# Cálculo efectivo de sistemas espectrales y su relación con la homología persistente multiparamétrica 

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Trabajo conjunto con A. Guidolin, J. Divasón y F. Vaccarino
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## Introduction

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- We work with the computer algebra system Kenzo, devoted to the computation of homology and homotopy groups of complicated spaces, which can be of infinite type.
- We use a previous work where we developed a set of algorithms and programs for computing spectral sequences.


## Chain complexes，homology

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These groups can be determined by means of diagonalization algorithms on matrices when the chain complex $C_{*}$ is of finite type (a free chain complex with a finite number of generators in each degree).

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- Otherwise, a pair of reductions $C_{*} \Leftarrow \hat{C}_{*} \Rightarrow D_{*}$ from the initial chain complex $C_{*}$ to another one $D_{*}$ of finite type is constructed, such that the homology groups of $C_{*}$ and $D_{*}$ are isomorphic.


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A reduction $\rho: \hat{C} \Rightarrow C_{*}$ is given by three maps $f: \hat{C}_{*} \rightarrow C_{*}$, $g: C_{*} \rightarrow \hat{C}_{*}$ and $h: \hat{C}_{*} \rightarrow \hat{C}_{*+1}$ satisfying some properties, which in particular imply that $H_{*}\left(\hat{C}_{*}\right) \cong H_{*}\left(C_{*}\right)$.


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The pair of reductions $C_{*} \Leftarrow \hat{C}_{*} \Rightarrow D_{*}$ is called the effective homology of $C_{*}$ and $D_{*}$ is said to be effective.


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A spectral sequence $E=\left(E^{r}, d^{r}\right)_{r \geq 1}$ is a family of bigraded $\mathbb{Z}$-modules $E^{r}=\left\{E_{p, q}^{r}\right\}$, each provided with a differential
$d^{r}=\left\{d_{p, q}^{r}: E_{p, q}^{r} \rightarrow E_{p-r, q+r-1}^{r}\right\}$ of bidegree $(-r, r-1)$ and with isomorphisms $H\left(E^{r}, d^{r}\right)=\operatorname{Ker} d^{r} / \operatorname{Im} d^{r} \cong E^{r+1}$ for every $r \geq 1$.

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Since $E_{p, q}^{r+1}$ is a subquotient of $E_{p, q}^{r}$ for each $r \geq 1$, one can define the final groups of the spectral sequence as $E_{p, q}^{\infty}=\bigcap_{r \geq 1} E_{p, q}^{r}$.

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Since $E_{p, q}^{r+1}$ is a subquotient of $E_{p, q}^{r}$ for each $r \geq 1$, one can define the final groups of the spectral sequence as $E_{p, q}^{\infty}=\bigcap_{r \geq 1} E_{p, q}^{r}$. Under good conditions (very frequently), the spectral sequence stabilizes.

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- The Adams spectral sequence converges to the homotopy groups of a simplicial set.

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## Theorem (Serre, 1951)

Let $G \hookrightarrow E \rightarrow B$ be a fibration and suppose the base $B$ is 1-reduced.
There is a spectral sequence converging to $H_{*}(E)$ whose second page is given by $E_{p, q}^{2}=H_{p}\left(B ; H_{q}(G)\right)$.

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Suppose $H_{i}(G)$ and $H_{i}(B)$ are zero for odd $i$ and free abelian for even $i$. The entries $E_{p, q}^{2}$ of the $E^{2}$ page are then zero unless $p$ and $q$ are even.

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| ¢ |  |  |  |  |  | $\mathrm{r}=2$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 0 | 0 | 0 | 0 | 0 | 0 |  |
| - | 0 | - | 0 | - | 0 |  |
| 0 | 0 | 0 | 0 | 0 | 0 |  |
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| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 0 | 0 | 0 | 0 | 0 | 0 | $\mathrm{r}=3$ |
| - | 0 | $\bullet$ | 0 | - | 0 |  |
| 0 | 0 | 0 | 0 | 0 | 0 |  |
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| 0 | 0 | 0 | 0 | 0 |  |  | $\mathrm{r}=3$ |
| - | 0 | $\bullet$ | 0 | - |  |  | $\mathrm{r}=4$ |
| 0 | 0 | 0 | 0 | 0 |  |  |  |
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They are not algorithms producing the desired $H_{*}$

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## Generalized filtrations and spectral systems

