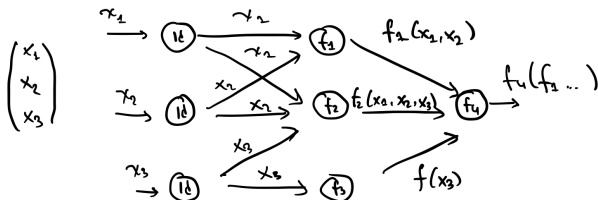


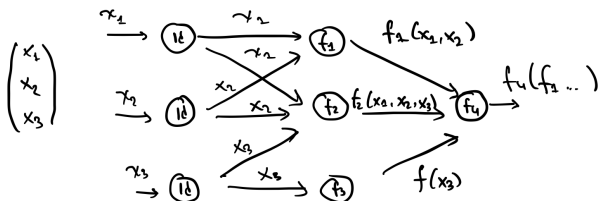
Quiver representations of neural networks

Rubén Ballester Bautista
Topological Machine Learning @ UB
November 29, 2022

- ▶ Neural networks are defined as computational graphs, i.e. functions $f: \mathbb{R}^n \times \Theta \rightarrow \mathbb{R}^m$ given by the composition of multiple **smaller** functions determined by the vertices of the graph.



- ▶ Neural networks are defined as computational graphs, i.e. functions $f: \mathbb{R}^n \times \Theta \rightarrow \mathbb{R}^m$ given by the composition of multiple **smaller** functions determined by the vertices of the graph.



- ▶ Computational graphs are **hard-to-study** mathematical structures. We would like to have some easier, algebraic representations of neural networks.

Motivation to use quivers

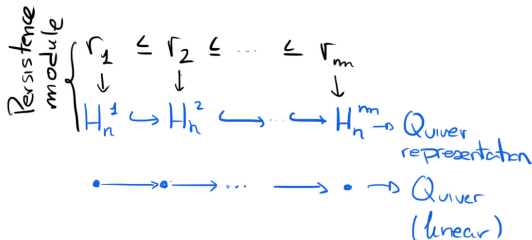
- ▶ **Quivers** generalise the concept of graphs.

Motivation to use quivers

- ▶ **Quivers** generalise the concept of graphs.
- ▶ The field of **representation theory** has strong results for quiver representations.

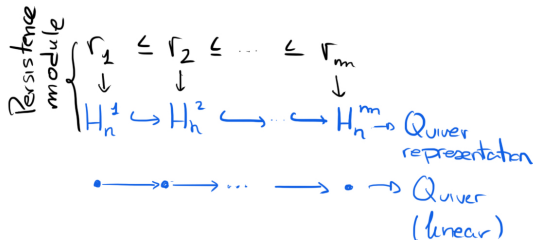
Motivation to use quivers

- ▶ **Quivers** generalise the concept of graphs.
- ▶ The field of **representation theory** has strong results for quiver representations.
- ▶ Decomposition of persistence modules into **persistence barcodes** is proved by quiver representation theory!



Motivation to use quivers

- ▶ **Quivers** generalise the concept of graphs.
- ▶ The field of **representation theory** has strong results for quiver representations.
- ▶ Decomposition of persistence modules into **persistence barcodes** is proved by quiver representation theory!



- ▶ Can neural networks benefit from quiver theory?

Basics of quiver representation theory

Quiver theory for neural networks

Quiver theory for data representations

About the talk

- ▶ We will explore mainly the paper **“The Representation Theory of Neural Networks”**^a, by Marco Antonio Armenta and Pierre-Marc Jodoin.
- ▶ Several papers have been developed studying neural networks theoretically:
 - ▶ **Neural teleportation**, by Armenta et al. Study of neural network *isomorphisms* and consequences during training.
 - ▶ **Double Framed Moduli Spaces of Quiver Representations**, by Armenta et al. Definition of the neural network category. Further advances in neural network representation theory.

