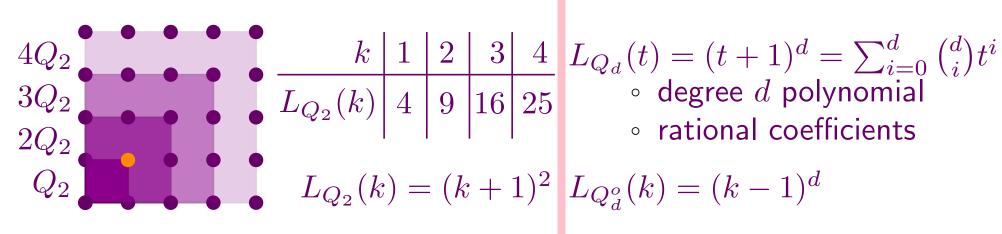
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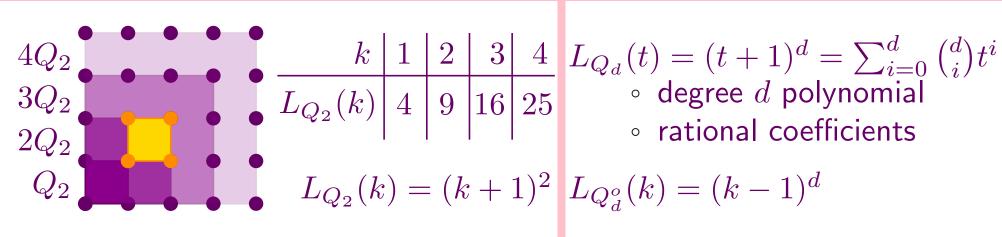
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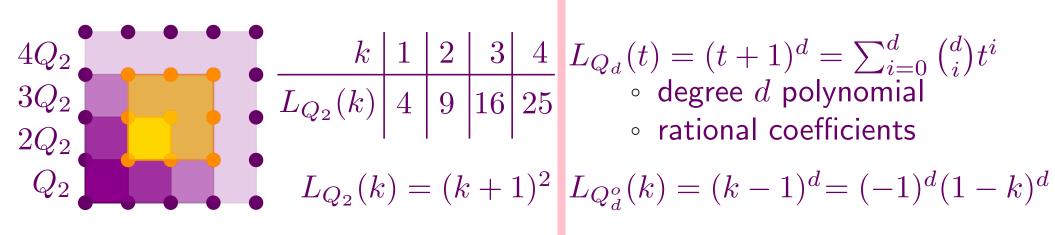
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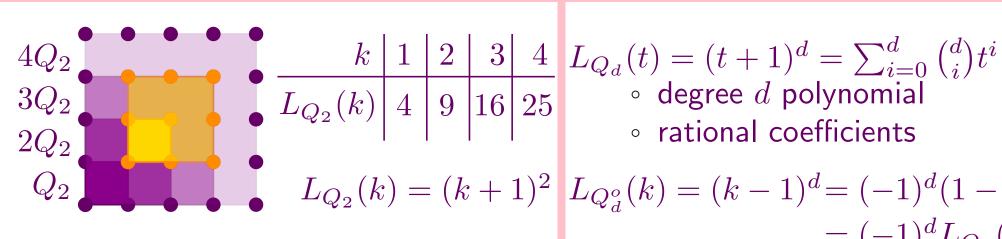
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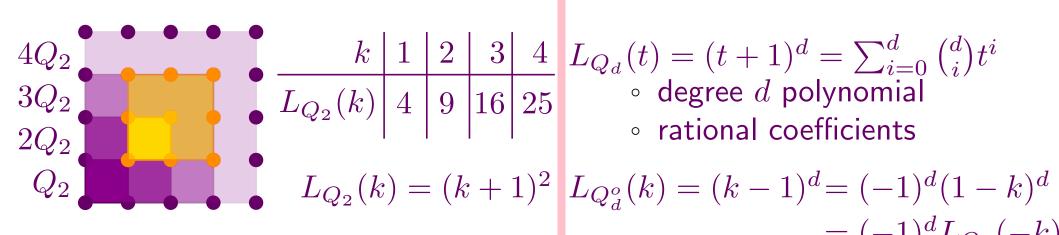


$$L_{Q_d}(t) = (t+1)^d = \sum_{i=0}^d {d \choose i} t^i$$

$$L_{Q_2}(k) = (k+1)^2 \qquad L_{Q_d^o}(k) = (k-1)^d = (-1)^d (1-k)^d \\ = (-1)^d L_{Q_d}(-k)$$

Let  $P \subset \mathbb{R}^{d'}$  be a d-dimensional integral convex polytope and  $k \in \mathbb{N}$ ,

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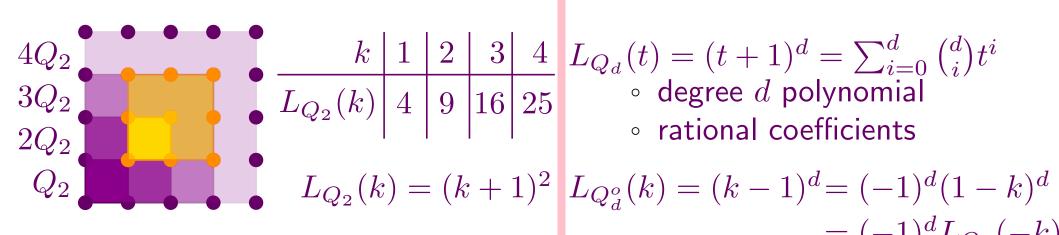
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#### Thm (Ehrhart '62):

 $L_P(t)$  is a degree d polynomial with rational coefficients. Moreover,  $L_P(-k) = (-1)^d L_{P^o}(k)$  for all  $k \in \mathbb{N}$ .

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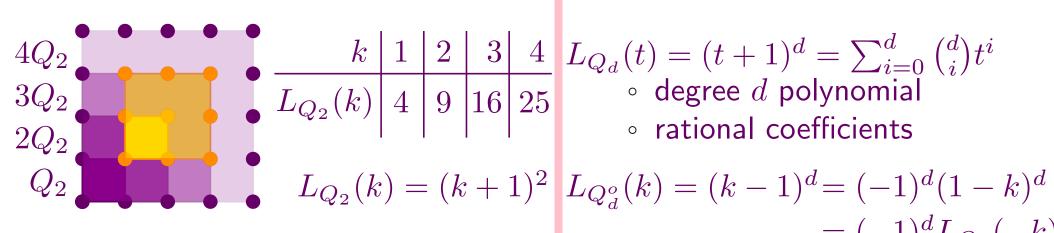
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the Ehrhart polynomial of P

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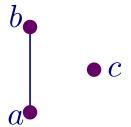
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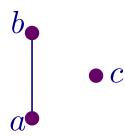
#### Thm (Stanley '91):

there are  $h_0^*, \ldots, h_d^* \geq 0$  such that  $L_P(t) = \sum_{i=0}^d h_i^* \binom{t+d-i}{d}$ .

#### $\mathsf{poset}\ X$

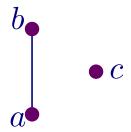


#### $\mathsf{poset}\ X$



$$I \subseteq X$$
 is an *ideal* if  $y \le x \in I \implies y \in I$ 

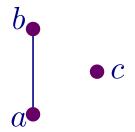
#### poset X



$$I\subseteq X \text{ is an } \textit{ideal} \text{ if}$$
 
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$$\bullet c \qquad \mathcal{I}(X) \text{ set of ideals of } X$$

ordered by inclusion

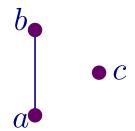
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 $\mathcal{I}(X)$ 

#### poset X

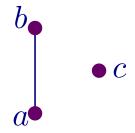


 $I\subseteq X$  is an ideal if  $y\le x\in I \implies y\in I$   $\bullet$  c  $\mathcal{I}(X)$  set of ideals of X ordered by inclusion





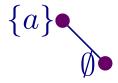
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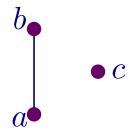
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$$\mathcal{I}(X)$$
 set of ideals of  $X$  ordered by inclusion

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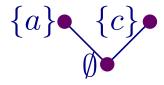
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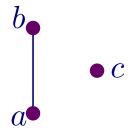
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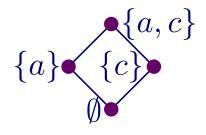


