Cálculo efectivo de sistemas espectrales y su relación con la homología persistente multiparamétrica

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Trabajo conjunto con A. Guidolin, J. Divasón y F. Vaccarino

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Introduction

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- We work with the computer algebra system Kenzo, devoted to the computation of homology and homotopy groups of complicated spaces, which can be of infinite type.
- We use a previous work where we developed a set of algorithms and programs for computing spectral sequences.

Chain complexes, homology

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These groups can be determined by means of diagonalization algorithms on matrices when the chain complex C_* is of finite type (a free chain complex with a finite number of generators in each degree).

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A reduction $\rho: \hat{C} \Longrightarrow C_*$ is given by three maps $f: \hat{C}_* \to C_*$, $g: C_* \to \hat{C}_*$ and $h: \hat{C}_* \to \hat{C}_{*+1}$ satisfying some properties, which in particular imply that $H_*(\hat{C}_*) \cong H_*(C_*)$.

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Definition

A spectral sequence $E = (E^r, d^r)_{r \ge 1}$ is a family of bigraded \mathbb{Z} -modules $E^r = \{E_{p,q}^r\}$, each provided with a differential $d^r = \{d_{p,q}^r : E_{p,q}^r \to E_{p-r,q+r-1}^r\}$ of bidegree (-r, r-1) and with isomorphisms $H(E^r, d^r) = \text{Ker } d^r / \text{Im } d^r \cong E^{r+1}$ for every $r \ge 1$.

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Since $E_{p,q}^{r+1}$ is a subquotient of $E_{p,q}^r$ for each $r \ge 1$, one can define the **final groups** of the spectral sequence as $E_{p,q}^{\infty} = \bigcap_{r\ge 1} E_{p,q}^r$.

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Definition

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Examples:

- The **Serre spectral sequence** converges to the homology groups of the total space of a fibration.
- The **Eilenberg–Moore spectral sequence** converges to the homology groups of the loop space of a simplicial set.
- The **Adams spectral sequence** converges to the homotopy groups of a simplicial set.

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Theorem (Serre, 1951)

Let $G \hookrightarrow E \to B$ be a **fibration** and suppose the base B is 1-reduced. There is a spectral sequence converging to $H_*(E)$ whose second page is given by $E_{p,q}^2 = H_p(B; H_q(G))$.

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Suppose $H_i(G)$ and $H_i(B)$ are zero for odd *i* and free abelian for even *i*. The entries $E_{p,q}^2$ of the E^2 page are then zero unless *p* and *q* are even.

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Problems of spectral sequences

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They are not algorithms producing the desired H_*

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Given a \mathbb{Z} -filtration of a chain complex $C_* = (C_n, d_n)$:

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It holds:

$$E^{r+1} \cong \operatorname{Ker} d^r / \operatorname{Im} d^r$$

The notion of spectral sequence of a filtered complex was generalized by B. Matschke for a filtration indexed over a **poset** *I*, i.e. a collection of sub-chain complexes $\{F_i C_*\}_{i \in I}$ with $F_i C_* \subseteq F_i C_*$ if $i \leq j$.

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A spectral system (also called generalized spectral sequence or higher spectral sequence) is a set of groups, for all $z \le s \le p \le b$ in I and for each degree n:

$$S_n[z, s, p, b] = \frac{F_p C_n \cap d_n^{-1}(F_z C_{n-1}) + F_s C_n}{d_{n+1}(F_b C_{n+1}) + F_s C_n}$$

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and differential maps $d_n : S_n[z_2, s_2, p_2, b_2] \rightarrow S_{n-1}[z_1, s_1, p_1, b_1]$ induced by the differential of C_* .

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Example: \mathbb{Z} -filtration $(F_p)_{p \in \mathbb{Z}}$, indices $z \leq s \leq p \leq b$ in \mathbb{Z} :

$$S[z, s, p, b] \xrightarrow{p-r p-1 p p+r-1} b$$

$$S[z, s, p, b] \xrightarrow{(D)} b$$

$$(D) = b$$

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The posets \mathbb{Z}^m and $D(\mathbb{Z}^m)$

Consider \mathbb{Z}^m , seen as the poset (\mathbb{Z}^m, \leq) with the coordinate-wise order relation: $P = (p_1, \ldots, p_m) \leq Q = (q_1, \ldots, q_m)$ if and only if $p_i \leq q_i$, for all $1 \leq i \leq m$.