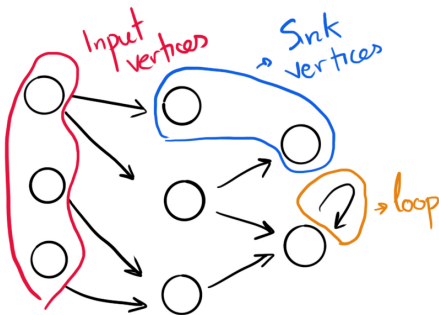
^aMarco Armenta and Pierre-Marc Jodoin. “The representation theory of neural networks”. In: *Mathematics* 9.24 (2021), p. 3216.



Basics of quiver representation theory

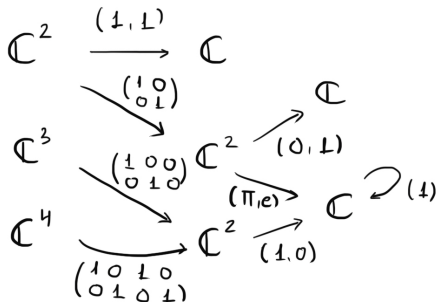
Quivers

- ▶ A **quiver** Q is given by a tuple (V, E, s, t) where (V, E) is an oriented graph with a set of vertices V and a set of oriented edges E and maps $s, t: E \rightarrow V$ that send $\epsilon \in E$ to their source and target vertices $s(\epsilon) \in V$ and $t(\epsilon) \in V$ respectively.



Quiver representations

- A **quiver representation** of Q is given by a pair of sets $W := ((W_v)_{v \in V}, (W_e)_{e \in E})$ where the W_v 's are vector spaces and the W_e 's are linear maps such that $W_e: W_{s(e)} \rightarrow W_{t(e)}$ for every $e \in E$.



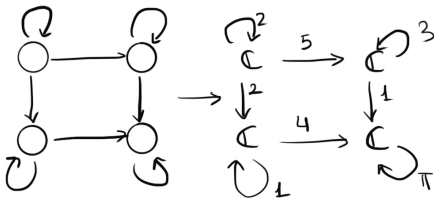
Representation morphisms

- Let Q be a quiver and let W and U be two representations of Q . A **morphism of representations** $\tau: W \rightarrow U$ is a set of linear maps $\tau = (\tau_v)_{v \in V}$ indexed by the vertices of Q , $\tau_v: W_v \rightarrow U_v$, such that $\tau_{t(e)} W_e = U_e \tau_{s(e)}$ for every $e \in E$. It is an **isomorphism** if τ_v is an isomorphism for all $v \in V(Q)$.

$$\begin{array}{ccc}
 \textcircled{u} & \longrightarrow & \textcircled{v} \rightarrow Q \\
 \\
 \mathbb{C} & \xrightarrow{\pi} & \mathbb{C} \rightarrow \omega \\
 \tau_u = \pi \downarrow & \text{//} & \downarrow e = \tau_v \\
 \mathbb{C} & \xrightarrow{e} & \mathbb{C} \rightarrow u
 \end{array}$$

Thin representation of a quiver

- A **thin** representation of a quiver Q is a quiver representation W such that $W_v = \mathbb{C}$ for all $v \in V$.



Actions and change of basis group

- ▶ Let G be a group and let X be a set. We say that there is an **action** of G on X if there exists a map $\cdot : G \times X \rightarrow X$ such that $e \cdot x = x$ and $a \cdot (b \cdot x) = (ab) \cdot x$.

Actions and change of basis group

- ▶ Let G be a group and let X be a set. We say that there is an **action** of G on X if there exists a map $\cdot : G \times X \rightarrow X$ such that $e \cdot x = x$ and $a \cdot (b \cdot x) = (ab) \cdot x$.
- ▶ The **change of basis group** of thin representations over a quiver Q is $G = \prod_{v \in V} \mathbb{C}^*$ where $\tau\sigma = (\tau_1\sigma_1, \dots, \tau_n\sigma_n)$, $n = |V(Q)|$.