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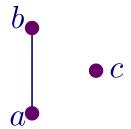


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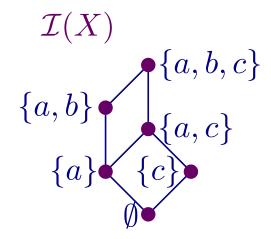
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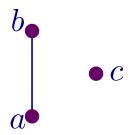
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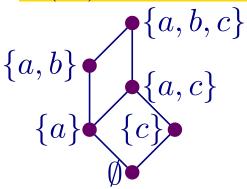


#### poset X

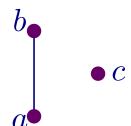


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#### $\mathcal{I}(X)$ distributive lattice

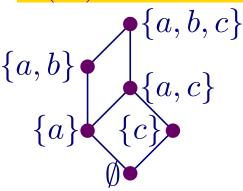


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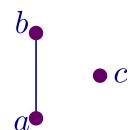
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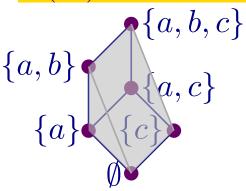
order polytope  $P_X = \text{conv}\{\text{characteristic vectors of } \mathcal{I}(X)\}$ 

#### poset X



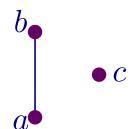
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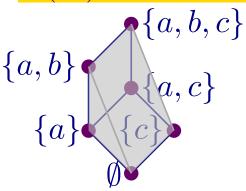
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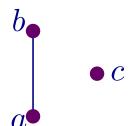
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#### $\mathcal{I}(X)$ distributive lattice



order polytope  $P_X = \text{conv}\{\text{characteristic vectors of } \mathcal{I}(X)\}$ =  $\{x \in [0,1]^d \mid x_i \leq x_j \text{ if } i \geq j \text{ in } X\}$ 

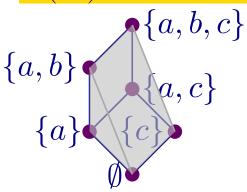
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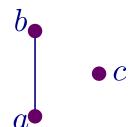
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$$L_{P_X}(1) = |\mathcal{I}(X)|$$

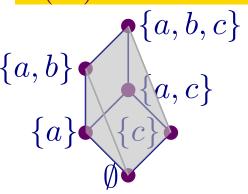
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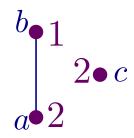
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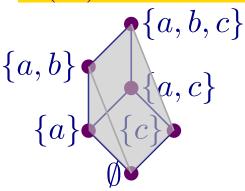
$$L_{P_X}(2) =$$

poset X



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 $\mathcal{I}(X)$  distributive lattice



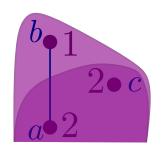
order polytope  $P_X = \text{conv}\{\text{characteristic vectors of } \mathcal{I}(X)\}$ 

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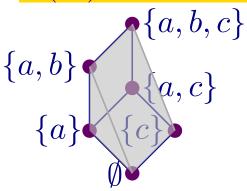
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#### $\mathcal{I}(X)$ distributive lattice

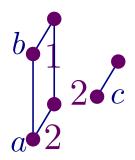


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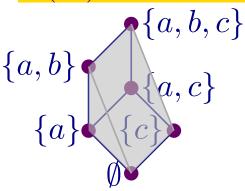
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#### $\mathcal{I}(X)$ distributive lattice



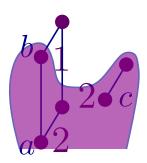
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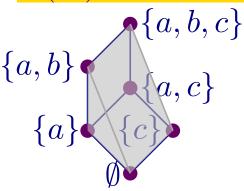
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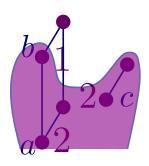


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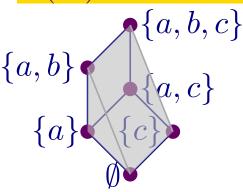
$$L_{P_X}(2) =$$

#### poset X



$$I\subseteq X$$
 is an  $ideal$  if  $y\leq x\in I \implies y\in I$   $\mathcal{I}(X)$  set of ideals of  $X$  ordered by inclusion

#### $\mathcal{I}(X)$ distributive lattice



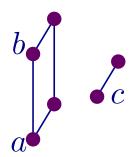
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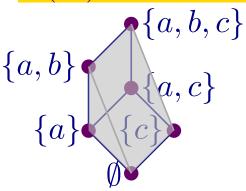
$$L_{P_X}(2) = |\mathcal{I}(X \times P_2)|$$

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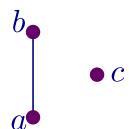
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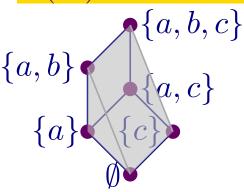
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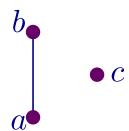


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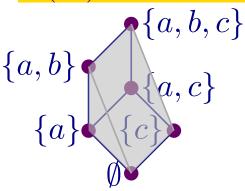
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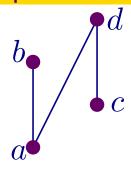


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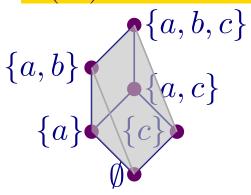
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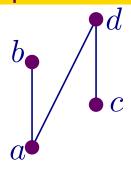
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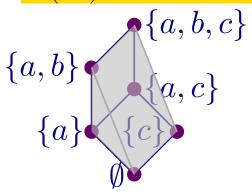
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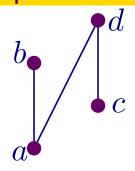
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$$E = abcd \bullet$$

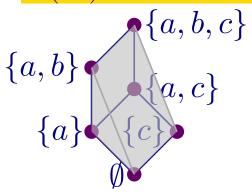
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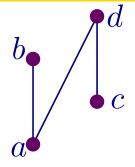
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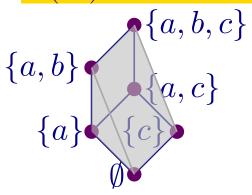
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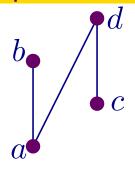
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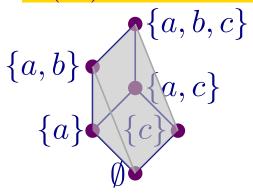
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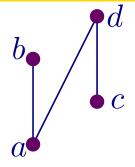
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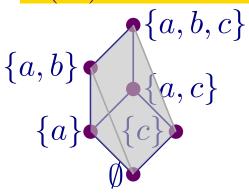
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 $\mathcal{I}(X)$  distributive lattice

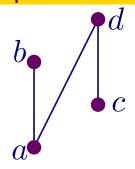


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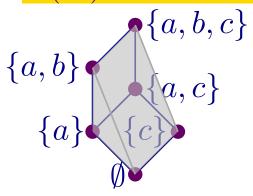
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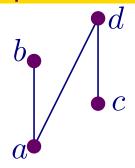
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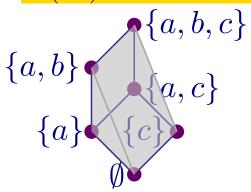
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ordered by inclusion

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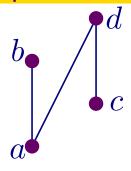
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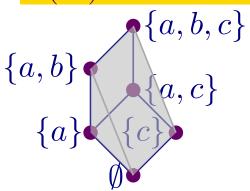
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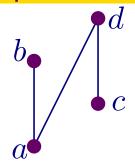
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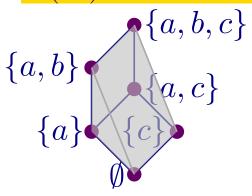
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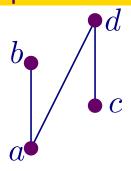
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Conjecture (Neggers '78):  $\omega$  is unimodal.

(actually something stronger but false (Stembridge '06))

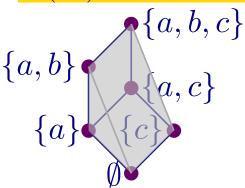
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known for:

unions of chains (Simion '84) and graded posets (Reiner, Welker '05).