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We denote $D(\mathbb{Z}^m)$ the collection of all downsets of \mathbb{Z}^m , which is a poset with respect to the inclusion \subseteq .



Motivating example

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Theorem (Matschke, 2013)

Consider a tower of fibrations

$$\begin{array}{cccc} E & \longrightarrow & N & \longrightarrow & B \\ \uparrow & & \uparrow & \\ G & & M & \end{array}$$

and suppose the base B is 1-reduced. There exists a $D(\mathbb{Z}^2)$ -spectral system converging to $H_*(E)$ whose second page is given by

$$S_n^*(P;2) = H_{p_2}(B; H_{p_1}(M; H_{n-p_1-p_2}(G))), \quad P = (p_1, p_2) \in \mathbb{Z}^2.$$

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If the *I*-filtered chain complex C_* is of finite type, the groups $S_n[z, s, p, b]$ can be determined by means of diagonalization operations on matrices.

The result is a **basis-divisors** description of the group, that is:

- a list of combinations $(c_1, \ldots, c_{\alpha+k})$
- a list of torsion coefficients $(b_1, \ldots, b_k, 0, \stackrel{\alpha}{\ldots}, 0)$.

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To compute the differential map

 $d:S_2\equiv S[z_2,s_2,p_2,b_2]
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- We compute the coefficients of d(y) with respect to the set of generators of S_1 .
- We reduce them considering the corresponding divisors.

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Algorithms

If a *I*-filtered chain complex C_* is not of finite type, we use the effective homology method and we consider a pair of reductions $C_* \iff \hat{C}_* \implies D_*$ from the initial chain complex C_* to another one D_* of finite type (also filtered over *I*).

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Theorem

Let $\rho = (f, g, h) : C_* \Rightarrow D_*$ be a reduction between the I-filtered chain complexes (C_*, F) and (D_*, F') , and suppose that f and g are compatible with the filtrations. Then, given four indices $z \le s \le p \le b$ in I, the map f induces an isomorphism $f^{z,s,p,b} : S_n[z,s,p,b] \to S'_n[z,s,p,b]$ whenever the homotopy $h : (C_*, F) \to (C_{*+1}, F)$ satisfies the conditions

 $h(F_z) \subseteq F_s$ and $h(F_p) \subseteq F_b$.

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Theorem

Let $F = (F_i)_{i \in I}$ be an *I*-filtration of C_* , and let $V = \{(\sigma_j; \tau_j)\}_{j \in J}$ be an admissible discrete vector field on C_* such that, for all $j \in J$, the cells σ_j and τ_j appear together in the filtration. Then there exists a reduction $\rho =: C_* \Rightarrow C_*^c$, where C_*^c is the **critical** chain complex (generated by the cells which do not appear in the vector field), which is compatible with the filtrations.

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Corollary

Under the same hypotheses, the generalized spectral sequences associated with the *I*-filtrations of C_* and C_*^c are isomorphic.

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A. Guidolin, A. R. *Effective Computation of Generalized Spectral Sequences*. Proceedings ISSAC 2018, 183-190.

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- A. Guidolin, A. R. Computing Higher Leray–Serre Spectral Sequences of Towers of Fibrations. Foundations of Computational Mathematics 21(4), 1023–1074, 2021.

Example



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Example



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> (gen-spsq-group K '(1 1) '(1 2) '(2 2) '(2 2) 1)
Generalized spectral sequence S[(1 1),(1 2),(2 2),(2 2)]_{1}
Component Z
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Discrete vector fields: example

Filtration over \mathbb{Z}^2 of a **digital image**:



Discrete vector fields: example

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Associated simplicial complex: 203 vertices, 408 edges and 208 triangles.

Discrete vector fields: example

Filtration over \mathbb{Z}^2 of a **digital image**:



Associated simplicial complex: 203 vertices, 408 edges and 208 triangles. Reduced chain complex: 21 vertices, 23 edges and 5 triangles.