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A spectral system (also called generalized spectral sequence or higher spectral sequence) is a set of groups, for all $z \leq s \leq p \leq b$ in $I$ and for each degree $n$ :

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S_{n}[z, s, p, b]=\frac{F_{p} C_{n} \cap d_{n}^{-1}\left(F_{z} C_{n-1}\right)+F_{s} C_{n}}{d_{n+1}\left(F_{b} C_{n+1}\right)+F_{s} C_{n}}
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Example: $\mathbb{Z}$-filtration $\left(F_{p}\right)_{p \in \mathbb{Z}}$, indices $z \leq s \leq p \leq b$ in $\mathbb{Z}$ :


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## The posets $\mathbb{Z}^{m}$ and $D\left(\mathbb{Z}^{m}\right)$

Consider $\mathbb{Z}^{m}$, seen as the poset $\left(\mathbb{Z}^{m}, \leq\right)$ with the coordinate-wise order relation: $P=\left(p_{1}, \ldots, p_{m}\right) \leq Q=\left(q_{1}, \ldots, q_{m}\right)$ if and only if $p_{i} \leq$ $q_{i}$, for all $1 \leq i \leq m$.

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A downset of $\mathbb{Z}^{m}$ is a subset $p \subseteq \mathbb{Z}^{m}$ such that if $P \in p$ and $Q \leq P$ in $\mathbb{Z}^{m}$ then $Q \in p$.


## The posets $\mathbb{Z}^{m}$ and $D\left(\mathbb{Z}^{m}\right)$

Consider $\mathbb{Z}^{m}$, seen as the poset $\left(\mathbb{Z}^{m}, \leq\right)$ with the coordinate-wise order relation: $P=\left(p_{1}, \ldots, p_{m}\right) \leq Q=\left(q_{1}, \ldots, q_{m}\right)$ if and only if $p_{i} \leq$ $q_{i}$, for all $1 \leq i \leq m$.

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We denote $D\left(\mathbb{Z}^{m}\right)$ the collection of all downsets of $\mathbb{Z}^{m}$, which is a poset with respect to the inclusion $\subseteq$.


## Motivating example

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## Theorem (Serre, 1951)

Let $G \hookrightarrow E \rightarrow B$ be a fibration and suppose the base $B$ is 1 -reduced. There is a spectral sequence converging to $H_{*}(E)$ whose second page is given by $E_{p, q}^{2}=H_{p}\left(B ; H_{q}(G)\right)$.

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## Theorem (Matschke, 2013)

## Consider a tower of fibrations


and suppose the base $B$ is 1 -reduced. There exists a $D\left(\mathbb{Z}^{2}\right)$-spectral system converging to $H_{*}(E)$ whose second page is given by

$$
S_{n}^{*}(P ; 2)=H_{p_{2}}\left(B ; H_{p_{1}}\left(M ; H_{n-p_{1}-p_{2}}(G)\right)\right), \quad P=\left(p_{1}, p_{2}\right) \in \mathbb{Z}^{2}
$$

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The result is a basis-divisors description of the group, that is:

- a list of combinations $\left(c_{1}, \ldots, c_{\alpha+k}\right)$
- a list of torsion coefficients $\left(b_{1}, \ldots, b_{k}, 0, \ldots .0\right)$.


## Algorithms

## Algorithms

To compute the differential map
$d: S_{2} \equiv S\left[z_{2}, s_{2}, p_{2}, b_{2}\right] \rightarrow S_{1} \equiv S\left[z_{1}, s_{1}, p_{1}, b_{1}\right]$ applied to an element $a=[x]$ given by a list of coordinates $\left(a_{1}, \ldots a_{r}\right)$ :

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- We reduce them considering the corresponding divisors.


## Algorithms

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If a $l$-filtered chain complex $C_{*}$ is not of finite type, we use the effective homology method and we consider a pair of reductions $C_{*} \Leftarrow \hat{C}_{*} \Rightarrow D_{*}$ from the initial chain complex $C_{*}$ to another one $D_{*}$ of finite type (also filtered over I).

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## Theorem

Let $\rho=(f, g, h): C_{*} \Rightarrow D_{*}$ be a reduction between the I-filtered chain complexes $\left(C_{*}, F\right)$ and $\left(D_{*}, F^{\prime}\right)$, and suppose that $f$ and $g$ are compatible with the filtrations. Then, given four indices $z \leq s \leq p \leq b$ in I, the map $f$ induces an isomorphism $f^{z, s, p, b}: S_{n}[z, s, p, b] \rightarrow S_{n}^{\prime}[z, s, p, b]$ whenever the homotopy $h:\left(C_{*}, F\right) \rightarrow\left(C_{*+1}, F\right)$ satisfies the conditions

$$
h\left(F_{z}\right) \subseteq F_{s} \quad \text { and } \quad h\left(F_{p}\right) \subseteq F_{b} .
$$

## Discrete Morse Theory for algorithmic efficiency

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Our programs use discrete vector fields to reduce the number of generators of the chain complex.