A matroid is a pair  $M=(E,\mathcal{B})$  with E finite and  $\mathcal{B}\subseteq 2^E$  such that:

- $\circ$   $\mathcal{B}$  is non-empty.
- $\circ \ \forall A, B \in \mathcal{B} \ \text{and} \ a \in A \setminus B$ ,  $\exists b \in B \setminus A : A \setminus \{a\} \cup \{b\} \in \mathcal{B}$ .

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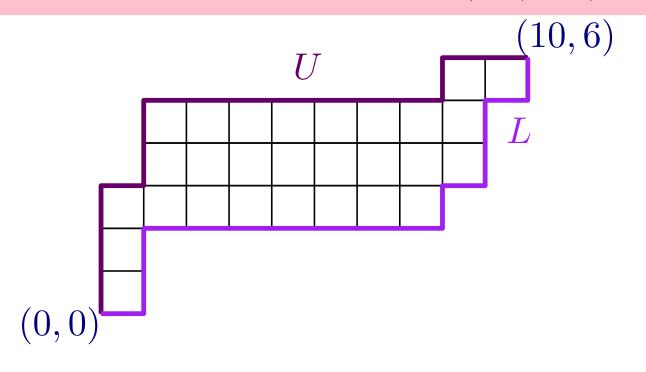
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We will now study  $P_M$  for lattice path matroids and in the end find some new families confirming the above conjecture.

Lattice path matroid M[U, L]:

diagram from (0,0) to (m,r) between L and U

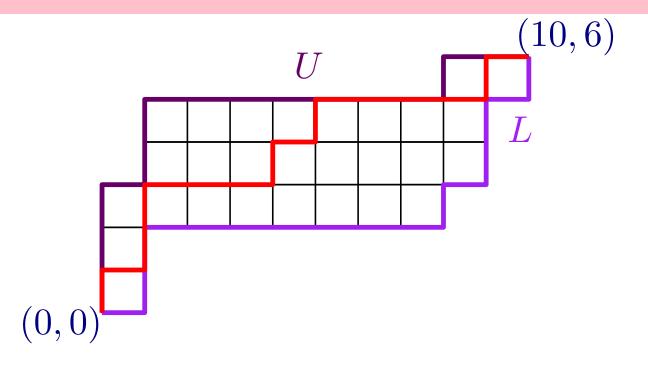
$$E = [r + m]$$



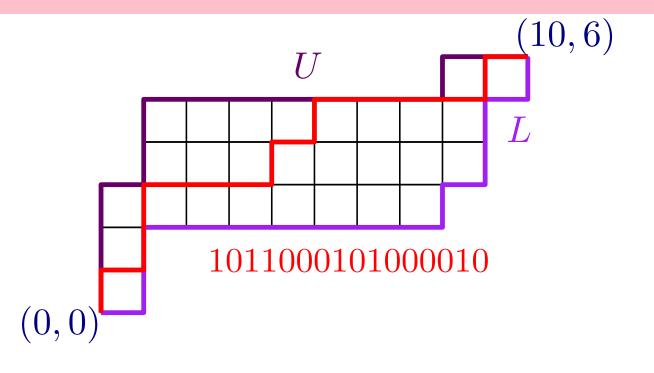
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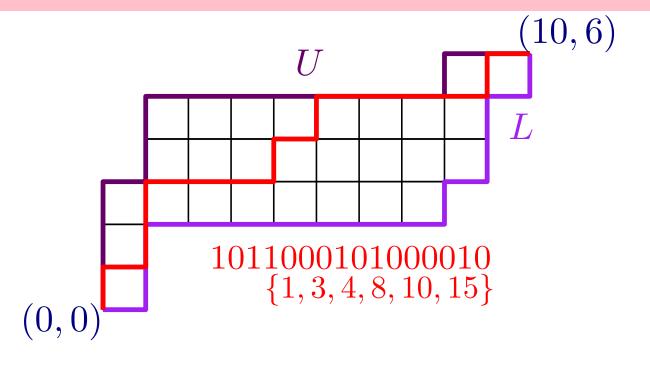
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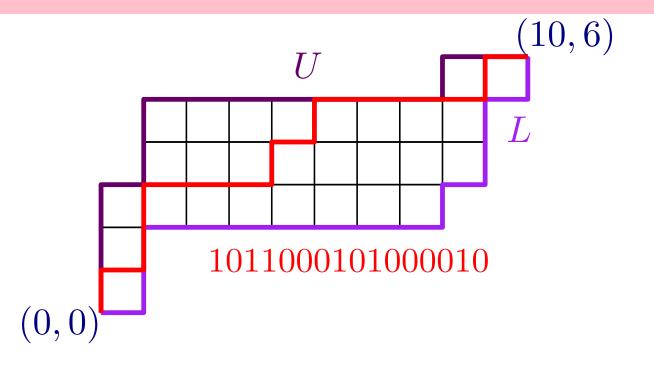
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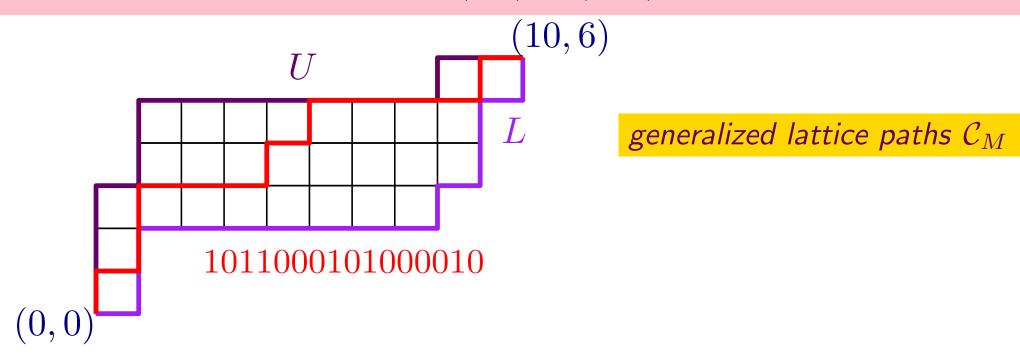
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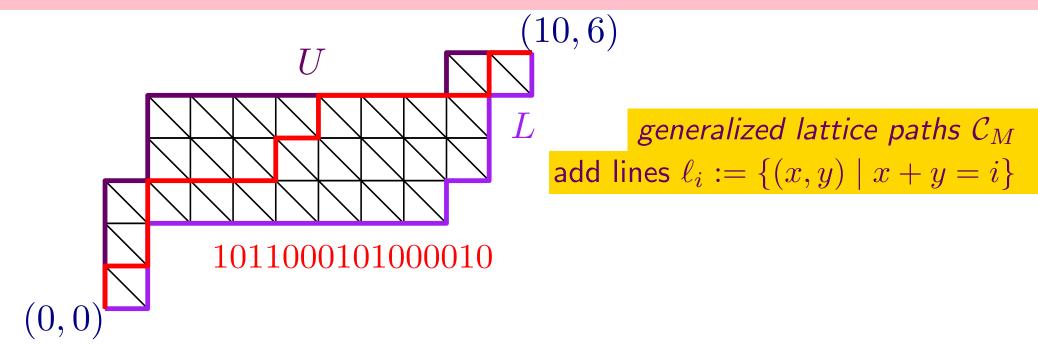
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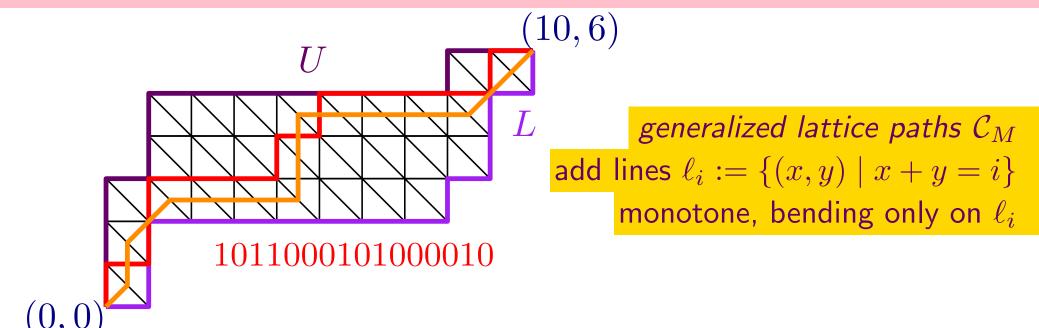
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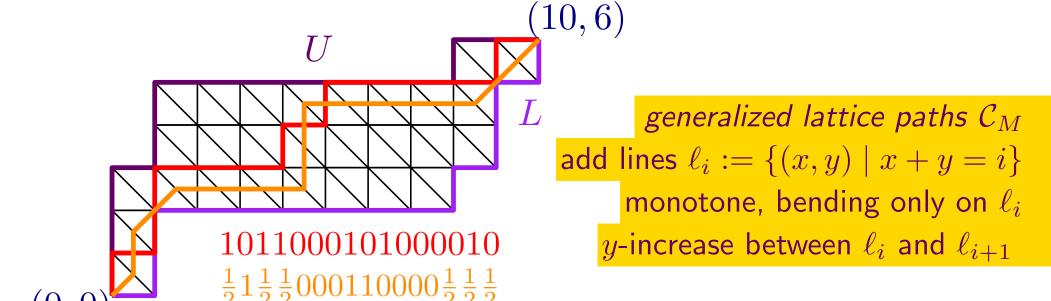
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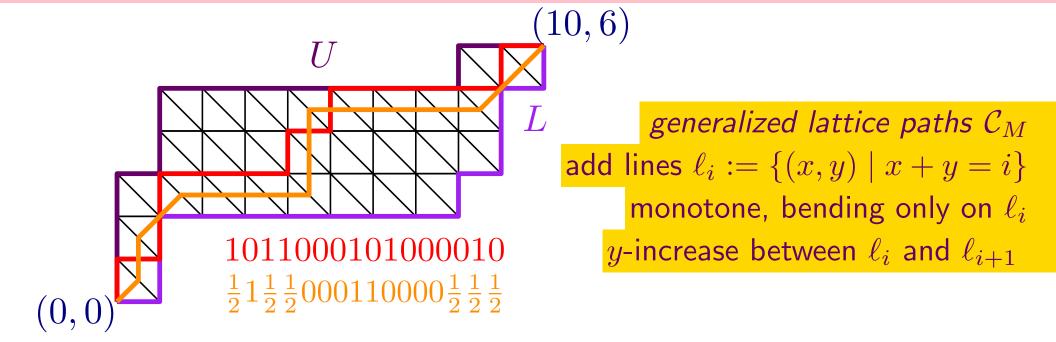