Generalized Serre spectral sequence: example

First stages of the Postnikov tower for computing the homotopy groups of the sphere S^3 , given by the following tower of fibrations:

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Multi-parameter persistence and persistence of *I*-filtrations

Multi-parameter filtrations (or \mathbb{Z}^m -filtrations) of simplicial complexes:



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Associated invariant: rank invariant

$$eta_n^{P,Q} := \dim_{\mathbb{F}} \operatorname{Im}(H_n(K_P) o H_n(K_Q)), \qquad P, Q \in \mathbb{Z}^m, \quad P \leq Q.$$

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Similarly, for an *I*-filtration $(F_i)_{i \in I}$, we define the **rank invariant** as the collection of integers

$$\beta_n(v,w) \coloneqq \dim_{\mathbb{F}} \operatorname{Im}(H_n(F_v) \to H_n(F_w)), \quad v, w \in I, \quad v \leq w.$$

Our results

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Relation between multi-parameter persistence and spectral systems

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Relation between multi-parameter persistence and spectral systems

A partially ordered abelian group $(I, +, \leq)$ is an abelian group (I, +) endowed with a partial order \leq that is **translation invariant**: for all $p, t, t' \in I$, if $t \leq t'$ then $p + t \leq p + t'$.

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Theorem

Let $(I, +, \leq)$ be a partially ordered abelian group, and let $(F_i)_{i \in I}$ be an *I*-filtration of chain complexes. Then, for any $v, w \in I$ such that $v, w \geq 0$ there is an exact sequence

$$\cdots \to S_n[-\infty, -\infty, p-v, p-v+w] \xrightarrow{\ell} S_n[-\infty, -\infty, p, p+w] \xrightarrow{\ell}$$
$$\xrightarrow{\ell} S_n[p-v-w, p-v, p, p+w] \xrightarrow{k}$$
$$\xrightarrow{k} S_{n-1}[-\infty, -\infty, p-v-w, p-v] \xrightarrow{\ell} S_{n-1}[-\infty, -\infty, p-w, p] \to \cdots,$$

which yields the relation

$$\dim_{\mathbb{F}} S_{n}[p - v - w, p - v, p, p + w] = \beta_{n}^{p, p + w} - \beta_{n}^{p - v, p + w} + \beta_{n-1}^{p - v - w, p - v} - \beta_{n-1}^{p - v - w, p}.$$
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Consider the partially ordered abelian group $(\mathbb{Z}^m, +, \leq)$ and a \mathbb{Z}^m -filtration $(F_P)_{P \in \mathbb{Z}^m}$. The previous theorem gives a relation between the rank invariant of multi-parameter persistence and the dimension of the terms of the spectral system over \mathbb{Z}^m .

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Corollary

Given a \mathbb{Z}^m -filtration $(F_P)_{P \in \mathbb{Z}^m}$, the rank invariant $\{\beta_n^{P,Q}\}_{P \leq Q \in \mathbb{Z}^m}$ and the dimension of the terms of the spectral system $\{\dim_{\mathbb{F}} S_n[z, s, p, b]\}_{z \leq s \leq p \leq b \in \mathbb{Z}^m}$ carry the same amount of topological information on the filtration.

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Our results generalize those obtained by Basu and Parida for spectral sequences and persistent homology (defined from \mathbb{Z} -filtrations)



S. Basu, L. Parida . Spectral Sequences, Exact Couples and Persistent Homology of filtrations. Expositiones Mathematicae 35 (1), 119-132, 2017. The second s

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We generalize existing programs for computing multi-parameter persistence, which are valid for specific situations. We consider integer coefficients and a general poset *I*.

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We define the quotient group:

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When computing this group with coefficients in a field and the poset \mathbb{Z}^m , its rank corresponds to the rank invariant. It represents the homology classes in $H_n(F_v)$ which are still present in $H_n(F_w)$.

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Computation of a new descriptor

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We propose a new descriptor which tries to express the notions of **birth** and **death**.