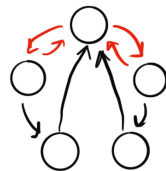
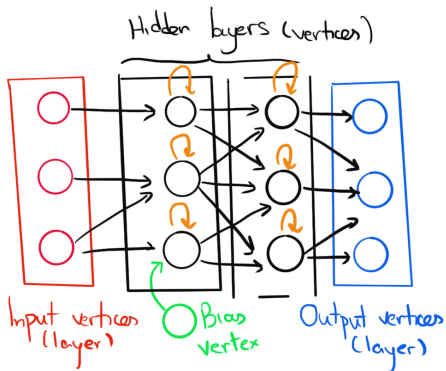
Actions and change of basis group

- ▶ Let G be a group and let X be a set. We say that there is an **action** of G on X if there exists a map $\cdot : G \times X \rightarrow X$ such that $e \cdot x = x$ and $a \cdot (b \cdot x) = (ab) \cdot x$.
- ▶ The **change of basis group** of thin representations over a quiver Q is $G = \prod_{v \in V} \mathbb{C}^*$ where $\tau\sigma = (\tau_1\sigma_1, \dots, \tau_n\sigma_n)$, $n = |V(Q)|$.
- ▶ G will act on the set X of thin representations of Q .

Quiver theory for neural networks

Input-output quivers

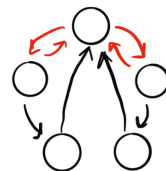
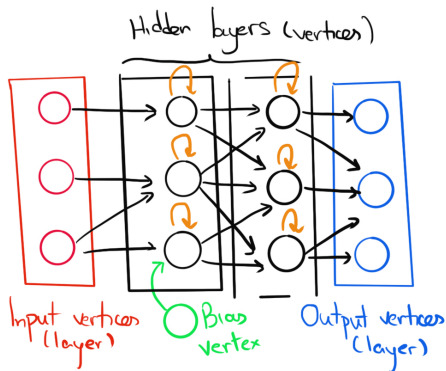
- ▶ An **input-output** quiver Q is a quiver where a subset of d source vertices of Q are called **input** vertices.



This is not an input-output quiver.

Input-output quivers

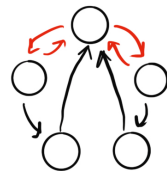
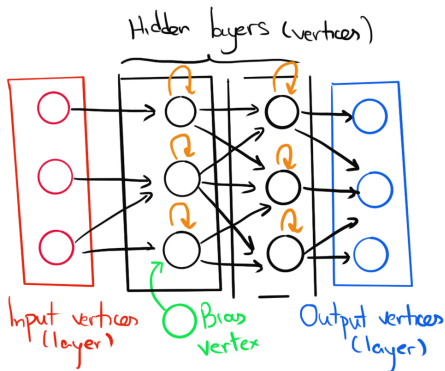
- ▶ An **input-output** quiver Q is a quiver where a subset of d source vertices of Q are called **input** vertices.
- ▶ Non-input source vertices are called **bias**.
- ▶ Sinks are called **output** vertices.



This is not an input-output quiver.

Input-output quivers

- ▶ An **input-output** quiver Q is a quiver where a subset of d source vertices of Q are called **input** vertices.
- ▶ Non-input source vertices are called **bias**.
- ▶ Sinks are called **output** vertices.
- ▶ It is **arranged by layers** if it is arranged by layers in the known, natural way.



This is not an input-output quiver.

Network quivers

- ▶ A **network quiver** Q is an input-output quiver arranged by layers such that:
 1. There are no loops on source vertices (input and bias) nor sink (output) vertices.
 2. There is exactly one loop on each hidden vertex.

Delooped quivers



- ▶ The **delooped** quiver \mathring{Q} of Q is the quiver obtained by removing all loops of Q and it is denoted by $\mathring{Q} = (\mathring{V}, \mathring{E}, \mathring{s}, \mathring{t})$.

Delooped quivers

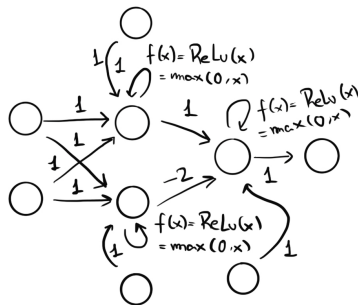
- ▶ The **delooped** quiver \mathring{Q} of Q is the quiver obtained by removing all loops of Q and it is denoted by $\mathring{Q} = (\mathring{V}, \mathring{E}, \mathring{s}, \mathring{t})$.
- ▶ The weights of a neural network define a thin quiver representation of the delooped quiver \mathring{Q} of its network quiver Q .