Lattice path matroid M[U, L]:

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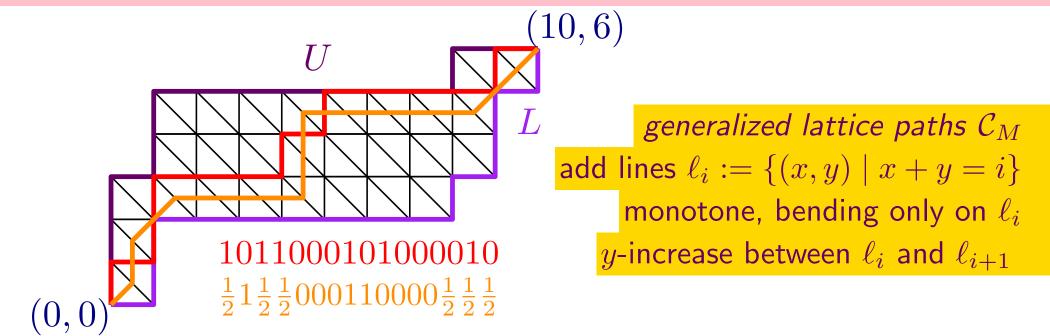
Thm (KMR): Let M = M[U, L] a lattice path matroid, then  $P_M = \mathcal{C}_M = \{p \in [0, 1]^{r+m} \mid \sum_{j=1}^{i} L_j \leq \sum_{j=1}^{i} p_j \leq \sum_{j=1}^{i} U_j; \ \forall i \in [r+m]\}.$ 

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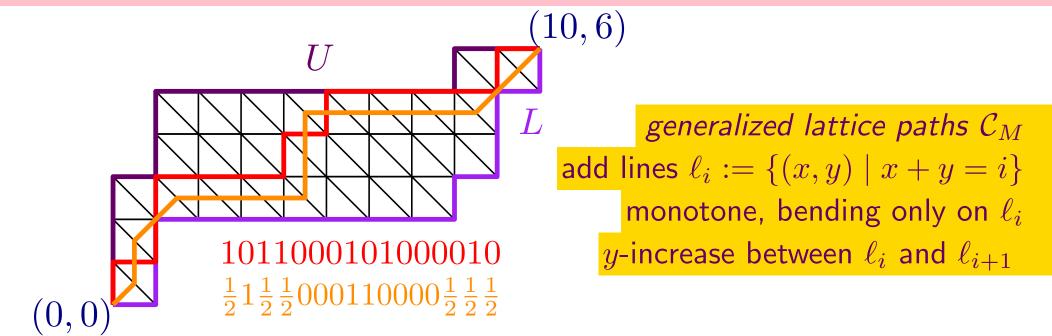
y-increase between  $\ell_i$  and  $\ell_{i+1}$  in [0,1]

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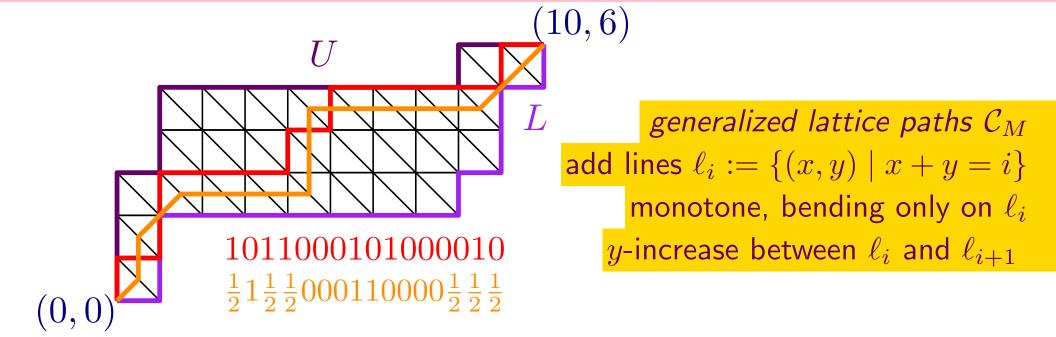
stay between L and U

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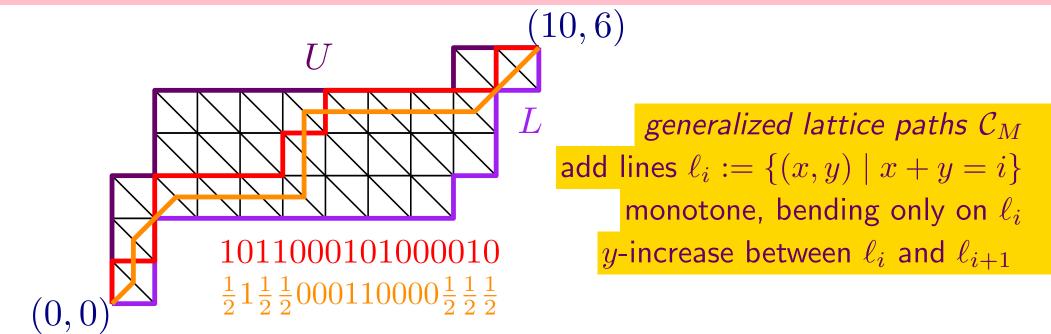
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 $P_M = \text{conv}\{\text{characteristic vectors of } \mathcal{B}\} \subseteq \text{conv}(\mathcal{C}_M) = \mathcal{C}_M.$ 

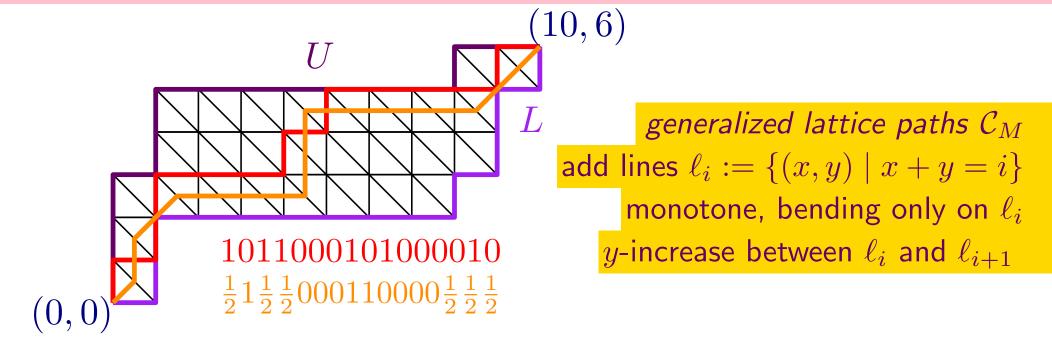
# Lattice path matroid polytopes

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 $P_M \supseteq \mathcal{C}_M$ : easy induction.