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For regular persistent homology, defined from $\mathbb{Z}\text{-filtrations:}$

$$M_n^{i,j} \coloneqq \frac{F_i C_n \cap d(F_j C_{n+1}) + F_{i-1} C_n}{F_i C_n \cap d(F_{j-1} C_{n+1}) + F_{i-1} C_n}$$

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Example:



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Example:



The class corresponding to the (boundary of) the triangle bcd is born at both positions (1,2) and (2,1)

Example:



The class corresponding to the (boundary of) the triangle *bcd* is born at both positions (1,2) and (2,1) and the (boundary of) the triangle *cde* is born at positions (1,3), (2,2) and (3,1).

Computation of a new descriptor

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Computation of a new descriptor

Definition

Let (F_P) be a \mathbb{Z}^m -filtration and consider the canonically associated $D(\mathbb{Z}^m)$ -filtration $(F_p = \sum_{P \in p} F_P)$. For each $p \leq b$ in $D(\mathbb{Z}^m)$ we define

$$M_n^{p,b} = \frac{\hat{F}_p C_n \cap d(\hat{F}_b C_{n+1})}{A_{p,n} + B_{b,n}}$$

where

$$\begin{aligned} \hat{F}_{p}C_{n} &= \{\sigma | \sigma \in F_{P_{j}}C_{n} \text{ for all } 1 \leq j \leq k\} = \bigcap_{j} F_{P_{j}}C_{n} \\ A_{p,n} &= \sum_{Q} (\hat{F}_{p}C_{n} \cap d(\hat{F}_{b}C_{n+1}) \cap F_{Q}C_{n}) + \sum_{X} (\hat{F}_{p}C_{n} \cap d(\hat{F}_{b}C_{n+1}) \cap F_{X}C_{n}) \\ B_{p,n} &= \sum_{R} (\hat{F}_{p}C_{n} \cap d(\hat{F}_{b}C_{n+1}) \cap d(F_{R}C_{n+1})) \\ &+ \sum_{Y} (\hat{F}_{p}C_{n} \cap d(\hat{F}_{b}C_{n+1}) \cap d(F_{Y}C_{n+1})) \end{aligned}$$

with $Q \in \mathbb{Z}^m$ not comparable with the points P_j defining the downset p, $X \in p \setminus \{P_1, \ldots, P_k\}$, $R \in \mathbb{Z}^m$ not comparable with the points B_j defining the downset b and $Y \in b \setminus \{B_1, \ldots, B_r\}$.

Multi-parameter persistence

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Multi-parameter persistence

Example:



Multi-parameter persistence

Example:



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Our new descriptor distinguishes different filtrations:



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> (multiprst-m-group K1 (list '(1 2) '(2 1)) (list '(2 2)) 1)
Multipersistence group M[((1 2) (2 1)),((2 2))]_{1}
NIL

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This result allows us to apply our programs to compute multi-parameter persistence of filtered complexes of infinite type.

(Joint work with D. Miguel, A. Guidolin, and J. Rubio)

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First of all, we have defined a spectral system combining Serre and Eilenberg–More spectral sequences, by means of a \mathbb{Z}^2 -filtration on the chain complex Cobar^{*C*_{*}(*B*)}(\mathbb{Z}, \mathbb{Z}) $\otimes_t C_*(E)$.

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We try to develop and implement simplicial constructions of new spectral systems related with different spectral sequences.

First of all, we have defined a spectral system combining Serre and Eilenberg–More spectral sequences, by means of a \mathbb{Z}^2 -filtration on the chain complex Cobar^{*C*_{*}(*B*)}(\mathbb{Z}, \mathbb{Z}) $\otimes_t C_*(E)$.

D. Miguel, A. Guidolin, A. R., J. Rubio. *Effective spectral systems relating Serre and Eilenberg–Moore spectral sequences*. Journal of Symbolic Computation 114, 122–148, 2023.

We have defined a new notion of *m*-multicomplex, which generalizes that of a multicomplex, to define new spectral systems. The spectral system of an iterated tower of fibrations can be defined in this way. We are working in defining an *m*-multicomplex to define the Eilenberg–Moore spectral sequence for a cube of fibrations.

(Joint work with J. Cuevas-Rozo, J. Divasón, and M. Marco-Buzunáriz)

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¡Muchas gracias!

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