Delooped quivers

- ▶ The **delooped** quiver \mathring{Q} of Q is the quiver obtained by removing all loops of Q and it is denoted by $\mathring{Q} = (\mathring{V}, \mathring{E}, \mathring{s}, \mathring{t})$.
- ▶ The weights of a neural network define a thin quiver representation of the delooped quiver \mathring{Q} of its network quiver Q .
- ▶ Together with **activation functions** $f: \mathbb{C} \rightarrow \mathbb{C}$ we can obtain the neural network function $f(x, \theta)$.

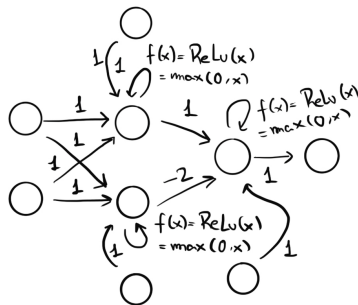
Neural networks

- ▶ A **neural network** over a network quiver Q is a pair (W, f) where W is a thin representation of the delooped quiver \mathring{Q} and $f = (f_v)_{v \in V}$ are activation functions, assigned to the loops of Q .



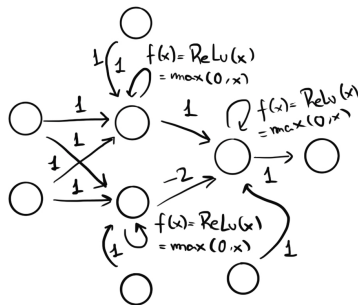
Neural networks

- ▶ A **neural network** over a network quiver Q is a pair (W, f) where W is a thin representation of the delooped quiver \mathring{Q} and $f = (f_v)_{v \in V}$ are activation functions, assigned to the loops of Q .
- ▶ The weights of the neural network (W, f) are the complex numbers defining the maps W_e for all $e \in E$.



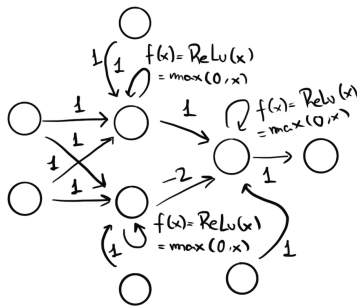
Neural networks

- ▶ A **neural network** over a network quiver Q is a pair (W, f) where W is a thin representation of the delooped quiver \mathring{Q} and $f = (f_v)_{v \in V}$ are activation functions, assigned to the loops of Q .
- ▶ The weights of the neural network (W, f) are the complex numbers defining the maps W_e for all $e \in E$.
- ▶ The **activation output** of $v \in V(Q)$ in x , $a(W, f)_v(x)$, is:



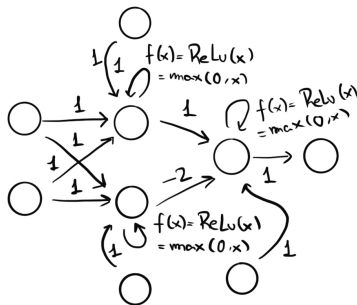
Neural networks

- ▶ A **neural network** over a network quiver Q is a pair (W, f) where W is a thin representation of the delooped quiver \mathring{Q} and $f = (f_v)_{v \in V}$ are activation functions, assigned to the loops of Q .
- ▶ The weights of the neural network (W, f) are the complex numbers defining the maps W_e for all $e \in E$.
- ▶ The **activation output** of $v \in V(Q)$ in x , $a(W, f)_v(x)$, is:
 - ▶ If v input, $a(W, f)_v(x) = x_v$.



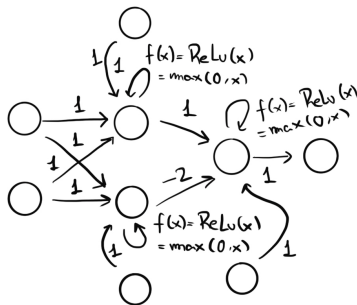
Neural networks

- ▶ A **neural network** over a network quiver Q is a pair (W, f) where W is a thin representation of the delooped quiver \mathring{Q} and $f = (f_v)_{v \in V}$ are activation functions, assigned to the loops of Q .
- ▶ The weights of the neural network (W, f) are the complex numbers defining the maps W_e for all $e \in E$.
- ▶ The **activation output** of $v \in V(Q)$ in x , $a(W, f)_v(x)$, is:
 - ▶ If v input, $a(W, f)_v(x) = x_v$.
 - ▶ If v bias, $a(W, f)_v(x) = 1$.