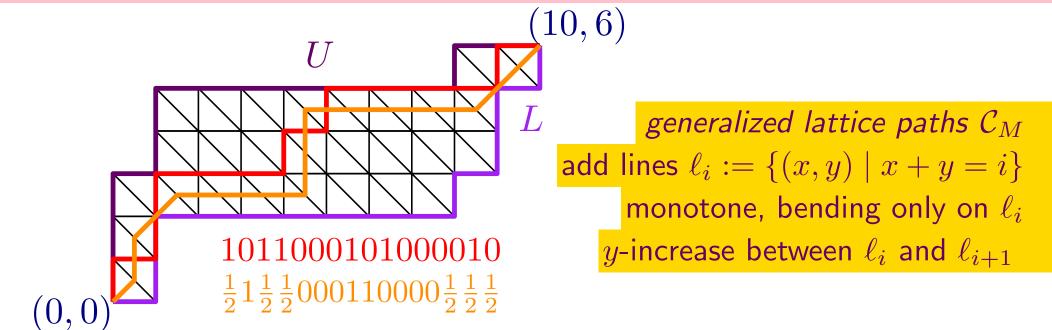
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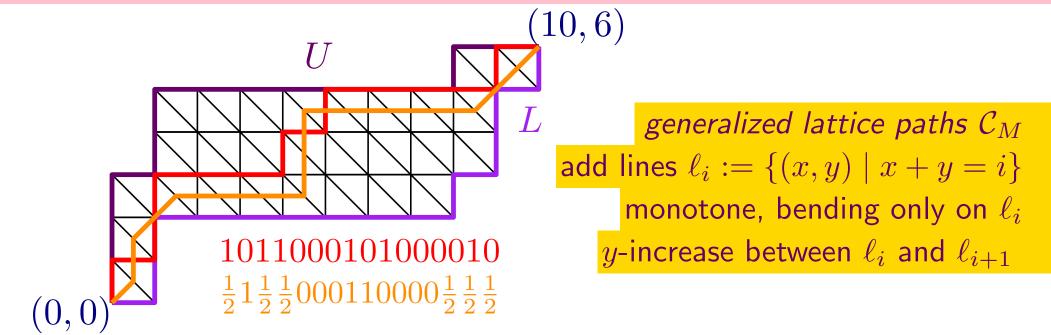
# Lattice path matroid polytopes

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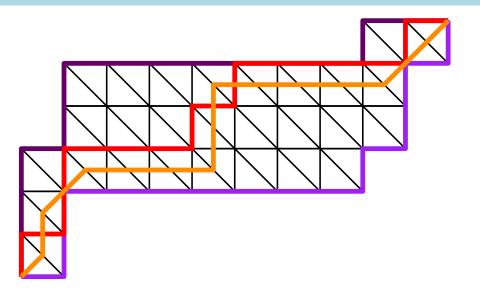
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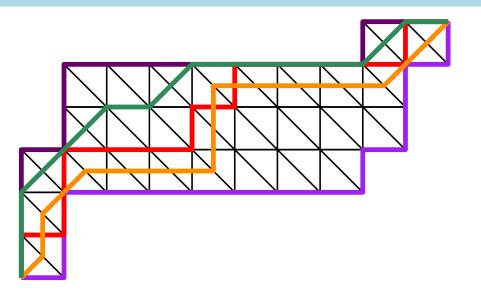
**Cor**:  $kP_M \cap \mathbb{Z}^d = \{\text{gen. lattice paths } y\text{-increase in } \frac{i}{k}, \ 0 \leq i \leq k\} =: \mathcal{C}_M^k$ .

Thm (KMR): Let M=M[U,L] a lattice path matroid, then  $P_M=\mathcal{C}_M=\{p\in[0,1]^{r+m}\mid \sum_{j=1}^i L_j\leq \sum_{j=1}^i p_j\leq \sum_{j=1}^i U_j;\;\forall i\in[r+m]\}.$  and  $kP_M\cap\mathbb{Z}^d=\{\text{gen. lattice paths }y\text{-increase in }\frac{i}{k},\;0\leq i\leq k\}=:\mathcal{C}_M^k.$ 



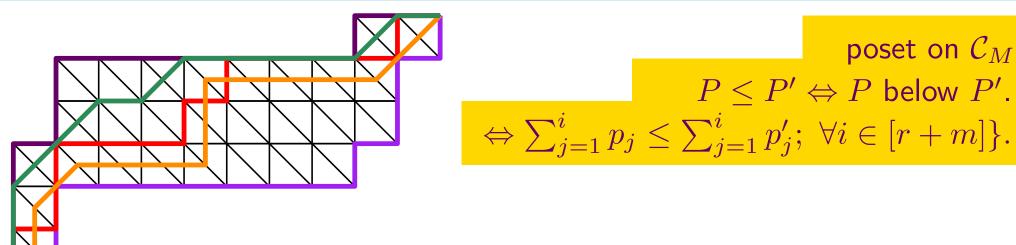
poset on  $\mathcal{C}_M$ 

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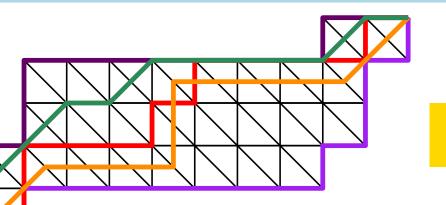
poset on  $\mathcal{C}_M$   $P < P' \Leftrightarrow P \text{ below } P'.$ 

**Thm (KMR)**: Let M = M[U, L] a lattice path matroid, then  $P_M = \mathcal{C}_M = \{ p \in [0, 1]^{r+m} \mid \sum_{j=1}^i L_j \leq \sum_{j=1}^i p_j \leq \sum_{j=1}^i U_j; \ \forall i \in [r+m] \}.$ and  $kP_M \cap \mathbb{Z}^d = \{\text{gen. lattice paths } y\text{-increase in } \frac{i}{k}, \ 0 \leq i \leq k\} =: \mathcal{C}_M^k$ .



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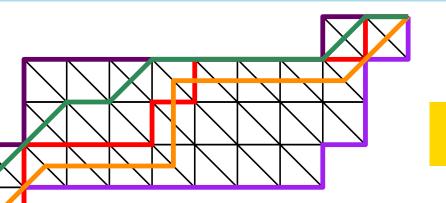


poset on  $\mathcal{C}_M$   $P \leq P' \Leftrightarrow P \text{ below } P'.$   $\Leftrightarrow \sum_{j=1}^i p_j \leq \sum_{j=1}^i p_j'; \ \forall i \in [r+m]\}.$ 

# Thm (KMR):

 $\pi: P_M \to Q_M \subseteq \mathbb{R}^{d-1}$  with  $\pi(p)_i := \sum_{j=1}^i p_j; \ \forall i \in [r+m-1]$  gives a polytope  $Q_M$ , with  $|kP_M \cap \mathbb{Z}^d| = |kQ_M \cap \mathbb{Z}^{d-1}|$ . Moreover,  $Q_M$  ordered componentwise is distributive lattice, corresponding to the order on  $\mathcal{C}_M$ .

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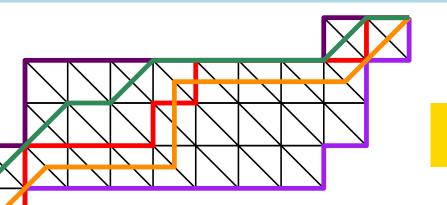
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show that closed under componentwise minimum and maximum

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### Thm (KMR):

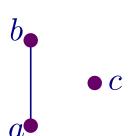
 $\pi: P_M o Q_M \subseteq \mathbb{R}^{d-1}$  with  $\pi(p)_i := \sum_{j=1}^i p_j; \ \forall i \in [r+m-1]$  gives a polytope  $Q_M$ , with  $|kP_M \cap \mathbb{Z}^d| = |kQ_M \cap \mathbb{Z}^{d-1}|$ . Moreover,  $Q_M$  ordered componentwise is distributive lattice, corresponding to the order on  $\mathcal{C}_M$ . And  $kQ_M \cap \mathbb{Z}^{d-1} \cong \mathcal{C}_M^k$ , too.