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## Theorem

Let $F=\left(F_{i}\right)_{i \in I}$ be an $I$-filtration of $C_{*}$, and let $V=\left\{\left(\sigma_{j} ; \tau_{j}\right)\right\}_{j \in J}$ be an admissible discrete vector field on $C_{*}$ such that, for all $j \in J$, the cells $\sigma_{j}$ and $\tau_{j}$ appear together in the filtration. Then there exists a reduction $\rho=: C_{*} \Rightarrow C_{*}^{c}$, where $C_{*}^{c}$ is the critical chain complex (generated by the cells which do not appear in the vector field), which is compatible with the filtrations.

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## Corollary

Under the same hypotheses, the generalized spectral sequences associated with the $l$-filtrations of $C_{*}$ and $C_{*}^{c}$ are isomorphic.

Programs computing spectral systems

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A. Guidolin, A. R. Effective Computation of Generalized Spectral Sequences. Proceedings ISSAC 2018, 183-190.

Programs computing Serre spectral systems

- We consider a tower of simplicial fibrations.
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A. Guidolin, A. R. Computing Higher Leray-Serre Spectral Sequences of Towers of Fibrations. Foundations of Computational Mathematics 21(4), 1023-1074, 2021.

Example


## Example



Generalized spectral sequence $S\left[\left(\begin{array}{ll}1 & 1\end{array}\right),\left(\begin{array}{ll}1 & 2\end{array}\right),\left(\begin{array}{ll}2 & 2\end{array}\right),\left(\begin{array}{ll}2 & 2\end{array}\right] \_\{1\}\right.$
Component Z
> (gen-spsq-group $K^{\prime}\left(\begin{array}{lll}1 & 1\end{array}\right)$ '(1 1$)^{\prime}$ '(2 2$)^{\prime}\left(\begin{array}{lll}2 & 2) & 1)\end{array}\right.$
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Component Z
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## Discrete vector fields: example

Filtration over $\mathbb{Z}^{2}$ of a digital image:


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Filtration over $\mathbb{Z}^{2}$ of a digital image:


Associated simplicial complex: 203 vertices, 408 edges and 208 triangles.

## Discrete vector fields: example

Filtration over $\mathbb{Z}^{2}$ of a digital image:


Associated simplicial complex: 203 vertices, 408 edges and 208 triangles. Reduced chain complex: 21 vertices, 23 edges and 5 triangles.

## Generalized Serre spectral sequence: example

First stages of the Postnikov tower for computing the homotopy groups of the sphere $S^{3}$, given by the following tower of fibrations:


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First stages of the Postnikov tower for computing the homotopy groups of the sphere $S^{3}$, given by the following tower of fibrations:

$>$ (gen-spsq-group $\left.\left.K \quad,((1-2)) \quad,\left(\left(\begin{array}{ll}1 & -1\end{array}\right)\right)^{\prime}\left(\left(\begin{array}{ll}0 & 0\end{array}\right)\right)^{\prime}\left(\begin{array}{ll}0 & 1\end{array}\right)\left(\begin{array}{ll}1 & 0\end{array}\right)\right) 6\right)$
Generalized spectral sequence $S\left[\left(\begin{array}{ll}(1-2\end{array}\right)\right),\left(\left(\begin{array}{ll}1 & -1\end{array}\right)\right),\left(\left(\begin{array}{ll}0 & 0\end{array}\right)\right),\left(\left(\begin{array}{ll}0 & 1\end{array}\right)\right.$
(1 0) )]_\{6\}
Component Z/2Z

Generalized spectral sequence $S\left[\left(\begin{array}{ll}-1 & -1\end{array}\right)\right),\left(\left(\begin{array}{ll}-1 & -1\end{array}\right)\right),\left(\left(\begin{array}{ll}12 & 12\end{array}\right)\right)$,
( (12 12) ) ]_\{6\}
Component Z/6Z

## Multi-parameter persistence and persistence of l-filtrations

Multi-parameter filtrations (or $\mathbb{Z}^{m}$-filtrations) of simplicial complexes:


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Associated invariant: rank invariant

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$$

Similarly, for an $I$-filtration $\left(F_{i}\right)_{i \in I}$, we define the rank invariant as the collection of integers

$$
\beta_{n}(v, w):=\operatorname{dim}_{\mathbb{F}} \operatorname{Im}\left(H_{n}\left(F_{v}\right) \rightarrow H_{n}\left(F_{w}\right)\right), \quad v, w \in I, \quad v \leq w .
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A. Guidolin, J. Divasón, A. R., F. Vaccarino. Computing invariants for multipersistence via spectral systems and effective homology. Journal of Symbolic Computation 104, 724-753, 2021.

## Relation between multi-parameter persistence and spectral systems

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A partially ordered abelian group $(I,+, \leq)$ is an abelian group $(I,+)$ endowed with a partial order $\leq$ that is translation invariant: for all $p, t, t^{\prime} \in I$, if $t \leq t^{\prime}$ then $p+t \leq p+t^{\prime}$.

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## Theorem

Let $(I,+, \leq)$ be a partially ordered abelian group, and let $\left(F_{i}\right)_{i \in I}$ be an $I$-filtration of chain complexes. Then, for any $v, w \in I$ such that $v, w \geq 0$ there is an exact sequence

$$
\begin{aligned}
& \cdots \rightarrow S_{n}[-\infty,-\infty, p-v, p-v+w] \xrightarrow{\ell} S_{n}[-\infty,-\infty, p, p+w] \xrightarrow{\ell} \\
& \stackrel{\ell}{\rightarrow} S_{n}[p-v-w, p-v, p, p+w] \stackrel{k}{\rightarrow} \\
& \quad \xrightarrow{k} S_{n-1}[-\infty,-\infty, p-v-w, p-v] \xrightarrow{\ell} S_{n-1}[-\infty,-\infty, p-w, p] \rightarrow \cdots,
\end{aligned}
$$

which yields the relation

$$
\begin{aligned}
\operatorname{dim}_{\mathbb{F}} S_{n}[p-v-w, p-v, p, p+w]=\beta_{n}^{p, p+w}-\beta_{n}^{p-v, p+w} & \\
& +\beta_{n-1}^{p-v-w, p-v}-\beta_{n-1}^{p-v-w, p}
\end{aligned}
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## Relation between multi-parameter persistence and spectral systems

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Consider the partially ordered abelian group $\left(\mathbb{Z}^{m},+, \leq\right)$ and a $\mathbb{Z}^{m}$-filtration $\left(F_{P}\right)_{P \in \mathbb{Z}^{m}}$. The previous theorem gives a relation between the rank invariant of multi-parameter persistence and the dimension of the terms of the spectral system over $\mathbb{Z}^{m}$.

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## Corollary

Given a $\mathbb{Z}^{m}$-filtration $\left(F_{P}\right)_{P \in \mathbb{Z}^{m}}$, the rank invariant $\left\{\beta_{n}^{P, Q}\right\}_{P \leq Q \in \mathbb{Z}^{m}}$ and the dimension of the terms of the spectral system $\left\{\operatorname{dim}_{\mathbb{F}} S_{n}[z, s, p, b]\right\}_{z \leq s \leq p \leq b \in \mathbb{Z}^{m}}$ carry the same amount of topological information on the filtration.

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Our results generalize those obtained by Basu and Parida for spectral sequences and persistent homology (defined from $\mathbb{Z}$-filtrations)
国 S. Basu, L. Parida. Spectral Sequences, Exact Couples and Persistent Homology of filtrations. Expositiones Mathematicae 35 (1), 119-132, 2017. 三

## Generalizing the rank invariant in the finite case

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We generalize existing programs for computing multi-parameter persistence, which are valid for specific situations. We consider integer coefficients and a general poset $I$.

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We define the quotient group:

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H_{n}^{v, w}:=\frac{F_{v} C_{n} \cap \operatorname{Ker} d_{n}}{F_{v} C_{n} \cap d\left(F_{w} C_{n+1}\right)},
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When computing this group with coefficients in a field and the poset $\mathbb{Z}^{m}$, its rank corresponds to the rank invariant. It represents the homology classes in $H_{n}\left(F_{v}\right)$ which are still present in $H_{n}\left(F_{w}\right)$.