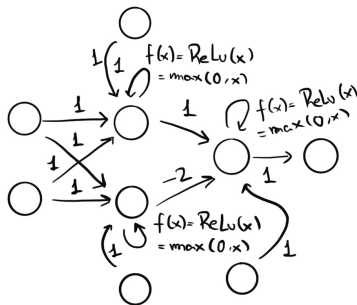
Neural networks

- ▶ A **neural network** over a network quiver Q is a pair (W, f) where W is a thin representation of the delooped quiver \mathring{Q} and $f = (f_v)_{v \in V}$ are activation functions, assigned to the loops of Q .
- ▶ The weights of the neural network (W, f) are the complex numbers defining the maps W_e for all $e \in E$.
- ▶ The **activation output** of $v \in V(Q)$ in x , $a(W, f)_v(x)$, is:
 - ▶ If v input, $a(W, f)_v(x) = x_v$.
 - ▶ If v bias, $a(W, f)_v(x) = 1$.
 - ▶ If v hidden vertex, $a(W, f)_v(x) = f\left(\sum_{\alpha \in \zeta_v} W_\alpha a(W, f)_{s(\alpha)}(x)\right)$.



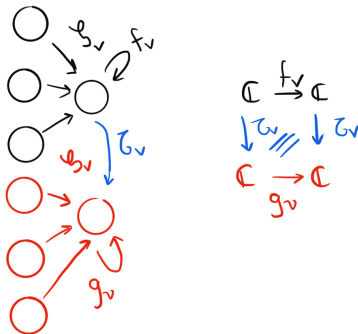
Neural networks

- ▶ A **neural network** over a network quiver Q is a pair (W, f) where W is a thin representation of the delooped quiver \mathring{Q} and $f = (f_v)_{v \in V}$ are activation functions, assigned to the loops of Q .
- ▶ The weights of the neural network (W, f) are the complex numbers defining the maps W_e for all $e \in E$.
- ▶ The **activation output** of $v \in V(Q)$ in x , $a(W, f)_v(x)$, is:
 - ▶ If v input, $a(W, f)_v(x) = x_v$.
 - ▶ If v bias, $a(W, f)_v(x) = 1$.
 - ▶ If v hidden vertex, $a(W, f)_v(x) = f\left(\sum_{\alpha \in \zeta_v} W_\alpha a(W, f)_{s(\alpha)}(x)\right)$.
 - ▶ If v output vertex, $a(W, f)_v(x) = \sum_{\alpha \in \zeta_v} W_\alpha a(W, f)_{s(\alpha)}(x)$.



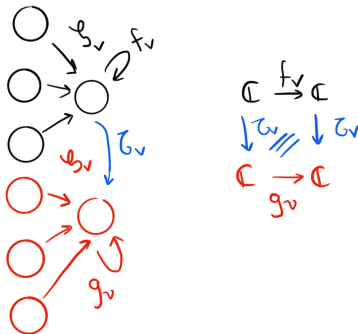
Neural network morphisms

- A(n) **(iso)morphism of neural networks** over the same quiver Q
 $\tau: (W, f) \rightarrow (V, g)$ is:



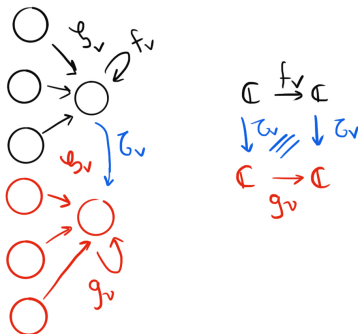
Neural network morphisms

- A(n) **(iso)morphism of neural networks** over the same quiver Q
 $\tau: (W, f) \rightarrow (V, g)$ is:
1. A(n) (iso)morphism of thin quiver representations $\tau: W \rightarrow V$,