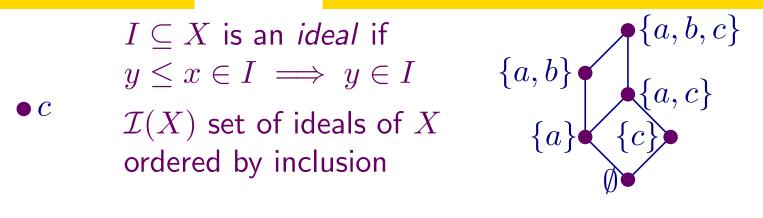
then this also closed under componentwise minimum and maximum



poset X

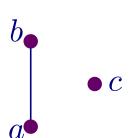
### $\mathcal{I}(X)$ distributive lattice



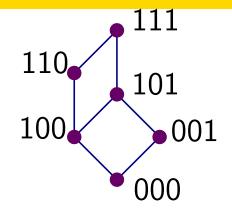


poset X

#### $\mathcal{I}(X)$ distributive lattice

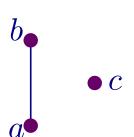


 $I\subseteq X$  is an *ideal* if  $y\leq x\in I \implies y\in I$   $\mathcal{I}(X)$  set of ideals of X ordered by inclusion

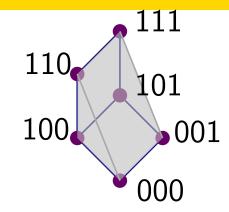


poset X

### $\mathcal{I}(X)$ distributive lattice

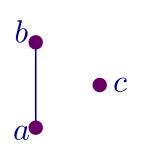


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#### chain-partitioned poset X

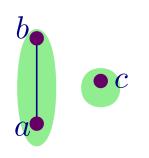
embedded  $\mathcal{I}(X)$  distributive lattice



$$I\subseteq X \text{ is an } \textit{ideal} \text{ if}$$
 
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$$\mathcal{I}(X) \text{ set of ideals of } X$$
 ordered by inclusion

#### chain-partitioned poset X

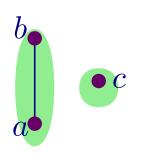
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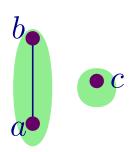


$$I\subseteq X$$
 is an *ideal* if  $y\leq x\in I \implies y\in I$   $\mathcal{I}(X)$  set of ideals of  $X$  ordered by inclusion

$$\emptyset \stackrel{\bullet}{=} 00$$

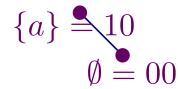
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embedded  $\mathcal{I}(X)$  distributive lattice



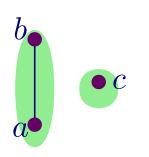
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 $\mathcal{I}(X) \text{ set of ideals of } X$  ordered by inclusion

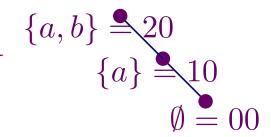


#### chain-partitioned poset X

embedded  $\mathcal{I}(X)$  distributive lattice

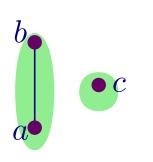


 $I \subseteq X$  is an *ideal* if  $y \le x \in I \implies y \in I$  $y \le x \in I \implies y \in I \qquad \{a,b\} = 20$   $\mathcal{I}(X) \text{ set of ideals of } X \qquad \{a\} = 10$ ordered by inclusion

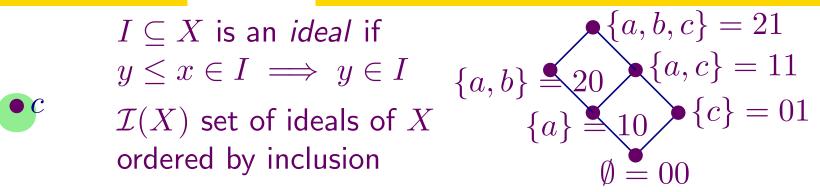


#### chain-partitioned poset X

#### embedded $\mathcal{I}(X)$ distributive lattice

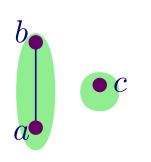


ordered by inclusion

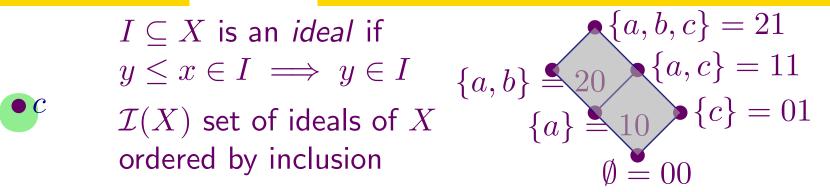


#### chain-partitioned poset X

#### embedded $\mathcal{I}(X)$ distributive lattice

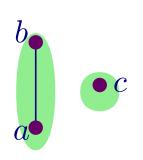


ordered by inclusion

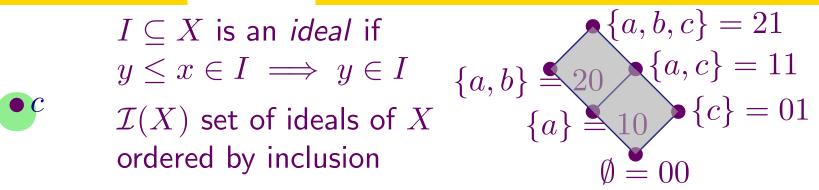


#### chain-partitioned poset X

#### embedded $\mathcal{I}(X)$ distributive lattice



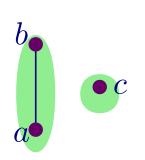
ordered by inclusion

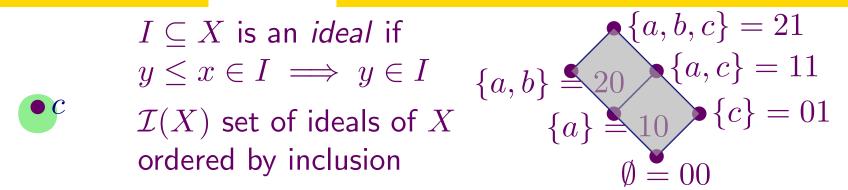


no chain-partition  $\cong$  singleton chain-partition  $\cong (0,1)$ -embedding

#### chain-partitioned poset X

#### embedded $\mathcal{I}(X)$ distributive lattice



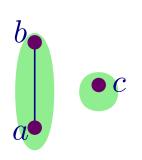


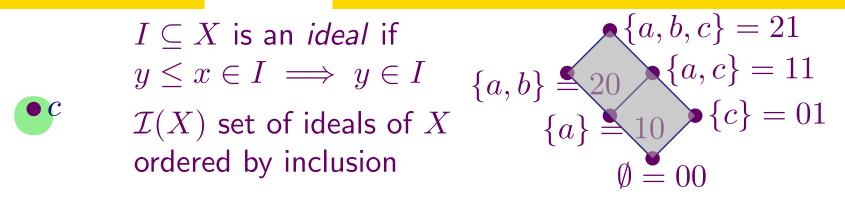
no chain-partition  $\cong$  singleton chain-partition  $\cong (0,1)$ -embedding

# Thm (KMR):

#### chain-partitioned poset X

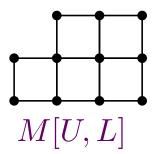
#### embedded $\mathcal{I}(X)$ distributive lattice





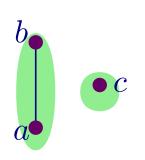
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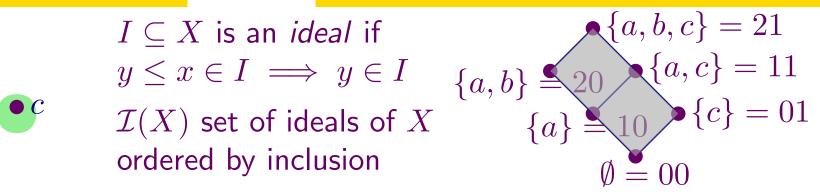
# Thm (KMR):