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## Computation of a new descriptor

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For regular persistent homology, defined from $\mathbb{Z}$-filtrations:

$$
M_{n}^{i, j}:=\frac{F_{i} C_{n} \cap d\left(F_{j} C_{n+1}\right)+F_{i-1} C_{n}}{F_{i} C_{n} \cap d\left(F_{j-1} C_{n+1}\right)+F_{i-1} C_{n}}
$$

## Multipersistence

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## Multipersistence

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The class corresponding to the (boundary of) the triangle bcd is born at both positions $(1,2)$ and $(2,1)$ and the (boundary of) the triangle cde is born at positions $(1,3),(2,2)$ and $(3,1)$.

## Computation of a new descriptor

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## Definition

Let $\left(F_{P}\right)$ be a $\mathbb{Z}^{m}$-filtration and consider the canonically associated $D\left(\mathbb{Z}^{m}\right)$-filtration $\left(F_{p}=\sum_{P \in p} F_{P}\right)$. For each $p \leq b$ in $D\left(\mathbb{Z}^{m}\right)$ we define
where

$$
M_{n}^{p, b}=\frac{\hat{F}_{p} C_{n} \cap d\left(\hat{F}_{b} C_{n+1}\right)}{A_{p, n}+B_{b, n}}
$$

$$
\begin{aligned}
\hat{F}_{p} C_{n}= & \left\{\sigma \mid \sigma \in F_{P_{j}} C_{n} \text { for all } 1 \leq j \leq k\right\}=\bigcap_{j} F_{P_{j}} C_{n} \\
A_{p, n}= & \sum_{Q}\left(\hat{F}_{p} C_{n} \cap d\left(\hat{F}_{b} C_{n+1}\right) \cap F_{Q} C_{n}\right)+\sum_{X}\left(\hat{F}_{p} C_{n} \cap d\left(\hat{F}_{b} C_{n+1}\right) \cap F_{X} C_{n}\right) \\
B_{p, n}= & \sum_{R}\left(\hat{F}_{p} C_{n} \cap d\left(\hat{F}_{b} C_{n+1}\right) \cap d\left(F_{R} C_{n+1}\right)\right) \\
& +\sum_{Y}\left(\hat{F}_{p} C_{n} \cap d\left(\hat{F}_{b} C_{n+1}\right) \cap d\left(F_{Y} C_{n+1}\right)\right)
\end{aligned}
$$

with $Q \in \mathbb{Z}^{m}$ not comparable with the points $P_{j}$ defining the downset $p$, $X \in p \backslash\left\{P_{1}, \ldots, P_{k}\right\}, R \in \mathbb{Z}^{m}$ not comparable with the points $B_{j}$ defining the downset $b$ and $Y \in b \backslash\left\{B_{1}, \ldots, B_{r}\right\}$.

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## Example:



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> (multiprst-m-group $K$ (list '(1 3) '(2 2) '(3 1)) (list '(2 3) '(3 2)) 1)
 Component Z

```
> (multiprst-m-gnrts K (list '(1 3) '(2 2) '(3 1))
    (list '(2 3) '(3 2)) 1)
({CMBN 1}<1 * CD><-1 * CE><1 * DE>)
```


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```
> (multiprst-m-group K1 (list '(1 2) '(2 1)) (list '(2 2)) 1)
Multipersistence group M[((1 2) (2 1)),((2 2))]_{1}
NIL
> (multiprst-m-group K2 (list '(1 2) '(2 1)) (list '(2 2)) 1)
Multipersistence group M[((1 2) (2 1)),((2 2))]_{1}
Component Z
```


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## Theorem

Let $\rho=(f, g, h): C_{*} \Rightarrow D_{*}$ be a reduction between the I-filtered chain complexes $\left(C_{*}, F\right)$ and $\left(D_{*}, F^{\prime}\right)$, and suppose that the maps $f, g$ and $h$ are compatible with the filtrations. Then, $H_{n}^{p, b}\left(D_{*}\right) \cong H_{n}^{p, b}\left(C_{*}\right)$ for all $p \leq b$ in I and $M_{n}^{p, b}\left(D_{*}\right) \cong M_{n}^{p, b}\left(C_{*}\right)$ for every $p<b$.

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This result allows us to apply our programs to compute multi-parameter persistence of filtered complexes of infinite type.

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D. Cuevas-Rozo, J. Divasón, M. Marco-Buzunáriz, A. R. A Kenzo interface for algebraic topology computations in SageMath. ISSAC 2019, Best software demo award.

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¡Muchas gracias!