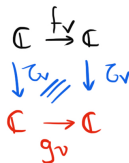
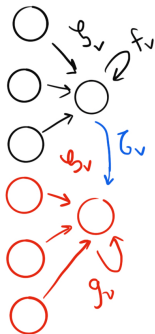
Neural network morphisms

- A(n) **(iso)morphism of neural networks** over the same quiver Q
 $\tau: (W, f) \rightarrow (V, g)$ is:
1. A(n) (iso)morphism of thin quiver representations $\tau: W \rightarrow V$,
 2. such that $\tau_v = 1$ for v not hidden vertex,



Neural network morphisms

- A(n) **(iso)morphism of neural networks** over the same quiver Q
 $\tau: (W, f) \rightarrow (V, g)$ is:
1. A(n) (iso)morphism of thin quiver representations $\tau: W \rightarrow V$,
 2. such that $\tau_v = 1$ for v not hidden vertex,
 3. and such that $\forall v$ hidden vertex $\tau_v \circ f_v = g_v \circ \tau_v$.



Hidden quiver and group of change of basis



- ▶ The **hidden quiver** of Q denoted by \bar{Q} is given by \mathring{Q} but without the source and sink vertices.

Hidden quiver and group of change of basis



- ▶ The **hidden quiver** of Q denoted by \bar{Q} is given by \mathring{Q} but without the source and sink vertices.
- ▶ The **group of change of basis** for neural networks is $\bar{G} = \prod_{v \in \bar{V}} \mathbb{C}^*$.



Hidden quiver and group of change of basis

- ▶ The **hidden quiver** of Q denoted by \bar{Q} is given by \mathring{Q} but without the source and sink vertices.
- ▶ The **group of change of basis** for neural networks is $\bar{G} = \prod_{v \in \bar{V}} \mathbb{C}^*$.
- ▶ An element $\bar{\tau}$ induces an element $\tau \in G$ group of change of basis of thin representations \mathring{Q} by setting $\tau_v = 1$ for all v not hidden vertices.



Hidden quiver and group of change of basis

- ▶ The **hidden quiver** of Q denoted by \bar{Q} is given by \dot{Q} but without the source and sink vertices.
- ▶ The **group of change of basis** for neural networks is $\bar{G} = \prod_{v \in \bar{V}} \mathbb{C}^*$.
- ▶ An element $\bar{\tau}$ induces an element $\tau \in G$ group of change of basis of thin representations \dot{Q} by setting $\tau_v = 1$ for all v not hidden vertices.
- ▶ We define the action of $\tau \in \bar{G}$ in a neural network (W, f) as
 - ▶ $(\tau \cdot W)_e = W_e \frac{\tau_{t(e)}}{\tau_{s(e)}}$.
 - ▶ $(\tau \cdot f)_v(x) = \tau_v f\left(\frac{x}{\tau_v}\right)$.



Hidden quiver and group of change of basis

- ▶ The **hidden quiver** of Q denoted by \bar{Q} is given by \hat{Q} but without the source and sink vertices.
- ▶ The **group of change of basis** for neural networks is $\bar{G} = \prod_{v \in \bar{V}} \mathbb{C}^*$.
- ▶ An element $\bar{\tau}$ induces an element $\tau \in G$ group of change of basis of thin representations \hat{Q} by setting $\tau_v = 1$ for all v not hidden vertices.
- ▶ We define the action of $\tau \in \bar{G}$ in a neural network (W, f) as
 - ▶ $(\tau \cdot W)_e = W_e \frac{\tau_{t(e)}}{\tau_{s(e)}}$.
 - ▶ $(\tau \cdot f)_v(x) = \tau_v f\left(\frac{x}{\tau_v}\right)$.
- ▶ **Theorem:** If $\tau: (W, f) \rightarrow (V, g)$ is an isomorphism of neural networks then their network functions $\psi(W, f) = \psi(V, g)$ coincide.