#### chain-partitioned poset X

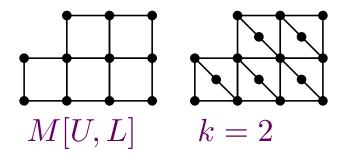
#### embedded $\mathcal{I}(X)$ distributive lattice





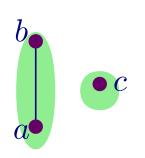
no chain-partition  $\cong$  singleton chain-partition  $\cong (0,1)$ -embedding

# Thm (KMR):

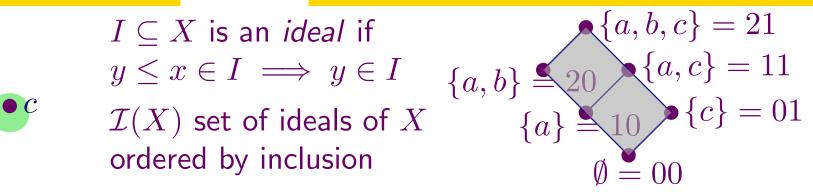


#### chain-partitioned poset X

#### embedded $\mathcal{I}(X)$ distributive lattice

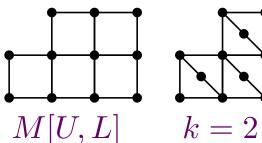


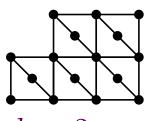
ordered by inclusion

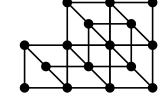


no chain-partition  $\cong$  singleton chain-partition  $\cong (0,1)$ -embedding

# Thm (KMR):

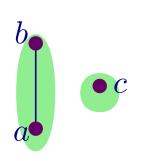




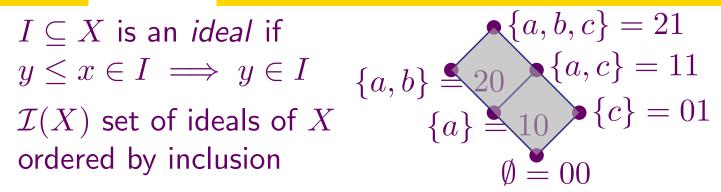


#### chain-partitioned poset X

#### embedded $\mathcal{I}(X)$ distributive lattice

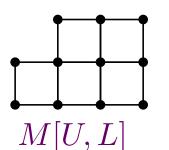


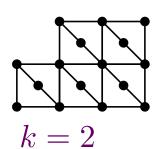
 $y \ge \omega \subset \mathcal{I}$   $\mathcal{I}(X) \text{ set of ideals of } X$ 

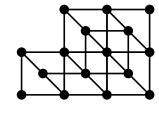


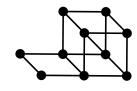
no chain-partition  $\cong$  singleton chain-partition  $\cong (0,1)$ -embedding

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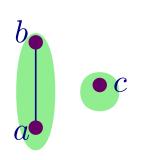




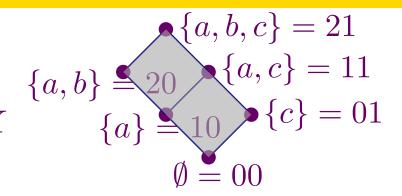


#### chain-partitioned poset X

#### embedded $\mathcal{I}(X)$ distributive lattice

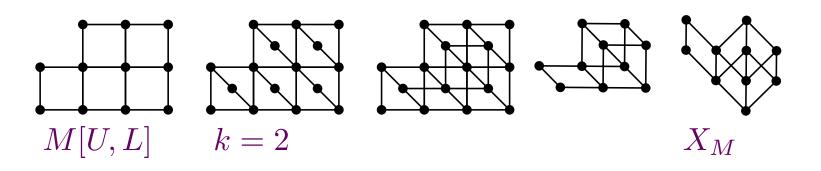


 $I\subseteq X$  is an ideal if  $y\leq x\in I \implies y\in I$   $\mathcal{I}(X)$  set of ideals of X ordered by inclusion



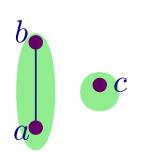
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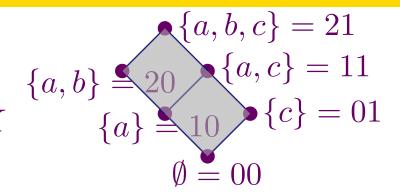


#### chain-partitioned poset X

#### embedded $\mathcal{I}(X)$ distributive lattice

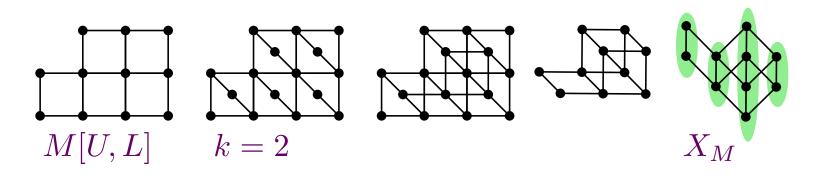


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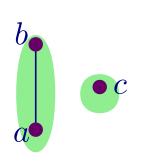
no chain-partition  $\cong$  singleton chain-partition  $\cong (0,1)$ -embedding

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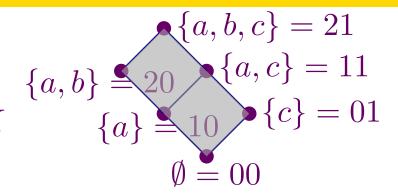


#### chain-partitioned poset X

#### embedded $\mathcal{I}(X)$ distributive lattice

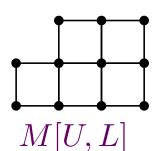


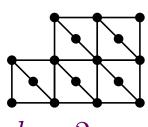
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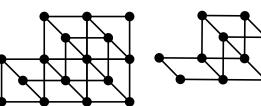
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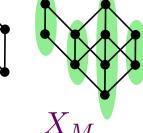
# Thm (KMR):

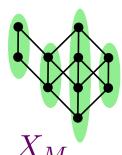






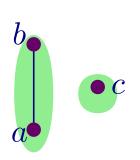




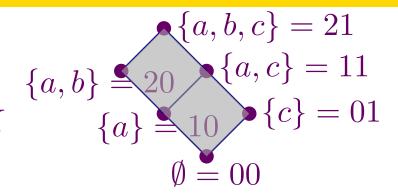


#### chain-partitioned poset X

#### embedded $\mathcal{I}(X)$ distributive lattice

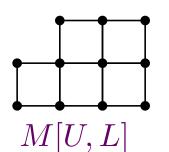


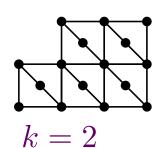
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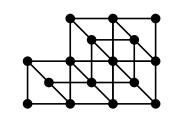


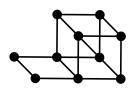
no chain-partition  $\cong$  singleton chain-partition  $\cong (0,1)$ -embedding

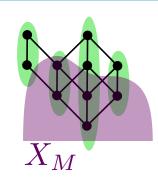
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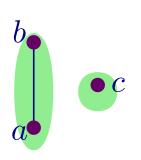




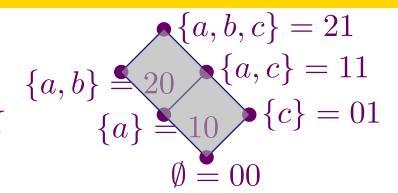


#### chain-partitioned poset X

#### embedded $\mathcal{I}(X)$ distributive lattice

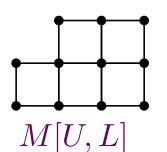


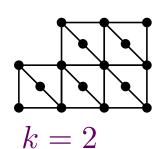
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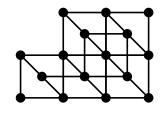


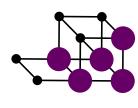
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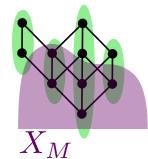
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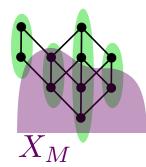






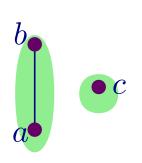




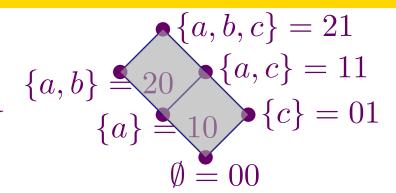


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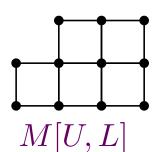