Implications and applications

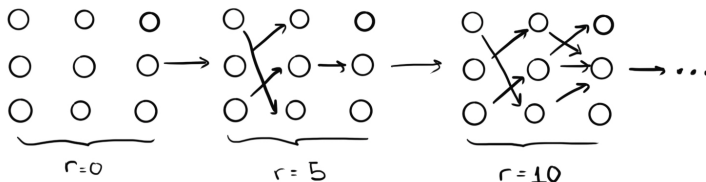
- ▶ There are an **infinite** number of neural networks with the same network function, independently of the architecture and the activation functions.

Implications and applications

- ▶ There are an **infinite** number of neural networks with the same network function, independently of the architecture and the activation functions.
- ▶ ReLU neural networks are **positive scale invariant**, i.e. , multiplying weights by positive factors in an appropriate way do not change the network function nor the *architecture* (**infinite minima** in loss functions).

Implications and applications

- ▶ There are an **infinite** number of neural networks with the same network function, independently of the architecture and the activation functions.
- ▶ ReLU neural networks are **positive scale invariant**, i.e. , multiplying weights by positive factors in an appropriate way do not change the network function nor the *architecture* (**infinite minima** in loss functions).
- ▶ Use of **persistent path homology** directly on the quiver representation of neural networks.



Quiver theory for data representations

Data representations (I)

- ▶ A **labeled dataset** is given by a finite set $D = \{(x_i, t_i)\} \subseteq \mathbb{C}^d \times \mathbb{C}^o$.

Data representations (I)

- ▶ A **labeled dataset** is given by a finite set $D = \{(x_i, t_i)\} \subseteq \mathbb{C}^d \times \mathbb{C}^o$.
- ▶ The **data quiver representation** of a neural network \mathcal{N} over a quiver Q and example $x \in D$ is the thin representation of \hat{Q}

$$\left(W_x^f\right)_e = \begin{cases} W_e x_{s(e)}, & \text{if } s(e) \text{ is an input vertex} \\ W_e, & \text{if } s(e) \text{ is a bias vertex} \\ W_e \frac{a(W, f)_{s(e)}(x)}{\sum_{\beta \in \zeta_{s(e)}} W_\beta \cdot a(W, f)_{s(\beta)}(x)}, & \text{if } s(e) \text{ is a hidden vertex,} \end{cases}$$

where $\zeta_{s(e)}$ is the set of oriented edges of Q with target $s(e)$.

Data representations (I)

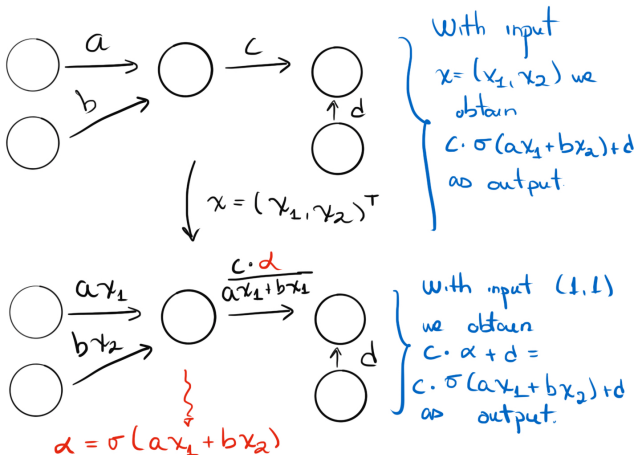
- ▶ A **labeled dataset** is given by a finite set $D = \{(x_i, t_i)\} \subseteq \mathbb{C}^d \times \mathbb{C}^o$.
- ▶ The **data quiver representation** of a neural network \mathcal{N} over a quiver Q and example $x \in D$ is the thin representation of \hat{Q}

$$\left(W_x^f\right)_e = \begin{cases} W_e x_{s(e)}, & \text{if } s(e) \text{ is an input vertex} \\ W_e, & \text{if } s(e) \text{ is a bias vertex} \\ W_e \frac{a(W, f)_{s(e)}(x)}{\sum_{\beta \in \zeta_{s(e)}} W_\beta \cdot a(W, f)_{s(\beta)}(x)}, & \text{if } s(e) \text{ is a hidden vertex,} \end{cases}$$

where $\zeta_{s(e)}$ is the set of oriented edges of Q with target $s(e)$.

- ▶ The neural network defined by W_x^f and identity activation functions satisfy $\Psi(W_x^f, 1)(1^d) = \Psi(W, f)(x)$ (**linear rectification!**).

Data representations (II)



Applications

- ▶ Isomorphism classes $[W_x^f] = \{\tau \cdot W_x^f : \tau \in \bar{G}\}$ induce **bad-behaved** Lie algebras.

Applications

- ▶ Isomorphism classes $[W_x^f] = \{\tau \cdot W_x^f : \tau \in \bar{G}\}$ induce **bad-behaved** Lie algebras.
- ▶ Under some technical transformations, we get what is called the **moduli space** of data of a neural network \mathcal{N} : **a smooth algebraic variety** coming from the previous isomorphism classes.

Applications

- ▶ Isomorphism classes $[W_x^f] = \{\tau \cdot W_x^f : \tau \in \bar{G}\}$ induce **bad-behaved** Lie algebras.
- ▶ Under some technical transformations, we get what is called the **moduli space** of data of a neural network \mathcal{N} : **a smooth algebraic variety** coming from the previous isomorphism classes.

Two possible outcomes:

Applications

- ▶ Isomorphism classes $[W_x^f] = \{\tau \cdot W_x^f : \tau \in \bar{G}\}$ induce **bad-behaved** Lie algebras.
- ▶ Under some technical transformations, we get what is called the **moduli space** of data of a neural network \mathcal{N} : **a smooth algebraic variety** coming from the previous isomorphism classes.

Two possible outcomes:

1. Theoretical and practical study of the adjacency matrices W_x^f for a dataset D and different neural networks. Do these **linear transformations** give us information about the general **robustness** of a neural network? Should we study the **topology of the set of linear transformations** induced by the neural network?

Applications

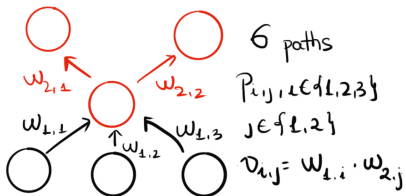
- ▶ Isomorphism classes $[W_x^f] = \{\tau \cdot W_x^f : \tau \in \bar{G}\}$ induce **bad-behaved** Lie algebras.
- ▶ Under some technical transformations, we get what is called the **moduli space** of data of a neural network \mathcal{N} : a **smooth algebraic variety** coming from the previous isomorphism classes.

Two possible outcomes:

1. Theoretical and practical study of the adjacency matrices W_x^f for a dataset D and different neural networks. Do these **linear transformations** give us information about the general **robustness** of a neural network? Should we study the **topology of the set of linear transformations** induced by the neural network?
2. **Manifold-hypothesis**: It can be proved that the data manifold \mathcal{M} in the input space of a neural network (W, f) forms a **sub-manifold** of the moduli space that parameterise the image of the neural network.

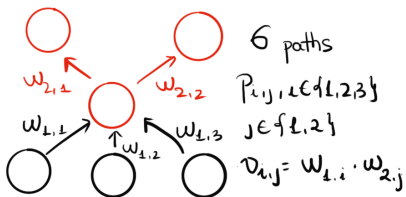
Generalisation using moduli spaces

- ▶ The dimension of the moduli space is equal to the number of **basis paths** in ReLU networks, that yields, **provably**, an **upper bound** of the generalisation error.



Generalisation using moduli spaces

- ▶ The dimension of the moduli space is equal to the number of **basis paths** in ReLU networks, that yields, **provably**, an **upper bound** of the generalisation error.



- ▶ Can we use these moduli spaces to further amplify our knowledge on generalisation bounds?

Conclusions

- ▶ Quiver theory can be used effectively to model most neural networks.

Conclusions

- ▶ Quiver theory can be used effectively to model most neural networks.
- ▶ Quiver theory allows us to use new persistent theory models to study neural networks (persistent path homology).

Conclusions

- ▶ Quiver theory can be used effectively to model most neural networks.
- ▶ Quiver theory allows us to use new persistent theory models to study neural networks (persistent path homology).
- ▶ Quiver theory allows us to give data representations depending on the structure of the neural network (moduli spaces).