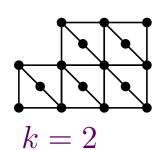
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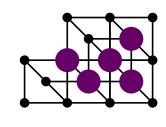


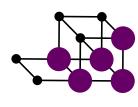
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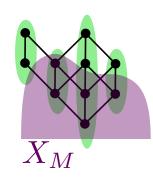
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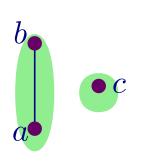




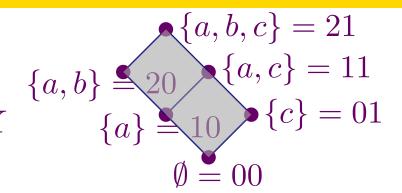


#### chain-partitioned poset X

#### embedded $\mathcal{I}(X)$ distributive lattice

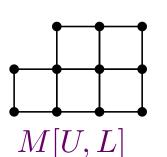


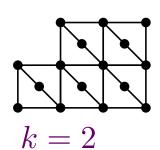
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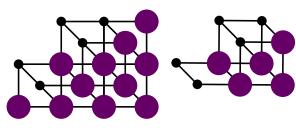


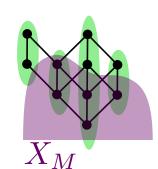
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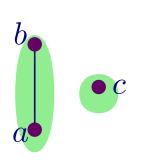




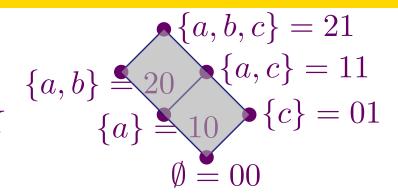


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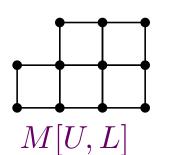


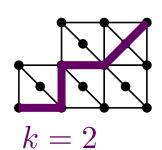
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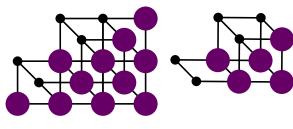


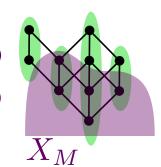
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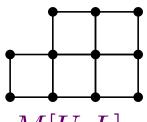




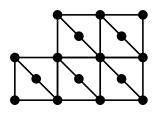


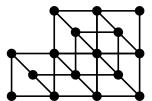
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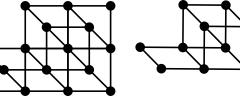
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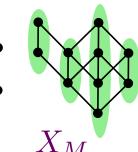


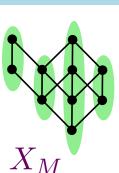
M[U,L] k=2







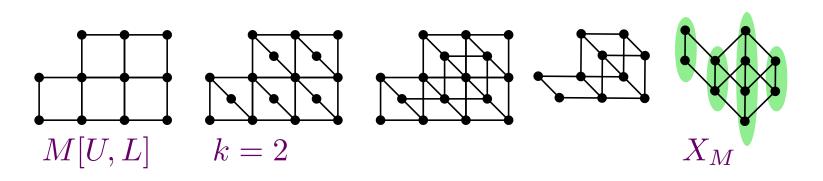




no chain-partition  $\cong$  singleton chain-partition  $\cong (0,1)$ -embedding

# Thm (KMR):

the embedded distributive lattice  $kQ_M \cap \mathbb{Z}^{d-1}$  corresponds to a chain-partitioned poset in the following way:

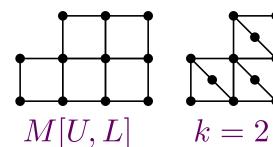


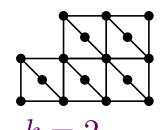
In particular,  $Q_M$  is an order polytope if M has no interior points

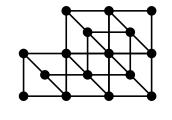
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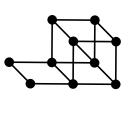
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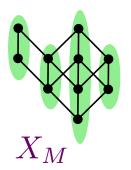
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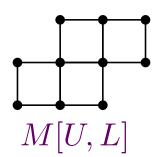


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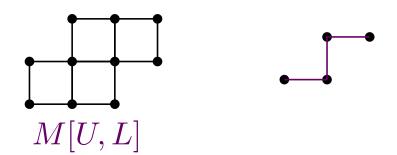


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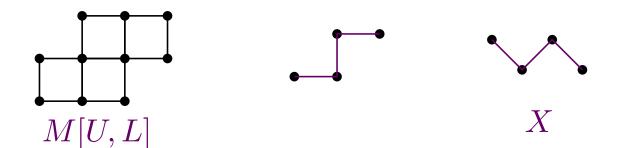


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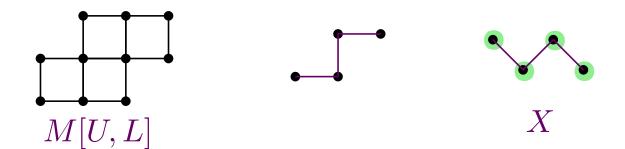


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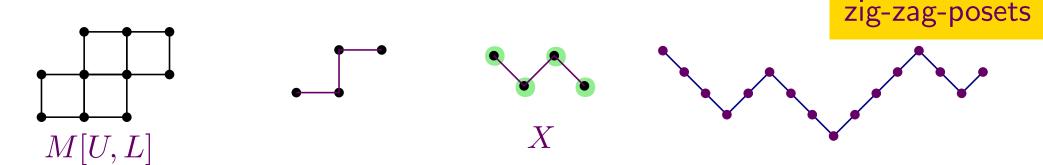


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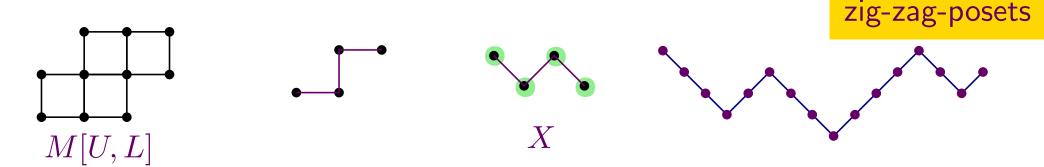


In particular,  $Q_M$  is an order polytope if M has no interior points

no chain-partition  $\cong$  singleton chain-partition  $\cong (0,1)$ -embedding

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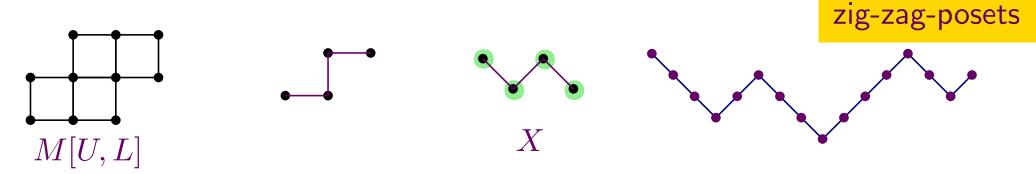
M is a snake

If M snake, then  $h^*$  of  $L_{P_M}$  equals  $\omega$  of zig-zag poset

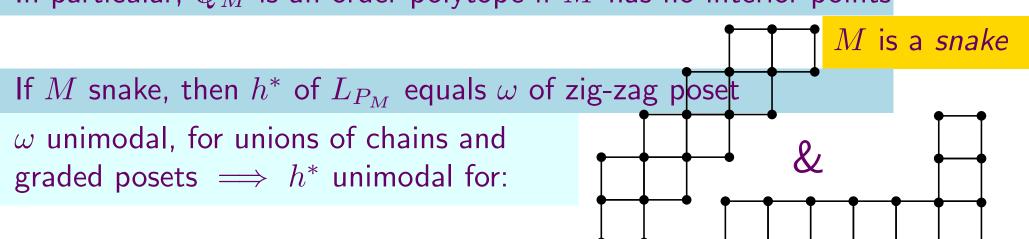
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### What we did:

- $\circ$   $P_M$  as generalized lattice paths (formula for  $L_{P_M}$  for some snakes),
- $\circ$  Ehrhart-equivalent distributive polytope  $Q_M$ ,
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### What we would like to do next:

- $\circ$  unimodality of  $h^*$  for more lattice path matroids
- $\circ$  generalize the order polynomial and  $\omega$  to chain-partitioned posets,
- determine which matroid polytopes are order polytopes,
- another conjecture of de Loera et. al:
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